# **NORTHERN TERRITORY OF AUSTRALIA**

# NORTHERN TERRITORY CIVIL AND ADMINISTRATIVE TRIBUNAL ACT 2014

As in force at 27 November 2023

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# **ENDNOTES**

## NORTHERN TERRITORY OF AUSTRALIA

As in force at 27 November 2023

# NORTHERN TERRITORY CIVIL AND ADMINISTRATIVE TRIBUNAL ACT 2014

An Act to establish the Northern Territory Civil and Administrative Tribunal, and for related purposes

# Part 1 Preliminary matters

## 1 Short title

This Act may be cited as the *Northern Territory Civil and Administrative Tribunal Act 2014.* 

### 2 Commencement

This Act commences on the day fixed by the Administrator by *Gazette* notice.

#### 3 Definitions

In this Act:

**additional ordinary member** means an additional ordinary member appointed under section 16A.

**compulsory conference** means a conference conducted under Part 4, Division 4, Subdivision 1.

**costs order** means an order requiring a party to a proceeding to pay for:

- (a) all or part of the costs of another party; or
- (b) all or part of the costs of a person who is required to appear before the Tribunal or to produce material; or
- (c) the compensation of another party for any reasonable expenses or loss resulting from any proceedings or matter.

decision maker is a person who makes a reviewable decision.

**Deputy President** means a Deputy President appointed under section 15.

*internal review jurisdiction* means the jurisdiction of the Tribunal mentioned in section 51A.

*member* means a member of the Tribunal mentioned in section 12.

**monetary order** means an order of the Tribunal that requires the payment of money, and includes a costs order.

**ordinary member** means an ordinary member appointed under section 16.

**original jurisdiction** means the jurisdiction of the Tribunal mentioned in section 32.

**President** means the President appointed under section 13.

*presiding member*, for a proceeding, means:

- (a) if the Tribunal is constituted by a single member for the proceeding that member; or
- (b) in any other case the member who is nominated under section 26 to preside at the proceeding.

**Registrar** means the Registrar appointed under section 143.

**relevant Act** means an Act or subordinate legislation that confers jurisdiction on the Tribunal.

**reviewable decision** means a decision made by a decision maker that may be reviewed by the Tribunal.

**review jurisdiction** means the jurisdiction of the Tribunal mentioned in section 33.

**rules** means the rules of the Tribunal made by the rules committee under section 138.

**Tribunal** means the Northern Territory Civil and Administrative Tribunal established by section 7.

Note for section 3

The Interpretation Act 1978 contains definitions and other provisions that may be relevant to this Act.

### 4 Act binds Crown

This Act binds the Crown in right of the Territory and, to the extent the legislative power of the Legislative Assembly permits, the Crown in all its other capacities.

## 5 Relationship with other Acts

- (1) If there is an inconsistency between this Act and a relevant Act, the relevant Act prevails to the extent of the inconsistency.
- (2) A relevant Act may modify the operation of this Act in relation to an exercise of jurisdiction conferred by that Act.
- (3) This section does not apply to section 45.

# 6 Application of Criminal Code

Part IIAA of the Criminal Code applies to an offence against this Act.

Note for section 6

Part IIAA of the Criminal Code states the general principles of criminal responsibility, establishes general defences, and deals with burden of proof. It also defines, or elaborates on, certain concepts commonly used in the creation of offences.

# Part 2 Northern Territory Civil and Administrative Tribunal

## Division 1 Establishment of Tribunal

### 7 Tribunal established

The Northern Territory Civil and Administrative Tribunal is established.

## 8 Jurisdiction

The Tribunal has the jurisdiction mentioned in Part 3.

## 9 Tribunal to operate throughout Territory

- (1) The Tribunal must facilitate access to its services throughout the Territory.
- (2) The Tribunal may sit at any place in the Territory.

(3) The Tribunal must maintain registries at the places determined by the Minister after consultation with the President.

# 10 Objectives of Tribunal

The Tribunal must:

- (a) promote the best principles of public administration; and
- (b) be accessible to the public by being easy to find and easy to access; and
- (c) be responsive to parties, especially to people with special needs; and
- (d) ensure that proceedings are processed and resolved as quickly as possible while achieving a just outcome, including by resolving disputes through high-quality processes and the use of mediation and alternative dispute resolution procedures when appropriate; and
- (e) keep costs to parties involved in a proceeding to a minimum insofar as is just and appropriate; and
- (f) use straightforward language and procedures; and
- (g) act with as little formality and technicality as possible; and
- (h) be flexible in the way in which it conducts its business and adjust its procedures to best fit the circumstances of a particular proceeding or a particular jurisdiction.

# 11 Independence of Tribunal

- (1) The Tribunal is not subject to the direction or control of the Minister in exercising its jurisdiction.
- (2) A member is not subject to the direction or control of the Minister in the exercise of the member's powers or the performance of the member's functions.

## Division 2 Membership of Tribunal

## 12 Membership

The Tribunal consists of:

- (a) the President; and
- (b) the Deputy Presidents; and

- (c) the ordinary members; and
- (d) any additional ordinary members.

#### 13 President

- (1) The Administrator may, by Gazette notice, appoint one of the following to be the President of the Tribunal:
  - a Local Court Judge; (a)
  - a person who is eligible for appointment as a Local Court (b) Judge.
- (2) A person must not be appointed unless:
  - the person has agreed to the appointment; and
  - if the person is a Local Court Judge the Minister has consulted with the Chief Judge about the person's appointment.
- (3) The appointment of a Local Court Judge as the President does not affect the Judge's tenure of office or status as a Local Court Judge.
- (4) The President is entitled to the same salary and allowances as the Deputy Chief Judge of the Local Court.
- (5) If the President is a Local Court Judge, service as President is taken to constitute service as a Local Court Judge.
- (6) If the President is a Local Court Judge, the Chief Judge cannot exercise the Chief Judge's powers under section 22 of the Local Court Act 2015 in a way that would interfere with the member's ability to exercise powers or perform functions under this Act.

#### **Functions of President** 14

The President has the following administrative functions:

- to manage the business of the Tribunal, including by ensuring that the Tribunal operates efficiently and effectively and continually improves the way in which it carries out its functions;
- to provide leadership and guidance to, and engender cohesiveness and collaboration amongst, the members and staff of the Tribunal;
- (d) to manage the members of the Tribunal, including by developing codes of conduct for members;

- (da) to issue practice directions for the Tribunal;
- (e) to develop and implement performance standards and benchmarks for the Tribunal and the members and staff of the Tribunal;
- (f) to promote the training, education and professional development of members;
- (g) to oversee the proper use of the resources of the Tribunal;
- (h) any other functions conferred on the President by this or any other Act.

## 15 Deputy President

- (1) There must be at least one Deputy President of the Tribunal.
- (2) The Administrator may, by *Gazette* notice, appoint one of the following to be a Deputy President:
  - (a) a Local Court Judge;
  - (b) a person who is eligible for appointment as a Local Court Judge.
- (3) A person must not be appointed unless:
  - (a) the person has agreed to the appointment; and
  - (b) if the person is a Local Court Judge the Minister has consulted with the Chief Judge about the person's appointment; and
  - (c) the Minister has taken into account any recommendation made by the President.
- (4) The appointment of a Local Court Judge as a Deputy President does not affect:
  - (a) the Judge's tenure of office or status as a Local Court Judge; or
  - (b) the payment of the Judge's entitlements and allowances as a Local Court Judge.
- (5) If a Deputy President is a Local Court Judge, service as the Deputy President is taken to constitute service as a Local Court Judge.

- (6) If a Deputy President is a Local Court Judge, the Chief Judge cannot exercise the Chief Judge's powers under section 22 of the Local Court Act 2015 in a way that would interfere with the member's ability to exercise powers or perform functions under this Act.
- (7) A Deputy President has the following administrative functions:
  - to assist the President in the day-to-day operations of the (a) Tribunal;
  - any other functions conferred on a Deputy President by this or (b) any other Act.

#### 16 Ordinary members

- (1) The Administrator may, by Gazette notice, appoint a person to be an ordinary member of the Tribunal.
- (2) A person must not be appointed to be an ordinary member unless:
  - the person is a lawyer with at least 5 years' experience as a legal practitioner; or
  - the person holds suitable qualifications, or has suitable knowledge or experience, relating to the jurisdiction of the Tribunal.
- (3) A person must not be appointed unless:
  - (a) the person has agreed to the appointment; and
  - the Administrator has taken into account any recommendation made by the President.

#### 16A Additional ordinary members

- (1) At the request of the President, the Minister may, by *Gazette* notice, appoint a person to be an additional ordinary member of the Tribunal.
- (2) A person must not be appointed to be an additional ordinary member unless:
  - the person is eligible for appointment as an ordinary member in accordance with the requirements specified in section 16(2):
  - the person has agreed to the appointment. (b)

#### 17 **Duration of appointment**

- (1) A member, other than an additional ordinary member, holds office for 5 years or any shorter period specified in the appointment and is eligible for reappointment.
- (2) An additional ordinary member holds office for 2 years or any shorter period specified in the appointment and is eligible for reappointment.

#### 18 Vacation of office

A person ceases to be a member if:

- the person resigns by giving written notice to the Minister; or
- (b) the person's term of office expires and the person is not reappointed; or
- the person's appointment is terminated under section 19.

#### Termination of appointment of member 19

- (1) The Administrator may, in writing, terminate the appointment of a member on the address of the Legislative Assembly seeking the removal of the member on the grounds of incapacity or misbehaviour.
- (2) However, the appointment of a member must not be terminated under subsection (1) unless:
  - a report from an investigation panel is received by the Administrator under section 57 of the Judicial Commission Act 2020; and
  - the investigation panel states in the report its opinion that the matter could justify termination of the member's appointment on the grounds of incapacity or misbehaviour.

#### 20 Validity of acts

The Tribunal's exercise of its jurisdiction is not affected only by reason of a vacancy in the membership of the Tribunal or a defect in the appointment of a person as a member.

#### 21 Delegation

(1) Subject to subsection (2), the President may delegate any of the President's powers or functions under this Act or any other Act to a member or the Registrar.

