## NORTHERN TERRITORY OF AUSTRALIA

LEGAL PRACTITIONERS RULES

TABLE OF PROVISIONS

Rule

4

#### PART 1 - PRELIMINARY

1. Citation

Commencement 2.

PART 2 - ROLL OF LEGAL PRACTITIONERS

- Roll of Legal Practitioners 3.
- Practitioners to take Oath of Affirmation 4.
- 5. Practitioners to sign Roll
- 6. Removal of name from Roll

PART 3 - ADMISSION OF LEGAL PRACTITIONERS

Division 1 - Application for Admission

- 7. Application
- 8. Consideration of application by Court

Division 2 - Admission of Australian Practitioners

- 9. Application by person who has obtained qualifications and experience in Australia
- 10. Academic qualifications of local applicants 11.
- Practical requirements for admission

Division 3 - Admission of New Zealand Practitioners

- 12. Application by New Zealand practitioners
- 13. Person may apply for direction
- 14. Court to give directions
- 15. Applications after completion of directions

Division 4 - Admission of Overseas Practitioners

- Application for directions by overseas person 16.
- 17. Court to direct overseas applicant
- Application after complying with directions 18.
- 19. Court to be satisfied with command of English language

Division 5 - Functions of Admission Board and Law Society

- 20. Admission Board to report to Court on qualifications
- 21. Law Society may object to admission

## PART 4 - ARTICLES OF CLERKSHIP

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for

- 22. Entry into articles of clerkship23. Documents to accompany application
- approval
- 24. Further evidence of good character
- 25. Period of articles
- 26. Articles to be lodged with Admission Board
- 27. Assignment of articles
- 28. New articles where legal practitioner dies, &c.
- 29. Legal practitioners not to have more than 2 articled clerks.
- 30. Certificate of completion of clerkship

PART 5 - TRANSITIONAL

31. Transitional

SCHEDULE 1 SCHEDULE 2

## NORTHERN TERRITORY OF AUSTRALIA

Regulations 1993, No. 38\*

Rules under the Legal Practitioners Act

We, the undersigned Judges of the Supreme Court of the Northern Territory of Australia, in pursuance of section 11 of the *Legal Practitioners Act*, hereby make the following Rules.

Dated 29 September 1993.

W.J.F. Kearney J

D.N. Angel J

S.G. Thomas J

Judges of the Supreme Court of the Northern Territory of Australia

LEGAL PRACTITIONERS RULES

PART 1 - PRELIMINARY

1. CITATION

These Rules may be cited as the Legal Practitioners Rules.

2. COMMENCEMENT

These Rules shall come into operation on the commencement of the Legal Practitioners Amendment Act 1993.

PART 2 - ROLL OF LEGAL PRACTITIONERS

3. ROLL OF LEGAL PRACTITIONERS

(1) The Registrar shall cause to be kept a roll, to be known as the Roll of Legal Practitioners of the Supreme Court of the Northern Territory, on which the Registrar shall enter -

(a) the name of each person admitted to practise as a legal practitioner of the Court; and

\* Notified in the Northern Territory Government Gazette on 1 October 1993.

(b) the date on which the entry is made.

(2) Where a person who is admitted to practise as a legal practitioner of the Court satisfies the Registrar that he or she intends to practise in the Territory exclusively as a barrister and independently of another legal practitioner, the Registrar shall enter, in relation to the name of that person on the Roll of Legal Practitioners, a notation that he or she is a Counsel.

(3) A person may apply in writing to the Registrar for the removal of a notation entered on the Roll of Practitioners in relation to that person under subrule (2) and the Registrar shall, as soon as is practicable after receiving the application, remove the notation from the Roll.

4. PRACTITIONERS TO TAKE OATH OR AFFIRMATION

(1) A person shall, before being admitted to practise as a legal practitioner of the Court, take or make before the Court an oath or affirmation in accordance with the form in Schedule 1.

(2) Where a person applies to be admitted to practise as a legal practitioner of the Court under the *Mutual Recognition Act 1992* of the Commonwealth and elects not to appear in person, that person shall take or make before the principal registrar of the Supreme Court of a State or of a Territory or before any other officer of that Court as may be designated by the Admission Board, an oath or affirmation in accordance with the form in Schedule 1.