(2) The President cannot delegate the President's powers or functions under section 15(3)(c), 28(2) or (3), 58, 99A(1) or (6), 102(4), 135(1), 136(1) or (2), 139(1) or 151(1).

#### **Division 3 Administration of Tribunal**

#### 22 Constitution of Tribunal for proceeding

- (1) When a proceeding is commenced, the President must nominate not more than 3 members to constitute the Tribunal for the proceeding.
- (1A) Despite subsection (1), the President may nominate more than 3 members to constitute the Tribunal if:
  - the proceeding is in relation to a matter within the Tribunal's review jurisdiction; and
  - the reviewable decision was made by a body comprised of (b) 3 or more persons; and
  - the President is satisfied that it is appropriate to do so in the particular circumstances of the proceeding.
- (1B) To avoid doubt, the number of members nominated by the President to constitute the Tribunal under subsection (1A) is not required to be the same as the number of persons who comprised the body that made the reviewable decision.
  - (2) Of the persons nominated under subsection (1) or (1A), a person cannot be nominated to constitute, or be one of the persons constituting, the Tribunal in relation to a matter in the review jurisdiction of the Tribunal if the member was:
    - the decision maker in relation to that matter; or (a)
    - a member of a body that was the decision maker in relation to (b) that matter.
- (2A) A person cannot be nominated under subsection (1) to constitute, or be one of the persons constituting, the Tribunal for a proceeding in the internal review jurisdiction of the Tribunal if the person was the member, or was one of the members, who constituted the Tribunal for the original proceeding to which the internal review relates.
  - (3) Subject to subsection (3A), the President may provide for the Registrar to constitute the Tribunal for a proceeding in respect of a matter or class of matters, as specified by the rules.

- (3A) The Registrar may not constitute the Tribunal for a proceeding in respect of a matter in the internal review jurisdiction of the Tribunal.
  - (4) If the Tribunal is constituted by the Registrar for a proceeding, the Registrar may refer the matter to the Tribunal differently constituted for determination by the Tribunal on the Registrar's own initiative or on the President's direction.

#### 23 Tribunal may hear different proceedings at same time

The President may nominate more than one series of members under section 22 at any one time and the members nominated may constitute the Tribunal in respect of different proceedings at the same time.

#### 24 **Alteration of members constituting Tribunal**

- (1) The President may alter the member or members who constitute the Tribunal for a proceeding.
- (2) An alteration does not affect the continuity of a proceeding.

#### 25 Different aspects of same matter may be dealt with by different members

- (1) The President may provide that different aspects of the same matter may be dealt with by the Tribunal constituted by different members.
- (2) The Tribunal may have regard to any evidence taken by the Tribunal differently constituted.

#### 26 Nomination of presiding member for proceeding

If the Tribunal is constituted for a proceeding by 2 or more members, the President must nominate one of those members to preside at the proceeding.

#### 27 Decision if 2 or more members constitute Tribunal

- (1) This section applies if the Tribunal is constituted by 2 or more members.
- (2) If the Tribunal is constituted by 2 members, the decision of the Tribunal is:
  - the unanimous decision of both members; or (a)
  - if they cannot agree the decision of the presiding member. (b)

- (3) If the Tribunal is constituted by more than 2 members, the decision of the Tribunal is:
  - the decision of a majority of members; or (a)
  - if the members are equally divided the decision of the presiding member.

#### 28 Questions of law referred to President

- (1) The presiding member for a proceeding may refer a question of law arising in the proceeding to the President for determination.
- (2) If a question of law is referred to the President under subsection (1), the President may:
  - decide the question of law; or (a)
  - further refer the question of law to the Supreme Court for (b) determination under section 29(1A).
- (3) If the President decides the question of law, the President may:
  - decide any other questions or matters that remain to be determined by the Tribunal in the proceeding; and
  - make any orders that may be necessary to dispose of the (b) proceeding.
- (4) A decision or order made by the President under subsection (2)(a) or (3) is taken to be the decision or order of the Tribunal for the proceeding.

#### **Questions of law referred to Supreme Court** 29

- (1) If the President is a member constituting the Tribunal for a proceeding, the President may refer a question of law arising in the proceeding to the Supreme Court for determination.
- (1A) If the President refers a question of law to the Supreme Court under subsection (1) or section 28(2)(b), the Court may:
  - decide the question; and (a)
  - make any consequential orders it considers appropriate. (b)
  - (2) The decision and any consequential orders are taken to be a decision or order of the Tribunal.
  - (3) The Supreme Court may stay the proceeding before the Tribunal until the determination of the question of law.

## Part 3 Jurisdiction

## Division 1 General matters

## 30 Sources of jurisdiction

The Tribunal has the jurisdiction conferred on it by this or any other Act.

## 31 Kinds of jurisdiction

The Tribunal has the following kinds of jurisdiction in relation to matters:

- (a) original jurisdiction;
- (b) review jurisdiction;
- (c) internal review jurisdiction.

## Division 2 Original jurisdiction

# 32 Original jurisdiction

- (1) If the matter that a relevant Act gives the Tribunal jurisdiction to deal with does not involve review of a decision, the matter comes within the Tribunal's original jurisdiction.
- (2) The Tribunal must exercise its original jurisdiction in accordance with this Act and the relevant Act.

# Division 3 Review jurisdiction

#### Subdivision 1 General matters

## 33 Review jurisdiction

- (1) If the matter that a relevant Act gives the Tribunal jurisdiction to deal with involves a review of a decision, the matter comes within the Tribunal's review jurisdiction.
- (2) The Tribunal must exercise its review jurisdiction in accordance with this Act and the relevant Act.

## Subdivision 2 Information about reviewable decision

# Notice of decision and right to have it reviewed to be given by decision maker

- (1) This section applies if a decision maker makes a reviewable decision under a relevant Act
- (2) On making a reviewable decision, the decision maker must give each person who has a right under the relevant Act to have the decision reviewed by the Tribunal written notice of:
  - (a) the decision; and
  - (b) the fact that the person has a right to have the decision reviewed by the Tribunal; and
  - (c) the fact that the person has a right to request a statement of reasons for the decision.
- (3) Subsection (2) does not apply in relation to a decision that is prescribed by the Regulations.
- (4) If a person to whom notice is required to be given is not readily identifiable or cannot be readily located, the decision maker complies with subsection (2) if the decision maker takes reasonable steps to give the notice.
- (5) A decision maker's failure to comply with this section does not affect the validity of the decision.
- (6) If this Act and the relevant Act require the decision maker to give notice of a decision, the decision maker is only required to give the notice once.

### 35 Statement of reasons for decision

- (1) A person who has a right under a relevant Act to have a decision reviewed by the Tribunal may request the decision maker give the person a written statement of reasons for the decision.
- (2) Subsection (1) does not apply if:
  - (a) the decision maker has already given the person a written statement containing the details mentioned in subsection (5); or

- (b) the relevant Act contains provisions under which the person is entitled to a written statement containing the details mentioned in subsection (5) and the decision maker has already given a statement in accordance with the relevant Act.
- (3) A request under subsection (1):
  - (a) must be in writing; and
  - (b) must be made:
    - (i) if the person making the request was given a notice under section 34(2) within 28 days after notice of the decision was given; or
    - (ii) otherwise within 28 days after the decision.
- (4) The decision maker must comply with a request as soon as practicable, and in any case, within 28 days after receiving the request.
- (5) Subject to section 37, the decision maker's written statement must contain the following:
  - (a) the reasons for the decision;
  - (b) any findings on material questions of fact that led to the decision, referring to the evidence or other material on which those findings were based.
- (6) If this Act and the relevant Act require the decision maker to give a statement of reasons, the decision maker is only required to give the statement once.

## 36 Tribunal may order decision maker to provide reasons

- (1) If a decision maker fails to comply with a request under section 35(1), the person who made the request may apply to the Tribunal for an order that the decision maker give the statement to the person.
- (2) The person must give notice of the application to the decision maker within the time specified by the rules.
- (3) If the Tribunal is satisfied that the person is entitled to be given the statement, the Tribunal may order that the decision maker give the statement to the person within the time specified in the order.

Information about reviewable decision Subdivision 2

> (4) The Tribunal may order a decision maker to give the statement even if a request is not lodged within the time period specified in section 35(3) if the Tribunal considers there were reasonable grounds for the applicant not making the request in time.

#### 37 Exceptions to what must be provided

- (1) A written statement must not include any protected matter.
- (2) If the omission of protected matter would make the statement false or misleading then, instead of providing the statement, the decision maker must inform the person who requested the statement that it is not being provided because it would be false or misleading for that reason.
- (3) In this section:

protected matter means any information or document in relation to which there is in force a certificate under section 38.

#### 38 Non-disclosure of document or information if disclosure contrary to public interest

- (1) The Minister may certify in writing that the disclosure of specified information or of a specified document would be contrary to the public interest.
- (2) The Minister may do so only on one or more of the following grounds:
  - the disclosure would reveal deliberations or decisions of: (a)
    - (i) Cabinet; or
    - (ii) **Executive Council;**
  - the disclosure would reveal something that parliamentary privilege protects from disclosure;
  - the disclosure would endanger the national or international security of the Territory or Australia;
  - (d) the disclosure would damage inter-governmental relations;
  - any other reason that could form the basis for a claim by the Territory or the Minister in a proceeding in the Supreme Court that the information or matter should not be disclosed.
- (3) The certificate remains in force until revoked by the Minister or the Tribunal.

(4) If the Tribunal revokes a certificate, the Minister cannot issue another certificate for the same information or document.

# 39 Tribunal may revoke certificate

- (1) The Tribunal may revoke a certificate under section 38 if it considers the disclosure of the information or document would not be contrary to the public interest.
- (2) For this section, the Tribunal is to be constituted by the President.
- (3) A person in possession of the information or document to which a certificate applies must produce it to the Tribunal on request.
- (4) A question of whether or not the disclosure of information or a document would be contrary to the public interest is a question of law.

## 40 Minister may appeal Tribunal's order

If the Minister appeals under section 141 against a decision of the Tribunal to revoke a certificate under section 39, the decision of the Tribunal is stayed until:

- (a) the application for leave to appeal is dismissed; or
- (b) if leave is granted pending the determination of the appeal.

# 41 Decision maker to give statement of reasons and evidentiary material to Tribunal

- (1) If a proceeding in the Tribunal's review jurisdiction is commenced, the decision maker must give to the Tribunal:
  - (a) a written statement of the decision maker's reasons for the decision; and
  - (b) any documents and evidentiary material in the possession, or under the control, of the decision maker that may be relevant to the Tribunal's review of the decision.
- (2) The decision maker's statement of reasons under subsection (1)(a) must include any findings on material questions of fact that led to the decision, referring to the evidence or other material on which those findings were based.
- (3) If the Tribunal considers that the statement of reasons given by the decision maker does not satisfy the requirements specified in subsection (2), the Tribunal may, by written notice, request the decision maker to give to the Tribunal an additional statement

containing the further particulars specified by the Tribunal in the notice.

(4) The decision maker must comply with a notice given under subsection (3) within the period specified in the notice.

## 42 No decision made by decision maker

- (1) This section applies if:
  - (a) a person (**decision maker**) is required under a relevant Act to make a decision; and
  - (b) the decision, if made, would be a reviewable decision; and
  - (c) the decision maker has not made a decision by the expiry of:
    - (i) if the relevant Act specifies a period within which the decision must be made that period; or
    - (ii) otherwise 60 days after the date on which the obligation to make the decision arose.

Example for subsection (1)(c)(ii)

If a person must lodge an application for a decision and there is no specified period within which the decision must be made, the decision maker has not made a decision 60 days after the date the application was lodged.

- (2) The decision maker is taken to have made the decision (the *first decision*):
  - (a) at the expiry of the period mentioned in subsection (1)(c); and
  - (b) in a way that is adverse to all persons who would have had a right to seek a review of the decision had it in fact been made.
- (3) If a person applies for a review of the first decision and, before the review is determined, the decision maker in fact makes a decision (the **second decision**):
  - (a) subsection (2) ceases to apply; and
  - (b) the Tribunal may:
    - (i) if the second decision is adverse to the applicant and the applicant wishes to continue the review – continue to deal with and determine the review as a review of the second decision; or
    - (ii) otherwise dismiss the proceedings.

# Subdivision 3 Effect of commencement of review proceedings

# 43 Decision maker's powers restricted after proceeding commenced

- (1) After the commencement of a proceeding in the Tribunal's review jurisdiction about a decision, the decision maker must not:
  - (a) vary the decision; or
  - (b) set aside the decision and substitute a different decision.
- (2) However, the decision maker may do so if:
  - (a) the relevant Act permits; or
  - (b) the parties to the proceeding consent to the variation or setting aside and substitution; or
  - (c) the decision maker is invited to reconsider the decision under section 49.

# 44 Effect of review proceeding on decision

- (1) The commencement of a proceeding in the Tribunal's review jurisdiction does not affect the operation of the original decision unless an order is made under subsection (2).
- (2) The Tribunal or decision maker may make an order staying the operation of the decision:
  - (a) until the Tribunal makes its decision on the proceeding; or
  - (b) until another time specified in the order.
- (3) The Tribunal or decision maker may do so:
  - (a) at any time after the commencement of the proceeding; and
  - (b) on application or on its own initiative.

### Subdivision 4 Review of reviewable decision

## 45 Rehearing

The Tribunal must review a reviewable decision by way of rehearing.

## 46 Proceeding for review of reviewable decision

- (1) The objective of the Tribunal exercising its review jurisdiction is to produce the correct or preferable decision.
- (2) A proceeding in the Tribunal's review jurisdiction will include:
  - (a) an examination of the evidence or the material before the decision maker; and
  - (b) a consideration of any further evidence or material that the Tribunal decides, in the circumstances of the particular case, to admit for a rehearing.
- (3) The reasons for decision provided by the decision maker, or any grounds for review set out in the application, do not limit the Tribunal in conducting a proceeding in the Tribunal's review jurisdiction.

## 47 Decision maker to assist Tribunal

The decision maker must, at the request of the Tribunal, assist the Tribunal so that it can make its decision.

## 48 Application of statements of government policy

- (1) The Minister responsible for the administration of the relevant Act under which the reviewable decision was made may certify in writing that there was, at the time the decision was made, a statement of policy that was:
  - (a) publicly available; and
  - (b) applicable in relation to decisions of that kind.
- (2) If a statement of policy is certified under subsection (1), the decision maker for the reviewable decision must give the certified statement to the Tribunal.
- (3) Subject to subsection (4), the Tribunal must have regard to the certified statement of policy in conducting its review of the reviewable decision.
- (4) The Tribunal is only required to have regard to the certified statement of policy to the extent that it is not inconsistent with the relevant Act.

## 49 Tribunal may invite decision maker to reconsider decision

- (1) The Tribunal may, at any time during a proceeding, invite the decision maker to reconsider the decision by a time specified.
- (2) The decision maker must reconsider the decision and do one of the following:
  - (a) confirm the decision;
  - (b) vary the decision;
  - (c) set aside the decision and substitute a different decision.
- (3) The Tribunal may make any orders it considers appropriate after the decision maker has reconsidered the decision.

### Subdivision 5 Decision on review

## 50 Determination after proceeding

- (1) After reviewing a decision, the Tribunal must, after a proceeding in its review jurisdiction:
  - (a) confirm the decision; or
  - (b) vary the decision; or
  - (c) set aside the decision and:
    - (i) substitute its own decision; or
    - (ii) send the matter back to the decision maker for reconsideration in accordance with any recommendations the Tribunal considers appropriate; or
  - (d) make a different decision if permitted by the relevant Act.
- (2) The Tribunal may make any consequential orders the Tribunal considers appropriate.
- (3) The fact that a decision is made on reconsideration under subsection (1)(c)(ii) (the *reconsidered decision*) does not prevent the reconsidered decision from being a reviewable decision.

## 51 Effect of determination

(1) If the Tribunal confirms the decision, no further review of the decision can be sought by the same person or any other person.

- (2) If the Tribunal varies or substitutes the decision, the decision:
  - (a) is taken to be the decision of the decision maker; and
  - (b) unless the Tribunal orders otherwise has effect from the time when the original decision would have had effect.
- (3) Despite subsection (2)(a), the varied or substituted decision is not a reviewable decision.

# Division 4 Internal review jurisdiction

## 51A Internal review jurisdiction

The Tribunal has jurisdiction to conduct an internal review in accordance with Part 5, Division 1 of a decision made in the exercise of its original jurisdiction.

# Part 4 Proceedings

## Division 1 General matters

## 52 Tribunal may determine own procedures

Subject to this Act or a relevant Act, the Tribunal may determine its own procedures.

## 53 Principles for conducting proceedings

- (1) In conducting a proceeding, the Tribunal must act fairly and according to the substantial merits of the matter that is the subject of the proceeding.
- (2) The Tribunal:
  - (a) must comply with the rules of natural justice; and
  - (b) may inform itself in any way it considers appropriate and is not bound by the rules of evidence; and
  - (c) must act with as little formality and technicality, and with as much speed as the requirements of this Act, a relevant Act and a proper consideration of the matter permit; and
  - (d) must ensure, so far as is practicable, that all relevant material is disclosed to the Tribunal to enable it to decide the proceeding with all relevant facts.

(3) The Tribunal may accept any document into evidence despite any non-compliance with a time limit or other requirement under this Act or the relevant Act relating to the document or the service of the document.

## 54 Tribunal to ensure parties are informed

The Tribunal must take the following measures:

- (a) take reasonable steps to ensure that the parties to a proceeding have a reasonable opportunity to understand the nature of the matter under consideration;
- (b) take reasonable steps to ensure that the parties to a proceeding understand the nature of any assertions made in the proceedings and the legal implications of those assertions;
- (c) explain to the parties, if requested to do so, any aspect of the procedure of the Tribunal, or any decision or direction made by the Tribunal.

## 55 Tribunal to fully inform itself for proceeding

The Tribunal must take all reasonable steps to ensure:

- (a) that the parties have had the opportunity in a proceeding to be heard or otherwise have their submissions received; and
- (b) that all relevant material is disclosed to the Tribunal so as to enable it to decide all the relevant facts in issue in a proceeding.

# 56 How matter to be presented

The Tribunal may:

- require evidence or argument to be presented in writing and decide on the matters on which it will hear oral evidence or argument; and
- (b) limit the time available for presenting the respective cases of parties before it during a proceeding to an extent that it considers would not impede the fair and adequate presentation of the cases.

## 57 Power to adjourn proceedings

The Tribunal may adjourn a proceeding at any time and to any place (including for the purpose of enabling the parties to negotiate a settlement or the reconsideration of a decision by the decision maker).

## 58 President may establish divisions

- (1) The President may establish various divisions for matters that reflect the areas of jurisdiction of the Tribunal.
- (2) The President may assign members to one or more divisions and may vary the assignment at any time.

## 59 Sittings

The Tribunal may sit at the times and in the places in the Territory determined by the President, including at different places at the same time.

## 60 Proceedings to be heard in public

The hearing of a proceeding is to be open to the public unless the Tribunal orders otherwise under section 62.

## 61 Interpreters

Unless the Tribunal directs otherwise, a party or a party's representative may be assisted in a proceeding by an interpreter or another person necessary to make the proceeding intelligible to that party or representative.

# Tribunal may make orders for proceedings for private hearing, non-publication

- (1) The Tribunal may make orders for a proceeding in the following terms:
  - (a) a hearing, or part of a hearing, be held in private;
  - (b) prohibit or restrict the publication of the name and address of:
    - (i) a party to the proceeding; or
    - (ii) a witness appearing before the Tribunal in the proceeding;
  - (c) prohibit or restrict the publication of:
    - (i) evidence given before the Tribunal in the proceeding; or

- (ii) an order or decision made by the Tribunal in the proceeding;
- (d) prohibit or restrict the disclosure of some or all of the evidence given before the Tribunal to the parties to the proceeding;
- (e) exclude any person from the proceeding or any part of the proceeding.
- (2) The Tribunal must not do so unless the Tribunal considers that the order is necessary:
  - (a) in the interest of justice; or
  - (b) by reason of the confidential nature of the evidence to be given before the Tribunal; or
  - (c) in order to expedite proceedings of the Tribunal; or
  - (d) for any other reason.