(3) Where a person takes or makes an oath in accordance with subrule (2), the signature of the officer of that Court on the form in Schedule 2 shall be sufficient proof that the person has complied with subrule (2).

5. PRACTITIONERS TO SIGN ROLL

(1) A person whose name is entered on the Roll of Legal Practitioners shall sign the Roll.

(2) Where a person elects not to appear in person in accordance with rule 4, the person shall, at the time of taking the oath or making an affirmation, sign a proforma for insertion in the Roll in accordance with the form in Schedule 2.

6. REMOVAL OF NAME FROM ROLL

Where the Court makes an order under section 52(2)(d) of the Act, the Registrar shall, as soon as practicable thereafter, remove the name of the legal practitioner from the Roll of Legal Practitioners.

Legal Practitioners Rules

PART 3 - ADMISSION OF LEGAL PRACTITIONERS

Division 1 - Application for Admission

7. APPLICATION

(1) A person may apply to the Court to be admitted to practise as a legal practitioner of the Court.

(2) An application under subrule (1) shall be by originating motion and accompanied by such affidavits and other documentation as are specified in these Rules or as are directed by the Court.

8. CONSIDERATION OF APPLICATION BY COURT

Where the Court, on considering an application made under rule 7, is satisfied that the applicant -

- (a) is of good fame and character and a fit and proper person to be admitted to practise as a legal practitioner of the Court;
- (b) has completed the academic requirements for admission as required by these Rules or as directed under these Rules;
- (c) has complied with the practical requirements for admission as required by these Rules or as directed under these Rules; and
- (d) has otherwise complied with these Rules,

the Court may admit the applicant to practise as a legal practitioner of the Court and direct the Registrar to enter the applicant's name on the Roll of Legal Practitioners.

Division 2 - Admission of Australian Practitioners

9. APPLICATION BY PERSON WHO HAS OBTAINED QUALIFICATIONS AND EXPERIENCE IN AUSTRALIA

(1) An application by a person under rule 7 for admission to practise as a legal practitioner of the Court who has qualifications and experience obtained in Australia shall be accompanied by an affidavit that the applicant -

- (a) is of good fame and character and a fit and proper person to be admitted to practise as a legal practitioner of the Court;
- (b) has not, at any time, been struck off the Roll of legal practitioners in a State or Territory of the Commonwealth; and

(c) has not been charged with a criminal offence or the outcome of any such charge is disclosed.

(2) An affidavit under subrule (1) shall be accompanied by evidence of the educational qualifications and practical experience of the applicant.

## 10. ACADEMIC QUALIFICATIONS OF LOCAL APPLICANTS

For the purposes of rule 8, the academic requirements for admission of a person who has obtained qualifications and experience in Australia are -

- (a) completion of a tertiary academic course in Australia, whether or not leading to a degree of Bachelor of Laws, which includes not less than 3 years full-time study of law (or equivalent) at an Australian tertiary institution which is recognised in at least one State or Territory of the Commonwealth as sufficient academic qualification for admission to practise as a legal practitioner; and
- (b) completion of courses of study, whether as part of a course referred to in paragraph (a) of this rule or otherwise, which are recognised in at least one State or Territory of the Commonwealth, for the purposes of meeting the academic qualifications to practise, as demonstrating understanding and competence in the following areas of law;
  - (i) Criminal Law and Procedure;
  - (ii) Torts;
  - (iii) Contracts;
  - (iv) Property both Real (including Torrens system land) and Personal;
    - (v) Equity (including Trusts);
  - (vi) Company Law;
  - (vii) Administrative Law;
  - (viii) Federal, State and Territory Constitutional Law;
    - (ix) Civil Procedure;
    - (x) 'Evidence; and
    - (xi) Professional Conduct and Trust Accounting.

#### 11. PRACTICAL REQUIREMENTS FOR ADMISSION

(1) For the purposes of rule 8, the practical requirements for admission of a person who has obtained qualification and experience in Australia are the successful completion of -

- (a) not less than one year's satisfactory service under articles of clerkship under these Rules;
- (b) a practical course of legal skills training of not less than 6 months' duration recognised by the Court as satisfying the requirement for practical training and experience in legal skills for admission to practise as a legal practitioner of the Court; or
- (c) a combination of service under articles of clerkship under these Rules and a practical course of legal skills training recognised by the Court as satisfying the requirements for practical training and experience in legal skills for admission to practise as a legal practitioner of the Court.

(2) A person shall be deemed not to have completed a course referred to in subrule (1)(b) or (c) unless the appropriate officer of the university or other institution by which the course was conducted has certified in writing that the person has completed the course.

(3) Notwithstanding subrule (1), the Court may, if it is of the opinion that a person has had experience in the practise of law in Australia other than that specified in that subrule, grant the applicant an exemption from this rule as it considers proper in the circumstances.

(4) For the purposes of subrule (3), and without limiting the generality of the subrule, experience in the practise of law includes having served as an associate or clerk to a Judge.

(5) Where a person has rendered service as an associate or clerk to a Judge, the period which that person is required to serve under articles of clerkship is reduced by a period equal to one-half of the period of his or her service as an associate or clerk, or by 6 months, whichever is the lesser.

## Division 3 - Admission of New Zealand Practitioners

#### 12. APPLICATION BY NEW ZEALAND PRACTITIONERS

(1) A person who has obtained qualifications and experience in New Zealand and who complies with the requirements of this Division may apply under rule 7 to be admitted to practise as a legal practitioner of the Court. (2) Subject to this Division, for the purposes of subrule (1), the requirements are that the person -

- (a) has been admitted to practise law in New Zealand for the 5 years preceding his or her application under these Rules;
- (b) has practised law in New Zealand for the 5 years preceding his or her application under these Rules;
- (c) is, at the time of making his or her application under these Rules, currently entitled to practise law in New Zealand;
- (d) has satisfied the conditions, if any, placed on his or her admission to practise law in New Zealand;
- (e) was admitted to practise law in New Zealand on the basis of academic qualifications obtained in New Zealand;
- (f) has not, at any time, been struck off the roll of practitioners in New Zealand or is not subject to disciplinary enquiry or proceedings in New Zealand relating to his or her practise of law in New Zealand; and
- (g) has not been charged with a criminal offence or the outcome of any such charge is disclosed.

(3) An application under rule 7 by a person referred to in this rule shall be accompanied by -

- (a) an affidavit of the applicant as to the matter specified in subrule (2); and
- (b) certificates of 2 persons presently on a roll of practitioners in Australia or New Zealand with whom the applicant has been associated in the practise of law, stating that the applicant is of good fame and character and a fit and proper person to be admitted to practise as a legal practitioner of the Court.

## 13. PERSON MAY APPLY FOR DIRECTION

(1) A person who has obtained qualifications and experience in the practise of law in New Zealand but does not have the experience specified in rule 12(2)(a) or (b) may apply to the Court for directions as to the additional requirements, if any, the person must fulfil to satisfy the requirements of rule 8.

(2) An application under subrule (1) shall be accompanied by –

- (a) an affidavit of the applicant -
  - (i) giving details of the applicant's educational qualifications and practical experience in the practise of law;
  - (ii) providing evidence of the applicant's educational qualifications and admission to practise law in New Zealand;
  - (iii) stating that the applicant is currently entitled to practise law in New Zealand, has not, at any time, been struck off the roll of practitioners in New Zealand and is not presently subject to disciplinary enquiry or proceedings in New Zealand;
    - (iv) describing the nature, range and character of the applicant's practise of law in New Zealand; and
      - (v) stating whether the applicant has applied for admission to practise law in any other jurisdiction in Australia and, if so, the result of that application; and
- (b) an affidavit from at least one person presently on a roll of practitioners in Australia or New Zealand with whom the applicant has been associated in the practice of law, stating that the applicant is of good fame and character and a fit and proper person to be admitted to practise as a legal practitioner of the Court.

#### 14. COURT TO GIVE DIRECTIONS

(1) On receiving an application under rule 13 the Court shall give directions to the applicant as to what requirements the applicant must fulfil to satisfy the requirements of rule 8.

(2) Directions under subrule (1) shall ensure that, as far as is practicable, the applicant will, having complied with the directions, have the practical training and experience specified in rule 11.