Note for section 62

Non-compliance with an order of the Tribunal may constitute contempt under section 86.

# 63 Preserving subject matter of proceedings

- (1) The Tribunal may make any order that may be necessary to preserve the subject matter of a proceeding, or to protect the interests of a party, until questions arising in a proceeding have been determined.
- (2) The Tribunal may make the order on the application of a party or on its own initiative.
- (3) The order may be made whether or not a person whose interests may be affected:
  - (a) is a party; or
  - (b) has been given an opportunity to be heard.
- (4) The order may be made:
  - (a) for a specified period; or
  - (b) until a specified event or stage in a proceeding.
- (5) Before making the order, the Tribunal may require an undertaking from a party as to costs or compensation as it considers appropriate.

- (6) The Tribunal may assess any costs or compensation mentioned in subsection (5) and the Tribunal may order a party to pay the amount assessed.
- (7) The Tribunal's power under this section is in addition to, and does not limit, any power of the Tribunal under a relevant Act to make an order for an injunction or interim injunction.

## 64 Tribunal may grant alternative orders or relief

Despite the fact that a specific order is sought by an applicant in a proceeding, the Tribunal may make any other order it considers appropriate.

## 65 Interlocutory orders

The Tribunal may make interlocutory orders.

## 66 Security as to costs

- (1) The Tribunal may order a party to a proceeding give security for the payment of costs or to give an undertaking as to the payment of other monetary amounts that may be awarded against the party.
- (2) The Tribunal may vary the order at any time.
- (3) If a person fails to comply with the order the Tribunal may make any orders it considers appropriate, including:
  - (a) to dismiss the proceeding; or
  - (b) costs orders.
- (4) The Tribunal's power under this section is in addition to, and does not limit, any power of the Tribunal under a relevant Act in relation to the giving of security or an undertaking or the imposition of costs.

## 67 Conditional and ancillary orders

The Tribunal's power to make a decision in a proceeding (the *primary power*) includes a power:

- (a) to impose conditions on the decision; and
- (b) to make an ancillary order or direction the Tribunal considers appropriate for achieving the purpose for which the Tribunal may exercise the primary power.

Examples of ancillary orders

An order adjourning the proceeding or an order or direction that a person give an undertaking to the Tribunal.

### 68 Relief from time limits

- (1) If this Act or the relevant Act imposes a time limit for doing something in relation to a proceeding, the Tribunal may extend or shorten the time limit, if permitted to do so by the rules.
- (2) The Tribunal may do so even if the time for complying has expired.

# 69 Electronic hearings and proceedings on documents

- (1) The Tribunal may allow the parties or their representatives or any witnesses to participate in a proceeding by means of telephone, video link, or any other system or method of communication.
- (2) The Tribunal may conduct all or part of a proceeding on the basis of documents without the parties or their representatives or any witnesses attending or participating in a proceeding.
- (3) The Tribunal must take steps to ensure that the public has access to matters disclosed in the proceedings to the same extent as if the proceedings had been heard before the Tribunal with the attendance in person of all persons involved in the proceedings.

# 70 Completion of part-heard proceedings

- (1) This section applies if:
  - (a) a person ceases to be a member other than by having the person's appointment terminated or death; and
  - (b) the person constitutes, or is one of the persons constituting, the Tribunal for proceedings that are part-heard.
- (2) The person may continue to act as a member in order to enable the Tribunal to complete the proceedings and, for that purpose, is taken to continue to hold office as a member until the completion of the proceedings.

## 71 Privilege against self-incrimination

Section 128 (except subsections (9) and (10)) of the *Evidence* (*National Uniform Legislation*) *Act 2011* applies to a witness for a proceeding as if the Tribunal were a Territory court.

## 72 Other claims of privilege

- (1) Subject to section 71, a person is excused from answering a question or producing a document or other material in a proceeding if the person could not be compelled to answer the question or produce the document or material in proceedings in the Supreme Court.
- (2) The Tribunal may require a person to produce a document or other material to the Tribunal for the purpose of determining whether or not it is a document or material that the Tribunal has power to compel the person to produce.

# 73 Appointment of assessors

- (1) The President may, in writing, appoint a person to be an assessor for a proceeding.
- (2) The President may appoint a person to be an assessor only if the President is satisfied the person holds suitable qualifications, or has suitable knowledge or experience for the proceeding.
- (3) The President may determine the terms and conditions of appointment (including the remuneration) of an assessor.

### 74 Functions of assessors

- (1) The Tribunal may ask an assessor to:
  - (a) give expert evidence in a proceeding; or
  - (b) give advice about the matter that is the subject of a proceeding; or
  - (c) decide a question of fact arising in a proceeding.
- (2) In asking an assessor to give advice under subsection (1)(b), the Tribunal may ask the assessor to conduct an inquiry or investigation into a specified matter and give a written report of the assessor's findings to the Tribunal.
- (3) If an assessor gives the Tribunal a written report under subsection (1)(b) or (2), the Tribunal must:
  - (a) give a copy of the report:
    - (i) to each party to the proceeding; and

- (ii) to any other person to whom a copy of the report is required to be given under a relevant Act or the rules; and
- give each party to the proceeding an opportunity to make (b) written submissions about the report; and
- after considering any submissions made under paragraph (b), (c) either:
  - adopt the assessor's decision or findings, in whole or in (i) part; or
  - reject the decision or findings.

#### **75** Costs for assessor

- (1) The Tribunal may make an order requiring a party to a proceeding to pay or contribute to the Tribunal's costs of obtaining an assessor's assistance.
- (2) The Tribunal may only make an order under subsection (1) if:
  - before obtaining the assistance of the assessor the Tribunal (a) advised the party of:
    - the Tribunal's intention of obtaining the assistance; and (i)
    - the estimated costs of obtaining the assistance; and
    - the estimated amount of the party's payment or contribution; and
  - the Tribunal gave the party an opportunity to be heard on the (b) matter of obtaining the assistance.

#### 76 Disclosure of interests for assessors

- (1) This section applies to an assessor who assists the Tribunal under section 74 and who has or acquires an interest that may conflict with the performance of the person's functions as an assessor in relation to a proceeding.
- (2) The assessor must disclose the nature of the assessor's interest to the President.
- (3) The assessor must not perform any function as an assessor in relation to the proceeding except with the consent of all parties to the proceeding.

#### 77 Disclosure of interests for members

- (1) This section applies to a member who constitutes, or is one of the persons constituting, the Tribunal for a proceeding and who has or acquires an interest that may conflict with the performance of the person's functions as a member in relation to the proceeding.
- (2) The member must disclose the nature of the member's interest to the President, or if the member is the President, the Chief Justice of the Supreme Court.
- (3) The member must not constitute, or be one of the persons constituting, the Tribunal for a proceeding except with the consent of all parties to the proceeding.

## 78 Power to disregard requirements

- (1) If a failure by a person to comply with a requirement of an Act is material to a proceeding, the Tribunal may disregard the non-compliance if satisfied that it would result in an expeditious resolution of the proceeding.
- (2) An order under this section is not relevant for any purpose other than resolving the proceeding before the Tribunal.

#### 79 Power to correct mistakes

- (1) The Tribunal may correct a decision it makes or a statement of the reasons it has given for a decision to rectify:
  - (a) a clerical mistake; or
  - (b) an error arising from an accidental slip or omission; or
  - a material miscalculation of figures or a material mistake in the description of any person, thing or matter referred to in the decision; or
  - (d) a defect of form.
- (2) The correction may be made:
  - (a) on a party's application; or
  - (b) on the Tribunal's own initiative.

## 80 Tribunal may reopen proceeding

(1) This section applies in relation to a proceeding that has been determined by the Tribunal.

- (2) A party to the proceeding, or another person whose interests are affected by the decision made by the Tribunal in the proceeding, may apply to the Tribunal for the decision to be set aside and the proceeding reopened if the party or person:
  - (a) did not appear and was not represented at a relevant hearing for the decision; and
  - (b) had a reasonable excuse for not appearing or being represented at the relevant hearing.
- (3) A party to the proceeding may apply to the Tribunal for the decision made by the Tribunal in the proceeding to be set aside and the proceeding reopened if the party:
  - (a) consented to the making of the decision; and
  - (b) considers that there are grounds for the decision to be set aside by the Tribunal, including that the party's consent to the decision was obtained by reason of fraud, duress, the suppression of evidence or the giving of false evidence.
- (4) An application under subsection (2) or (3) must be made within the time specified by, and otherwise in accordance with, the rules.
- (5) The rules may limit the number of applications that can be made by the same party or other person under subsection (2) or (3) in respect of the same proceeding without obtaining the leave of the Tribunal.
- (6) For the determination of an application under subsection (2), the Tribunal is to be constituted by the same members that constituted the Tribunal when it made the decision in the proceeding, if practicable.
- (7) On an application under subsection (2), if the Tribunal is satisfied that the party or other person had a reasonable excuse for not appearing or being represented at the relevant hearing, the Tribunal may set aside the decision and reopen the proceeding.
- (8) On an application under subsection (3), if the Tribunal is satisfied that there are grounds on which the Tribunal's decision ought to be set aside, the Tribunal may set aside the decision and reopen the proceeding.

- (9) If a proceeding is reopened by the Tribunal under subsection (7) or (8), the Tribunal:
  - (a) may make any orders or give any directions for the conduct of the proceeding that the Tribunal considers appropriate in the circumstances; and
  - (b) must decide any issues or questions arising in the proceeding that are required to be decided by the Tribunal again.
- (10) The determination of an application under subsection (2) or (3) is part of the original proceeding to which the application relates and not a new proceeding.
- (11) In this section:

**relevant hearing**, in relation to a decision made by the Tribunal in a proceeding, means a hearing at which the decision was made or which preceded the making of the decision, but does not include a compulsory conference or mediation.

## 81 Tribunal may authorise person to take evidence

- (1) The Tribunal may authorise a person (whether or not a member) to take evidence on behalf of the Tribunal for a proceeding.
- (2) The Tribunal may authorise evidence to be taken under this section outside the Territory.
- (3) The Tribunal may give directions as to the taking of evidence under this section.
- (4) For the purpose of taking evidence under this section, the authorised person is taken to constitute the Tribunal.
- (5) Any evidence taken outside the Territory under this section is taken to have been given in the Territory.

#### 82 Process and service

- (1) If it is not practicable for a party to serve a document in relation to a proceeding in accordance with this Act or a relevant Act, the Tribunal may:
  - (a) order that service may be effected in another way; and
  - (b) make any other order necessary for service.

(2) Any document served in accordance with an order under subsection (1) is taken to have been served in accordance with the requirement mentioned in subsection (1).

#### 83 Proof of decisions and orders of Tribunal

A document that is certified by the Registrar to be a copy of a decision or order of the Tribunal must be accepted in any legal proceedings as a true copy of the decision or order of the Tribunal, in the absence of proof to the contrary.

## 84 Enforcement of monetary orders of Tribunal

- (1) This section applies if the Tribunal makes a monetary order.
- (2) A person to whom payment is to be made under the monetary order may enforce the order by filing in a court of competent jurisdiction:
  - (a) a copy of the monetary order certified by the Registrar to be a true copy; and
  - (b) the person's affidavit about the amount not paid under the order
- (3) No fee is payable for filing a copy of the order or affidavit under this section.
- (4) An order filed under subsection (2) is taken to be an order of the court in which it is filed and may be enforced accordingly.

Note for section 84

If a relevant Act allows for the imposition of fines, the enforcement provisions for those fines will apply.

#### 84A Enforcement of other orders of Tribunal

- (1) This section applies if the Tribunal makes an order that is not a monetary order.
- (2) A person who was a party to the proceeding in which the order was made may enforce the order by filing in the Local Court:
  - (a) a copy of the order certified by the Registrar to be a true copy; and
  - (b) the person's affidavit about the non-compliance with the order.
- (3) No fee is payable for filing a copy of the order or affidavit under this section.

- (4) An order filed under subsection (2) is taken to be an order of the Local Court and may be enforced accordingly.
- (5) Section 23(2) of the *Local Court (Civil Procedure) Act 1989* does not apply to an order mentioned in subsection (4).

## 84B Noncompliance with order

- (1) A person commits an offence if the person:
  - (a) is the subject of an order of the Tribunal (other than a monetary order); and
  - (b) fails to comply with the order.

Maximum penalty: 100 penalty units or imprisonment for 6 months.

- (2) Strict liability applies to subsection (1)(a).
- (3) It is a defence to a prosecution for an offence against subsection (1) if the defendant has a reasonable excuse.

## 85 Access to records for proceedings

- (1) A party to a proceeding may, on request:
  - (a) inspect the Tribunal's records for the proceeding; or
  - (b) obtain a copy of material contained in the Tribunal's records for the proceeding.
- (2) A person who is not a party to a proceeding may apply for permission to:
  - (a) inspect the Tribunal's records for the proceeding; or
  - (b) obtain a copy of material contained in the Tribunal's records for the proceeding.
- (3) An application under subsection (2):
  - (a) may be made for permission to be granted to a person or a class of persons specified in the application; and
  - (b) is to be determined by the President or the Registrar.

- (4) Without limiting subsection (3)(a), a class of persons specified in an application may be persons from time to time representing an Agency who require access to the Tribunal's records for a proceeding for the purpose of carrying out the functions of the Agency.
- (5) If an application is made under subsection (2), the President or the Registrar may grant permission to the person, or the class of persons, to inspect or obtain a copy of material contained in the Tribunal's records.
- (6) The President or the Registrar may grant permission under subsection (5) subject to any conditions the President or the Registrar considers appropriate, including conditions limiting the publication or use of any materials copied from the Tribunal's records.
- (7) An entitlement or permission to access the Tribunal's records for a proceeding under this section is subject to:
  - (a) any order of the Tribunal under section 62; and
  - (b) any other direction or order to the contrary that is made or given by the Tribunal under another provision of this or any other Act.
- (8) The Tribunal may charge a fee prescribed by regulation for the inspection or copying of material contained in the Tribunal's records under this section.
- (9) A decision of the President or the Registrar under this section is an administrative decision that is final and not subject to any form of review.
- (10) In this section:

**records**, of the Tribunal for a proceeding, means the following materials:

- (a) any document filed with, or issued by, the Tribunal in the proceeding;
- (b) a transcript of evidence taken by the Tribunal in the proceeding;
- (c) any evidentiary material;
- (d) any decision or order made by the Tribunal in the proceeding;
- (e) any other material specified in the rules.

## 86 Contempt

- (1) A person commits an offence if:
  - (a) the person engages in conduct; and
  - (b) the conduct constitutes contempt of the Tribunal (as defined in section 87).

Maximum penalty: 100 penalty units or imprisonment for 6 months.

(2) It is a defence to a prosecution for an offence against subsection (1) if the defendant has a reasonable excuse.

## 87 Conduct constituting contempt of the Tribunal

- (1) A person's conduct constitutes **contempt** of the Tribunal if the person:
  - (a) appears before the Tribunal as a witness; and
  - (b) does not do any of the following when required by the Tribunal to do so:
    - (i) take an oath;
    - (ii) answer a question;
    - (iii) produce a document or other thing.
- (2) A person's conduct constitutes **contempt** of the Tribunal if:
  - (a) the Tribunal has made an order requiring the person to do or not do something; and
  - (b) the order:
    - (i) was made orally to the person during proceedings; or
    - (ii) has been served on the person; and
  - (c) the person does not comply with the order.
- (3) However, subsection (2) does not apply if another law of the Territory provides a penalty for non-compliance with, or enforcing, the order.
- (4) A person's conduct constitutes **contempt** of the Tribunal if the person fails to comply with an undertaking the person has given to the Tribunal.

- (5) A person's conduct constitutes **contempt** of the Tribunal if the person:
  - (a) insults, threatens, intimidates or obstructs a member of the Tribunal in relation to his or her performance of functions or exercise of powers under this Act; or
  - (b) interrupts, obstructs or hinders a proceeding of the Tribunal; or
  - (c) creates, or takes part in creating, a disturbance at or near the place where the Tribunal is sitting; or
  - (d) engages in any other conduct that, under a law of the Territory, would constitute contempt in the face of the court if the Tribunal were a court of record.

## 88 Person engaging in contempt

If a person is engaging in conduct that the Tribunal considers constitutes contempt, the Tribunal may order the person to leave the place where the Tribunal is sitting and may continue the proceeding in the person's absence.

#### Division 2 Evidence

## Power to require person to give evidence or produce evidentiary material

- (1) The Tribunal may, on the application of a party to a proceeding or on its own initiative, issue a summons to a person (the *recipient*) requiring the recipient to appear before the Tribunal at a specified time and place to give evidence or produce evidentiary material.
- (2) A summons to produce evidentiary material may, instead of requiring production of the material before the Tribunal, provide for the production of the material to:
  - (a) the Registrar; or
  - (b) another person specified in the summons.
- (3) A party to the proceeding or the recipient may apply to the Tribunal for an order to set aside or vary the summons.
- (4) On an application under subsection (3), the Tribunal may set aside or vary the summons.

- (5) The Tribunal may make an order requiring the payment to the recipient of an amount in respect of the recipient's reasonable costs of complying with the summons by:
  - (a) if the summons was issued on the application of a party to the proceeding the party; or
  - (b) otherwise the parties to the proceeding in the proportions determined by the Tribunal.
- (6) The Tribunal may make an order under subsection (5) on the application of the recipient or on its own initiative.

## 90 Person fails to comply with summons

- (1) A person commits an offence if:
  - (a) the person has been served with a summons to appear before the Tribunal to give evidence; and
  - (b) the person fails to do either or both of the following:
    - (i) to attend as required by the summons;
    - (ii) to appear and report from day-to-day unless excused, or released from further attendance, by a member.

Maximum penalty: 100 penalty units or imprisonment for 6 months.

- (2) A person commits an offence if:
  - (a) the person has been served with a summons to produce evidentiary material; and
  - (b) the person does not comply with the summons.

Maximum penalty: 100 penalty units or imprisonment for 6 months.

- (3) Strict liability applies to subsection (1)(a) and (2)(a).
- (4) It is a defence to a prosecution for an offence against subsections (1) or (2) if the defendant has a reasonable excuse.

## 91 Dealing with evidentiary material

If evidentiary material is produced in a proceeding, the Tribunal may:

- (a) keep any item of evidentiary material for a period of time it considers appropriate; and
- (b) make copies of any item of evidentiary material.

#### 92 Witnesses

The Tribunal may:

- (a) require a witness to take an oath before giving evidence; and
- (b) require a witness to answer a question put by a member or a person appearing before the Tribunal that is determined by the Tribunal to be relevant to the proceeding.

Note for section 92

A person who fails to take the oath or answer a question commits an offence of contempt under section 86.

## 93 Entry and inspection of property

- (1) If the Tribunal considers an inspection of a place is relevant to a proceeding, an inspection may be carried out by:
  - (a) a member; or
  - (b) a person authorised by the Tribunal.
- (2) A person commits an offence if:
  - (a) a member or authorised person is carrying out an inspection;
     and
  - (b) the person obstructs the member or authorised person.

Maximum penalty: 100 penalty units or imprisonment for 6 months.

- (3) Strict liability applies to subsection (2)(a).
- (4) It is a defence to a prosecution for an offence against subsection (2) if the defendant has a reasonable excuse.
- (5) In this section:

obstruct includes hinder and resist.