15. APPLICATIONS AFTER COMPLETION OF DIRECTIONS

(1) A person to whom directions under rule 14 are given may, on complying with those directions, apply to the Court under rule 7 to be admitted to practise as a legal practitioner of the Court.

(2) An application under rule 7 by a person referred to in subrule (1) shall be accompanied by -

- (a) an affidavit of the applicant -
  - (i) providing evidence of the applicant's compliance with the directions given under rule 14;
  - (ii) stating that the applicant has not been charged with any criminal offence or disclosing any such charge and its results; and
  - (iii) disclosing any matter bearing adversely on the applicant's fitness or propriety to be admitted to practise as a legal practitioner of the Court; and
- (b) as applicable -
  - (i) an affidavit from the person with whom the applicant entered into articles of clerkship setting out details of the period of the articles of clerkship, the nature of the applicant's service or absences and a statement as to the applicant's fitness and propriety to be admitted to practise as a legal practitioner of the Court;
  - (ii) a certificate of satisfactory completion of a practical training course in legal skills in an Australian jurisdiction; or
  - (iii) an affidavit from the legal practitioner with whom the applicant has been employed setting out details of the period of employment, the nature of the applicant's service or absences and a statement as to the applicant's fitness and propriety to be admitted to practise as a legal practitioner of the Court.

Division 4 - Admission of Overseas Practitioners

16. APPLICATION FOR DIRECTIONS BY OVERSEAS PERSON

(1) A person who has qualifications obtained in a country (other than Australia or New Zealand) that are recognised as qualifying the person to practise law in that country but who is not admitted to practise law in that country, may apply to the Court for directions as to the requirements the person must fulfil to satisfy the requirements of rule 8.

(2) An application under subrule (1) shall be accompanied by -

- (a) an affidavit of the applicant setting out the dates, nature and details of the applicant's academic gualifications; and
- (b) evidence that the applicant has the academic qualifications referred to in his or her affidavit.

(3) A person who has qualifications obtained in a country (other than Australia or New Zealand) that are recognised as qualifying the person to practise law in that country and who is admitted to practise law in that country may apply to the Court for directions as to the requirements the person must fulfil to satisfy the requirements of rule 8.

(4) An application under subrule (3) shall be accompanied by -

- (a) an affidavit of the applicant -
  - (i) giving details of the applicant's academic qualifications;
  - (ii) giving details of the applicant's practical experience, including the nature, range and character of the applicant's practise in law in the country in which he or she is admitted to practise;
  - (iii) giving details of the applicant's admission to practise law in the country in which he or she is admitted to practise;
    - (iv) stating that the applicant is currently entitled to practise law in the country in which he or she is admitted to practise, has not, at any time, been struck off the roll of practitioners in that country and is not presently subject to disciplinary enquiry or proceedings in that country; and
      - (v) stating whether the applicant has applied for admission to practise law in any other jurisdiction in Australia and, if so, the result of that application;
- (b) evidence that the applicant has the academic qualifications referred to his or her affidavit; and

(c) evidence, other than from the applicant, that the applicant is of good fame and character and that the person is a fit and proper person to be admitted to practise as a legal practitioner of the Court.

## 17. COURT TO DIRECT OVERSEAS APPLICANT

(1) On receiving an application under rule 16 the Court shall give directions to the applicant as to what requirements the applicant must fulfil to satisfy the requirements of rule 8.

(2) Directions under subrule (1) shall ensure that, as far as is practicable, the applicant will, having complied with the directions, have the academic qualifications and the practical training and experience specified in rules 10 and 11.

## 18. APPLICATION AFTER COMPLYING WITH DIRECTIONS

(1) A person to whom directions under rule 17 are given may, on complying with those directions, apply under rule 7 for admission to practise as a legal practitioner of the Court.