#### Division 3 Procedures

## 94 Applications to commence proceeding

- (1) An application to commence a proceeding in the Tribunal must be:
  - (a) filed with the Registrar; and
  - (b) made in accordance with the relevant Act, this Act and the rules; and
  - (c) accompanied by the fee prescribed by regulation.
- (2) A proceeding in the Tribunal commences when the application is accepted under section 95.
- (3) An application under subsection (1) to commence a proceeding for the review of a reviewable decision must be filed:
  - (a) if the relevant Act specifies a period within which an application for the review of the decision is required to be made – within the specified period after the relevant day for that decision; or
  - (b) otherwise within 28 days after the relevant day for the decision.
- (4) The Registrar must ensure that a person intending to commence a proceeding before the Tribunal is given any reasonable assistance required by the person.
- (5) A proceeding may not be commenced by 2 or more persons jointly unless the facts or circumstances relating to each person's interests are the same or related.
- (6) The Tribunal may extend the time allowed for making an application mentioned in subsection (3).
- (7) In this section:

**relevant day**, for an application for the review of a reviewable decision, means:

- (a) the day the applicant was notified of the decision by the decision maker; or
- (b) if the applicant requested the decision maker to give a written statement of reasons for the decision under section 35 or the relevant Act – the earlier of the following:
  - (i) the day the written statement is given to the applicant;

(ii) the day by which the written statement was required to have been given to the applicant.

## 95 Acceptance or rejection of application to commence proceeding

- (1) On receipt of an application to commence a proceeding under section 94(1), the Registrar may:
  - (a) accept the application; or
  - (b) reject the application.
- (2) The Registrar may accept the application under subsection (1)(a) on conditions determined by the Registrar.
- (3) The Registrar may reject the application under subsection (1)(b) on the following grounds:
  - (a) the application is made by a person who is not entitled to make it;
  - (b) the application is made after the expiry of the time limit within which the application is required to be made;
  - (c) the application does not relate to a matter within the jurisdiction of the Tribunal;
  - (d) the application otherwise does not comply with the relevant Act, this Act or the rules.
- (4) If the Registrar rejects an application or accepts it subject to conditions, the person who made the application may request the Registrar to refer the application to the President to review the Registrar's decision.
- (5) If a request is made under subsection (4), the Registrar must refer the person's application to the President to review the Registrar's decision.
- (6) On a referral under subsection (5), the President may:
  - (a) confirm the Registrar's decision; or
  - (b) direct the Registrar to accept the application:
    - (i) without conditions; or
    - (ii) on conditions determined by the President.

(7) A review conducted by the President on a referral under subsection (5) is not a review of a decision for the purpose of section 33.

## 96 Directions for conduct of proceeding

- (1) The Tribunal may give a direction at any time in a proceeding and do whatever is necessary for the expeditious and fair conduct of the proceeding.
- (2) The Tribunal may give a direction on its own initiative or at the request of a party.
- (3) A directions hearing may be held for the purposes of this section before any other hearing in a proceeding.

## 97 Consolidating proceedings

- (1) The Tribunal may direct that 2 or more proceedings that concern the same or related facts or circumstances be consolidated into one proceeding.
- (2) If proceedings are consolidated, evidence given in the consolidated proceeding is admissible in relation to matters involved in either of the proceedings that were consolidated.

#### 98 Splitting proceedings

- (1) The Tribunal may direct that 2 or more proceedings that concern the same or related facts or circumstances remain as separate proceedings but be heard and decided together.
- (2) The Tribunal may direct:
  - (a) that any aspect of a proceeding be heard and determined separately; or
  - (b) that a proceeding commenced by 2 or more persons jointly be split into separate proceedings.

## 99 More appropriate forum

The Tribunal may, at any time, make an order dismissing all, or any part, of a proceeding if it considers that the matter, or any aspect of it, would be more appropriately dealt with by another tribunal, a court, or any other person.

## 99A Transfer of proceeding to Local Court or Supreme Court

- (1) The President may make an order (a *transfer order*) that a matter be transferred to the Local Court or the Supreme Court (the *recipient court*).
- (2) The President may make a transfer order if satisfied that:
  - (a) the matter is not within the Tribunal's jurisdiction; or
  - (b) because of the circumstances of the case, the proceeding would be more appropriately heard by the recipient court.

Example for subsection (2)(b)

Circumstances that might be relevant include the following:

- (a) the complexity of the matter, or the proceeding;
- (b) the difficulty or novelty of the issues raised;
- (c) the unsuitability of the Tribunal's powers and procedures for dealing with the matter;
- (d) that the matter is closely related to a cause of action between the same parties that is before the Local Court.
- (3) The President may make a transfer order on application by a party or on the President's own initiative.
- (4) The President may make a transfer order:
  - (a) even if the matter is not within the Tribunal's jurisdiction; but
  - (b) only if it appears to the President that the matter is, or when transferred will be, within the jurisdiction of the recipient court.

Note for subsection (4)(b)

In relation to the jurisdiction of the Local Court or Supreme Court for transferred matters, see section 13A of the Local Court Act 2015 or section 16A of the Supreme Court Act 1979.

- (5) The President must not make a transfer order unless satisfied that doing so would be in the interests of justice.
- (6) The President, when making a transfer order, and the recipient court when dealing with the transferred matter, may make any orders the President or court thinks appropriate for facilitating the orderly transfer of the matter to the court.
- (7) A recipient court may refuse to accept the transfer of a matter if:
  - (a) the matter is within the Tribunal's jurisdiction; and

- (b) the recipient court is satisfied that:
  - (i) because of the circumstances of the matter, the proceeding would be more appropriately heard by the Tribunal; and
  - (ii) it is in the interests of justice to do so.
- (8) If a recipient court refuses to accept a transfer of a matter:
  - (a) the transfer order is of no effect; and
  - (b) the President must not make another transfer order in relation to the matter.
- (9) For section 13A of the *Local Court Act 2015*, if a transfer order is made to transfer to the Local Court a matter that is within the Tribunal's jurisdiction:
  - (a) jurisdiction to deal with the claim mentioned in that section is taken to be conferred on the Local Court as well as the Tribunal; and
  - (b) the Act under which the jurisdiction is conferred on the Tribunal applies:
    - (i) as if references in it to the Tribunal were references to the Court; and
    - (ii) with any other necessary changes.

Note for section 99A

For the transfer of proceedings from the Local Court or Supreme Court to the Tribunal, see section 44A of the Local Court Act 2015 or section 16 of the Supreme Court Act 1979.

## 100 Withdrawing proceeding

- (1) The applicant in a proceeding may withdraw the proceeding or a part of the proceeding.
- (2) Unless otherwise provided by the rules, an applicant may do so only with the leave of the Tribunal.
- (3) The Tribunal may make any orders it considers appropriate after receiving an application for leave to withdraw a proceeding.
- (4) If a proceeding or a part of a proceeding is withdrawn under this section, another proceeding of the same kind in relation to the same matter cannot be commenced without the leave of the President.

## 101 Dismissing proceeding for want of prosecution

- (1) The Tribunal may dismiss all, or any part, of a proceeding for want of prosecution.
- (2) The Tribunal may do so on the application of a party or on its own initiative.

#### 101A Default decisions

- (1) If a party does not respond to an application for the recovery of a debt or other fixed sum of money owed, the Tribunal may make a decision against the party and order payment of the debt or sum.
- (2) A decision must not be made under subsection (1) unless:
  - (a) the applicant files an unattested declaration declaring, to the best of the applicant's knowledge, information and belief:
    - the amount of the debt or other fixed sum of money that remains due and owing as at the date of the declaration; and
    - (ii) the amounts and dates of any payments made in relation to the amount due and owing since the date of the application; and
  - (b) the Tribunal is satisfied that the application set out the claim in terms that were reasonably clear.

## 101B Tribunal may set aside default decision

- (1) A party against whom the Tribunal makes a decision under section 101A(1) (a *default decision*) may apply to the Tribunal to set aside the decision.
- (2) The application must be made within the time limits specified by, and otherwise in accordance with, the rules.
- (3) On an application under subsection (1), if the Tribunal is satisfied that the party had a reasonable excuse for failing to respond to the application referred to in section 101A(1), the Tribunal may set aside the default decision.
- (4) The determination of an application under this section is part of the original proceeding in which the default decision was made.

## 102 Frivolous, vexatious or improper proceedings

- (1) This section applies if the Tribunal considers that a proceeding:
  - (a) is frivolous, vexatious, misconceived or lacking in substance or involves a trivial matter or amount; or
  - (b) is being made for an improper purpose; or
  - (c) is otherwise an abuse of process.
- (2) The Tribunal may dismiss the proceeding and may make any other order.
- (3) The Tribunal may act under subsection (2) on the application of a party or on its own initiative.
- (4) If a proceeding is dismissed under this section, another proceeding of the same kind in relation to the same matter cannot be commenced without the leave of the President.

## 103 Proceeding conducted to cause disadvantage

- (1) This section applies if the Tribunal considers that a party to a proceeding is conducting the proceeding in a way that unnecessarily disadvantages another party to the proceeding.
- (2) The Tribunal may:
  - (a) if the party causing the disadvantage is the applicant order that the proceeding be dismissed; or
  - (b) otherwise:
    - (i) determine the proceeding in favour of the applicant; or
    - (ii) order that the party causing the disadvantage be removed from the proceeding.
- (3) The Tribunal may make any other orders the Tribunal considers necessary.
- (4) The Tribunal may act under subsections (2) and (3) on the application of a party or on its own initiative.
- (5) If a proceeding is dismissed under this section, another proceeding of the same kind in relation to the same matter cannot be commenced without the leave of the President.

Subdivision 1 Compulsory conference

## 104 Tribunal may appoint guardian

The Tribunal may appoint a litigation guardian for a person who is a party or a potential party to a proceeding.

#### 105 Decisions and reasons for decisions

- (1) All decisions and orders made by the Tribunal must be issued under the seal of the Tribunal.
- (2) The Tribunal must give its final decision in a proceeding, and its reasons for its decision, to the parties:
  - (a) in writing; and
  - (b) within 28 days after the hearing for the proceeding.
- (2A) The Tribunal's reasons for its final decision under subsection (2) must set out the Tribunal's findings on any material questions of fact, referring to the evidence or other material on which those findings are based.
  - (3) The validity of the final decision is not affected merely because of a failure to comply with subsection (2).
  - (4) The Tribunal may request an extension of the time limit mentioned in subsection (2)(b) from the President.
  - (5) In this section:

**final decision**, in a proceeding, means a decision of the Tribunal that finally determines the matters that are the subject of the proceeding.

#### 106 Publication

The Tribunal may publish its final decision in a proceeding, with or without the reasons for the decision, in any way it considers appropriate.

## Division 4 Alternative dispute resolution and settlement

## **Subdivision 1 Compulsory conference**

#### 107 Tribunal may require parties to attend compulsory conference

(1) The Tribunal may require the parties to a proceeding to attend a compulsory conference.

(2) Subject to this Act, the presiding member for a proceeding presides over the compulsory conference and may determine the procedure of a compulsory conference.

### 108 Purpose of compulsory conference

The purpose of a compulsory conference is to identify and clarify the issues in the proceeding and promote the resolution of the matter by a settlement between the parties.

## 109 Question of law may be referred to President

The member may, if that member is not the President, refer a question of law to the President for determination during a compulsory conference.

## 110 Conduct of compulsory conference

- (1) The member may require a party to give particulars of the party's matter.
- (2) The member may determine who, apart from the parties to the proceedings (and their representatives), may be present at the conference.
- (3) The member may, on the member's own initiative, close the conference at any time if, in the member's opinion, settlement cannot be reached.
- (3A) The member may adjourn the conference if the member considers that further negotiations between the parties may lead to a settlement.
  - (4) The member may permit a party to withdraw from the proceedings during the compulsory conference and make any consequential order that is appropriate in the circumstances.
  - (5) A compulsory conference must be held in private unless otherwise ordered by the member.

# 111 Evidence in compulsory conference inadmissible in proceeding

Evidence of anything said or done in the course of a compulsory conference is inadmissible in a proceeding before the Tribunal except with the consent of all parties to the proceeding.

## 112 Settlement at compulsory conference

- (1) Subject to subsection (2), if the parties to a proceeding reach a settlement at a compulsory conference, the member may make any decision or order necessary to give effect to the settlement.
- (2) The member may only make a decision or order under subsection (1) if the member is satisfied that the Tribunal could make the decision or order under the relevant Act or this Act.
- (3) The member may decline to make a decision or order under subsection (1) on the basis that the settlement reached by the parties may prejudice a person who:
  - (a) was not present or represented at the compulsory conference; and
  - (b) has a direct or material interest in the matter to which the settlement relates.
- (4) A decision or order made under subsection (1) may be enforced as if it were a decision or order made by the Tribunal.

#### 113 Advise Tribunal of outcome

The member must advise the Tribunal:

- (a) if a settlement is accepted by the member; or
- (b) if the member has closed the conference under section 110(3).

## 114 Another member may preside over compulsory conference

If the member presiding over the compulsory conference is unable to continue with the conference, another member may continue and complete the conference.

## 115 Member who presided over compulsory conference may not constitute Tribunal

A member who presided over a compulsory conference is disqualified from sitting as a member for the purpose of deciding the proceeding, unless the parties to the proceeding consent to the member's continued participation.

Note for section 115

If the matter is not settled at a compulsory conference and the proceeding needs to continue, the President may alter the constitution of the Tribunal under section 24.

## 116 Rules may set out circumstances for compulsory conference details to be made public

The rules may set out circumstances in which the outcome of a compulsory conference, including details of a settlement, are to be, or may be, made available to the public.

#### Subdivision 2 Mediation

### 117 Approved mediators

The President may keep a list of persons who are approved mediators.

## 118 Tribunal may require parties to attend mediation

- (1) The Tribunal may require the parties to a proceeding to attend mediation by a mediator.
- (2) The referral may be made with or without the consent of the parties or on application by the parties.
- (3) The rules may specify how notice of mediation is to be given, how the mediation is to be conducted, and the fees to be paid by a party to the mediation.

#### 119 Purpose of mediation

The purpose of mediation is to promote the resolution of the matter by a settlement between the parties.

#### 120 Conduct of mediation

- (1) Subject to this Act, the mediator may determine the procedure for the mediation.
- (2) A mediation must be held in private unless otherwise ordered by the mediator.
- (3) The mediator may adjourn the mediation if the mediator considers that further negotiations between the parties may lead to a settlement.

## 121 Evidence in mediation inadmissible in proceeding

Evidence of anything said or done in the course of mediation is inadmissible in a proceeding before the Tribunal except with the consent of all parties to the proceeding.

#### 122 Settlement at mediation if mediator is member

- (1) Subject to subsection (2), if the parties to a proceeding reach a settlement at a mediation and the mediator is a member, the member may make any decision or order necessary to give effect to the settlement.
- (2) The member may only make a decision or order under subsection (1) if the member is satisfied that the Tribunal could make the decision or order under the relevant Act or this Act.
- (3) The member may decline to make a decision or order under subsection (1) on the basis that the settlement reached by the parties may prejudice a person who:
  - (a) was not present or represented at the mediation; and
  - (b) has a direct or material interest in the matter to which the settlement relates.
- (4) A decision or order made under subsection (1) may be enforced as if it were a decision or order made by the Tribunal.

#### 123 Settlement at mediation if mediator is not a member

- (1) This section applies if:
  - (a) the parties to a proceeding reach a settlement at mediation; and
  - (b) the mediator is not a member.
- (2) Any settlement under this section:
  - (a) must not be inconsistent with the relevant Act; and
  - (b) may be rejected by the Tribunal on the basis that the settlement may prejudice a person who was not represented at the mediation but who has a direct or material interest in the matter.

#### 124 Advise Tribunal of outcome

The mediator must advise the Tribunal:

- (a) if the mediator is a member if a settlement is accepted by the member; or
- (b) if the mediator is not a member the terms of a settlement reached between the parties; or

(c) if the mediation does not reach a settlement within a reasonable time.

## 125 Member who presided over mediation may not constitute Tribunal

A member who presided over a mediation is disqualified from sitting as a member for the purpose of deciding the proceeding, unless the parties to the proceeding consent to the member's continued participation.

Note for section 125

If the matter is not settled at mediation and the proceeding needs to continue, the President may alter the constitution of the Tribunal under section 24.

## **Subdivision 3** Settlement by Tribunal

## 126 Settling a proceeding

- (1) The Tribunal may endeavour to achieve a negotiated settlement of a matter before the Tribunal.
- (2) If the parties agree in writing to settle a matter before the Tribunal, the Tribunal may make any decision or order necessary to give effect to the settlement.
- (3) A settlement under this section must not be inconsistent with the relevant Act and the Tribunal may reject a settlement under subsection (2) on the basis that:
  - (a) the settlement may prejudice a person who is not a party to the settlement but who has a direct or material interest in the matter; or
  - (b) the terms of the settlement are inappropriate.

## Division 5 Parties and representation

## 127 Parties

- (1) A person is a party to a proceeding before the Tribunal if the person is:
  - (a) the applicant; or
  - (b) for a disciplinary proceeding or a proceeding consisting of an inquiry into a person's behaviour the person who is the subject of the proceeding; or

- (c) for a proceeding in the Tribunal's review jurisdiction the decision maker; or
- (ca) for a proceeding in the Tribunal's internal review jurisdiction any person who was a party to the original proceeding to which the internal review relates; or
- (d) a person joined in a proceeding by order of the Tribunal; or
- (e) a person lawfully intervening in a proceeding; or
- (f) a litigation guardian appointed by the Tribunal; or
- (g) any other person specified in this Act or the relevant Act to be a party to a proceeding.
- (2) Subsection (1) applies subject to the rules.
- (3) In a proceeding where a decision maker is a party, the decision maker's statutory position rather than the decision maker's name is to be used so far as is practicable.
- (4) The Tribunal may order the removal of a person as a party to the proceeding under subsection (1)(ca) if the Tribunal considers it appropriate to do so.

## 128 Person may be joined as party

- (1) The Tribunal may order that a person be joined as a party to a proceeding if the Tribunal considers that:
  - (a) the person should be bound by, or have the benefit of, a decision of the Tribunal in the proceeding; or
  - (b) the person's interests are affected by the proceeding; or
  - (c) it is desirable for any other reason for the person to be a party.
- (2) The Tribunal may make an order under this section:
  - (a) on the application of any person or on its own initiative; and
  - (b) without the person whom it is proposed to join being heard on the matter.

## 129 Minister or other person may intervene in proceeding

(1) The Minister may, on behalf of the Territory, intervene in a proceeding before the Tribunal at any time.

- (2) Any other person may intervene in a proceeding with the leave of the Tribunal.
- (3) The Tribunal may grant a person leave to intervene:
  - (a) on the grounds specified in the rules; and
  - (b) on any conditions the Tribunal considers appropriate.

## 130 Representation

- (1) A party to a proceeding before the Tribunal is entitled to appear:
  - (a) personally; or
  - (b) by a legal practitioner; or
  - (c) with the leave of the Tribunal and subject to the rules by another representative.
- (2) Unless otherwise determined by the Tribunal, a person appearing before the Tribunal may be assisted by another person as a friend.
- (3) Nothing in this section authorises a person who is not a legal practitioner to act for a fee or reward in relation to a proceeding.
- (4) In this section:

legal practitioner, see section 4 of the Legal Profession Act 2006.

#### Division 6 Costs

#### 131 Parties bear own costs

Subject to sections 132 and 134, parties bear their own costs in a proceeding before the Tribunal.