(2) An application under rule 7 for admission to practise as a legal practitioner of the Court by a person referred to in subrule (1) shall be accompanied by -

- (a) an affidavit of the applicant -
  - (i) providing evidence of the applicant's compliance with the directions given under rule 17;
  - (ii) stating that the applicant has not been charged with any criminal offence or disclosing any such charge and its results; and
  - (iii) disclosing any matter bearing adversely on the applicant's fitness or propriety to be admitted to practise as a legal practitioner of the Court; and
- (b) as applicable -
  - (i) an affidavit from the person with whom the applicant entered into articles of clerkship setting out details of the period of the articles of clerkship, the nature of the applicant's service or absences and a statement as to the applicant's fitness and propriety to be admitted to practise as a legal practitioner of the Court;

- (ii) a certificate of satisfactory completion of a practical training course in legal skills in an Australian jurisdiction; or
- (iii) an affidavit from the legal practitioner with whom the applicant has been employed setting out details of the period of employment, the nature of the applicant's service or absences and a statement as to the applicant's fitness and propriety to be admitted to practise as a legal practitioner of the Court.

# 19. COURT TO BE SATISFIED WITH COMMAND OF ENGLISH LANGUAGE

Where a person, who has received a direction under rule 16 applies to the Court under rule 7, and that person's native language is not English, the Court shall satisfy itself that the person has a sufficient command of the English language to practise as a legal practitioner of the Court.

## Division 5 - Functions of Admission Board and Law Society

20. ADMISSION BOARD TO REPORT TO COURT ON QUALIFICATIONS

The Admission Board shall, in respect of an application for admission to practise as a legal practitioner of the Court under rule 7, make a report in writing to the Court stating whether, in the opinion of the Board, the applicant is entitled to apply to be admitted to practise and shall, before the application is heard, provide a copy of the report to the applicant.

#### 21. LAW SOCIETY MAY OBJECT TO ADMISSION

The Law Society may object to an application for admission to practise as a legal practitioner of the Court made under rule 7 and may be heard on the hearing of the application.

#### PART 4 - ARTICLES OF CLERKSHIP

#### 22. ENTRY INTO ARTICLES OF CLERKSHIP

(1) Subject to this Part, a person may enter into articles of clerkship with a person who is ~

- (a) a legal practitioner who holds an unrestricted practising certificate and is practising as a solicitor or barrister and solicitor on his or her own account or in partnership;
- (b) the Secretary, within the meaning of the Law Officers Act;

- (c) the Director of Public Prosecutions, within the meaning of the Director of Public Prosecutions Act;
- (d) the Director of Legal Aid, within the meaning of the Legal Aid Act; or
- (e) a person authorized under section 55E(4) of the Judiciary Act 1903 of the Commonwealth to act in the name of the Australian Government Solicitor.

(2) A person who has entered or is about to enter into articles of clerkship shall apply for the approval of the Admission Board in accordance with a form approved by the Admission Board.

(3) Where an application under subrule (2) is made in accordance with this rule, the Admission Board shall not give its approval unless the Board is satisfied that -

- (a) the applicant has academic qualifications that would permit the person to apply for admission to practise as a legal practitioner of the Court under rule 7;
- (b) the applicant is of good fame and character; and
- (c) the person with whom the applicant proposes to enter or has entered into articles of clerkship is a person referred to in subrule (1).

(4) Subject to subrule (5), the effective date of articles of clerkship is the date on which they were signed.

(5) For the purposes of this section, where articles of clerkship are signed more than 90 days before the date on which the Admission Board gives its approval under this rule, the date of signing of the articles of clerkship shall be deemed to be the date 90 days before the giving of the approval by the Board.

23. DOCUMENTS TO ACCOMPANY APPLICATION FOR APPROVAL

(1) An application under rule 22 shall be accompanied by -

- (a) a certificate or other document showing that the applicant satisfies the requirements of Part 3; and
- (b) certificates with respect to the fame and character of the applicant given by not less than 2 persons.

(2) A certificate referred to in subrule (1)(b) shall state -

- (a) the name, address and occupation of the person giving the certificate;
- (b) the period during which, and the circumstances in which, the person giving the certificate has known the applicant; and
- (c) whether, in the opinion of that person, the applicant is a person of good fame and character.

24. FURTHER EVIDENCE OF GOOD CHARACTER

The Admission Board may, in any case in which it considers the circumstances so warrant, require a further certificate as to the fame and character of an applicant for the Board's approval under rule 22.

25. PERIOD OF ARTICLES

(1) Subject to subrule (2), articles of clerkship shall be deemed to contain a provision requiring the person to undertake to render service in accordance with those articles for a period of 12 months from the effective date of those articles.

(2) The Court may, on the application of a person, order that the period for which the person is required to render service in accordance with articles of clerkship shall be less than 12 months.

- (3) Where -
- (a) articles of clerkship have been entered into; and
- (b) the Admission Board refuses to give its approval under rule 22,

those articles shall have no further force or effect.

26. ARTICLES TO BE LODGED WITH ADMISSION BOARD

A person who enters into articles of clerkship shall, not later than 14 days after the execution of the articles or such further time as the Admission Board allows, lodge the articles with the Secretary to the Admission Board.

27. ASSIGNMENT OF ARTICLES

(1) Articles of clerkship may, by consent of the parties and with the approval of the Admission Board, be assigned to a person referred to in rule 22(1).

(2) Where articles of clerkship are assigned, the person serving under the articles shall, not later than 14 days after the execution of the assignment or such further time as the Admission Board allows, lodge the assignment with the Secretary to the Admission Board.

28. NEW ARTICLES WHERE LEGAL PRACTITIONER DIES, &c.

- (1) Where -
- (a) the person to whom a person is articled as a clerk dies or ceases to practise either as a solicitor or barrister and solicitor; or
- (b) articles of clerkship are discharged otherwise than by effluxion of time,

the person formerly articled may, with the approval of the Admission Board, enter into articles of clerkship with another person referred to in rule 22(1).

(2) Articles of clerkship entered into in pursuance of subsection (1) shall contain a provision requiring the person to render service in accordance with those articles for a period equal to the unexpired period for which he or she was required to serve under the original articles.

(3) A person who enters into articles of clerkship in pursuance of subrule (1) shall, not later than 14 days after the execution of the articles or such further time as the Admission Board allows, lodge the articles with the Secretary to the Admission Board.

# 29. LEGAL PRACTITIONERS NOT TO HAVE MORE THAN 2 ARTICLED CLERKS

A legal practitioner, not being a person referred to in rule 22(1)(b), (c) or (e), shall not have more than 2 persons articled to him or her as clerks at the same time.

30. CERTIFICATE OF COMPLETION OF CLERKSHIP

(1) A person to whom a person has been articled as a clerk shall, at his or her request, give to him or her a certificate stating whether, in the opinion of the person giving the certificate, the service of the person as an articled clerk was satisfactory throughout the period of his or her service.

(2) Where, in a certificate given under subrule (1), a person states that the service of a person under articles of clerkship has not been satisfactory throughout the whole of the period mentioned in that subsection, he shall state in the certificate the reasons why the service has not been satisfactory throughout the whole of that period.

## PART 5 - TRANSITIONAL

## 31. TRANSITIONAL

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(1) Where, immediately before the commencement of these Rules, articles of clerkship entered into under Part 5 of the Act were in force, the articles shall, after that commencement, be subject to these Rules as if they had been entered into under these Rules.

(2) An application for admission to practise as a legal practitioner made under the Act that has not been dealt with by the Court before the commencement of these Rules shall be deemed, on that commencement, to be an application made under these Rules and the application and the person to whom the application relates shall be subject to these Rules.

#### SCHEDULE 1

Rule 4(1) and (2)

I, A.B., do swear that I will well and honestly conduct myself in the practise of my profession as a legal practitioner of the Supreme Court of the Northern Territory according to the best of my knowledge and ability.

SO HELP ME GOD!

#### AFFIRMATION

I, A.B., solemnly and sincerely affirm and declare that I will well and honestly conduct myself in the practise of my profession as a legal practitioner of the Supreme Court of the Northern Territory according to the best of my knowledge and ability.

#### SCHEDULE 2

Rule 4(3)

ROLL OF LEGAL PRACTITIONERS OF THE SUPREME COURT OF THE NORTHERN TERRITORY

I, [full name], sign the Roll of Legal Practitioners of the Supreme Court of the Northern Territory.

Dated

#### SIGNATURE

DO NOT

## Legal Practitioners Rules

## DETACH

## [Adhesive Label]

## LABEL

This signature shall be of no effect until the order of the Admission Board has been made and the signature inserted in the Roll by the Registrar.

Oath/Affirmation administered and signing of the Roll witnessed

Dated

Signed: Principal Registrar/Designated Officer

[Stamp]