## 132 Tribunal may make costs orders

- (1) The Tribunal may make a costs order.
- (2) Before making the costs order the Tribunal must take into account:
  - (a) the main objectives of the Tribunal that are relevant to simplifying proceedings and issues before the Tribunal and to keeping costs to parties in proceedings before the Tribunal to a minimum; and
  - (b) the need to ensure that proceedings are fair and that parties are not disadvantaged by proceedings that have little or no merit; and

- (ba) the expectation that a party who has substantially succeeded against another party would normally recover:
  - (i) any fee paid by the successful party that was required under this Act; and
  - (ii) any fee paid by the successful party to serve documents, conduct a search or obtain a similar service, if it was necessary and reasonable to make or respond to an application; and
- (c) if the Tribunal has dismissed the proceeding that fact; and
- (d) any other matter specified by the rules; and
- (e) any other matter the Tribunal considers relevant.
- (3) If the Tribunal makes a costs order and does not fix the amount of costs, that amount is to be determined in accordance with the rules.

## 133 Additional considerations for costs in review jurisdiction

Before making a costs order in a review proceeding, the Tribunal must take into account:

- (a) whether the party genuinely attempted to enable and assist the decision maker to make the original decision on its merits;
   and
- (b) whether the decision maker genuinely attempted to make the original decision on its merits.

## 134 Costs order against a representative

The Tribunal may make a costs order against a representative of a party because the representative acted in, or delayed, the proceeding in a way that resulted in unnecessary costs.

#### Division 7 Arrest

## 135 Arrest of person for not complying with summons

- (1) If a person fails to attend as required by a summons under section 89, the President or a Deputy President may issue a warrant for the arrest of the person.
- (2) Before issuing the warrant, the President or Deputy President must be satisfied that:
  - (a) the summons was served on the person; or

- (b) a reasonable attempt was made to serve the summons on the person.
- (3) The warrant authorises the person to whom it is addressed:
  - (a) to arrest the person at any time and bring the person before the President or Deputy President; and
  - (b) to detain the person in custody until released by order of the President, a Deputy President or the Supreme Court.
- (4) The arrest of a person under this section does not prevent the person from being prosecuted for an offence under section 86.

## 136 Conditional release of arrested person

- (1) The President or a Deputy President may release a person arrested under section 135.
- (2) The release may be subject to any conditions the President or Deputy President considers appropriate.
- (3) The President or Deputy President may act on his or her own initiative or on application by the person for release.
- (4) A person commits an offence if he or she:
  - (a) is released subject to a condition; and
  - (b) fails to comply with the condition.

Maximum penalty: 100 penalty units or imprisonment for 6 months.

- (5) Strict liability applies to subsection (4)(a).
- (6) It is a defence to a prosecution for an offence against subsection (4) if the defendant has a reasonable excuse.

## 137 Review by Supreme Court

- (1) This section applies if a person has been arrested under section 135 and the President or a Deputy President has decided under section 136:
  - (a) to release the person on conditions; or
  - (b) to refuse an application by the person for release.

- (2) For subsection (1)(b), if an application has not been dealt with within a reasonable time, the President or Deputy President is taken to have refused it.
- (3) The person may apply to the Supreme Court for a review of the President's or Deputy President's decision.
- (4) On a review, the Supreme Court must do one of the following:
  - (a) confirm the decision;
  - (b) vary the decision;
  - (c) set aside the decision and substitute its own decision.
- (5) An order made under subsection (4) is taken to be an order of the Tribunal.

## Division 8 Directions and rules

#### 138 Rules

- (1) The President must establish a rules committee consisting of the President and at least one other member.
- (2) The President is the chairperson of the rules committee.
- (3) The rules committee has the following functions:
  - (a) to make and review rules for this Act;
  - (b) to approve forms for use for this Act;
  - (c) to perform any other functions conferred on it by this or any other Act.
- (4) Subject to subsections (5) and (6), the rules committee may determine its own procedures.
- (5) A decision at a meeting of the rules committee must be determined by the majority vote of members present and voting.
- (6) If there is an equality of votes, the chairperson has a casting vote in addition to the chairperson's deliberative vote.
- (7) The rules committee may make rules of the Tribunal under this Act.

Note for subsection (7)

See section 65 of the Interpretation Act 1978.

- (8) Without limiting subsection (7), the rules of the Tribunal may provide for the following:
  - the practice and procedure of the Tribunal in the exercise of its jurisdiction, including in respect of jurisdiction conferred on the Tribunal by a particular relevant Act;
  - (b) the conduct, organisation and management of the business of the Tribunal;
  - (c) the filing, issue and service of applications and other documents, including by means of electronic communication.

#### 139 Practice directions

- (1) The President may issue practice directions for the Tribunal as the President considers necessary or convenient for the operation of the Tribunal.
- (2) If there is an inconsistency between a practice direction and the rules, the rules prevail to the extent of the inconsistency.

## Part 5 Internal review and appeals

#### Division 1 Internal review

#### 140 Applications for internal review

- (1) A party to a proceeding in the Tribunal's original jurisdiction may apply to the Tribunal for an internal review of a decision made by the Tribunal in the proceeding.
- (2) Despite subsection (1), an application for an internal review cannot be made in relation to:
  - (a) a decision of a kind excluded by the relevant Act; or
  - (b) a decision of a kind prescribed by regulation; or
  - (c) a decision other than a final decision in the proceeding.
- (3) An application under subsection (1) must be:
  - (a) accompanied by a written statement setting out the grounds on which the application is made; and
  - (b) made in accordance with the rules.

- (4) An internal review on an application under subsection (1) may be brought only with the leave of the President.
- (5) In this section:

**final decision**, in a proceeding, means a decision of the Tribunal that finally determines the matters that are the subject of the proceeding.

#### 140A Determination of internal review

- (1) An internal review of a decision (the *original decision*) conducted by the Tribunal on an application under section 140(1) is limited to:
  - (a) a determination of whether the original decision was or was not affected by any error of fact or law; and
  - (b) the correction of any identified error of fact or law in respect of the decision.
- (2) Subject to subsection (3), the Tribunal must hear and determine the internal review by way of a reconsideration of the evidence or material that was before the Tribunal when the original decision was made.
- (3) The Tribunal may allow a party to the internal review to give further evidence or material on the internal review if the Tribunal considers that it would be in the interests of justice to do so.
- (4) On the internal review, the Tribunal may:
  - (a) confirm the original decision; or
  - (b) vary the original decision; or
  - set aside the original decision and substitute a new decision;
     or
  - (d) set aside the original decision and send the matter back to the Tribunal as originally constituted for the proceeding in which the original decision was made in accordance with any recommendations that it considers appropriate.
- (5) In addition to any orders made under subsection (4), the Tribunal may make any consequential orders that it considers appropriate.

## 140B Effect of internal review on original decision

- (1) The commencement of a proceeding in the Tribunal's internal review jurisdiction does not affect the operation of the decision to which the internal review relates unless an order is made under subsection (2).
- (2) The Tribunal conducting the internal review may make an order staying the operation of the original decision until:
  - (a) the Tribunal makes its decision under section 140A(4); or
  - (b) another time specified in the order.
- (3) The Tribunal may make an order under subsection (2):
  - (a) at any time after the commencement of the internal review;
  - (b) on the application of a party to the internal review or on its own initiative.

## Division 2 Appeals

## 141 Appeal to Supreme Court

- (1) A party to a proceeding may appeal to the Supreme Court against a decision of the Tribunal on a question of law.
- (2) A person may appeal only with the leave of the Supreme Court.
- (3) On hearing an appeal, the Supreme Court must do one of the following:
  - (a) confirm the decision of the Tribunal;
  - (b) vary the decision of the Tribunal;
  - (c) set aside the decision and:
    - (i) substitute its own decision; or
    - (ii) send the matter back to the Tribunal for reconsideration in accordance with any recommendations the Supreme Court considers appropriate:
  - (d) dismiss the appeal.

## 142 Effect of appeal on decision appealed against

- (1) The filing of an application for leave to appeal from the decision does not affect the operation of the decision appealed against.
- (2) However, the Supreme Court may stay the operation of the decision until the determination of an application for leave to appeal from the decision.

## Part 6 Registrar

## 143 Appointment of Registrar

The Minister may, in writing, appoint a public sector employee who is admitted or qualified to be admitted as a legal practitioner in the Territory to be the Registrar of the Tribunal.

## 144 Functions and powers of Registrar

- (1) The Registrar has the following functions:
  - (a) to assist the President in the administration of the Tribunal;
  - (b) to manage the registry and records of the Tribunal;
  - (c) to manage the day-to-day case management of the Tribunal;
  - (d) to constitute the Tribunal under section 22(3);
  - (e) any other function as directed by the President or specified under the rules.
- (2) The Registrar has the powers necessary or convenient to perform the Registrar's functions.

## 145 Registrar may delegate powers and functions

The Registrar may delegate the Registrar's powers and functions to a public sector employee.

#### 146 Termination of appointment

The Minister may terminate the appointment of a person as the Registrar for inability, misbehaviour or physical or mental incapacity.

## Part 7 Miscellaneous matters

## 147 Protection from liability for acts

- (1) A person is not civilly or criminally liable for an act done or omitted to be done by the person in good faith in the exercise of a power or performance of a function as a member.
- (2) In addition, the person is not civilly or criminally liable for an act done or omitted to be done by the Tribunal in good faith in the exercise of a power or performance of a function under this or another Act.
- (3) Subsections (1) and (2) do not affect any liability the Territory would, apart from those subsections, have for the act or omission.
- (4) In this section:

**exercise**, of a power, includes the purported exercise of the power.

**performance**, of a function, includes the purported performance of the function.

## 148 Protection from liability for disclosure

If a person produced a document or other material under a requirement of this Act, the person is not civilly or criminally liable, or in breach of a professional code, for doing so.

## 149 Confidentiality of information

- (1) A person commits an offence if:
  - (a) the person obtains information in the course of performing functions connected with the administration of this Act; and
  - (b) the person engages in conduct that results in the disclosure of the information.

Maximum penalty: 200 penalty units or imprisonment for 2 years.

- (2) Strict liability applies to subsection (1)(a).
- (3) Subsection (1) does not apply if:
  - (a) the person discloses the information:
    - (i) for the administration of this Act; or

- (ii) with the consent of the person to whom the information relates; or
- (iii) for legal proceedings arising out of the operation of this Act; or
- (b) the information is otherwise available to the public.

## 150 False or misleading information

- (1) A person commits an offence if:
  - (a) the person gives information to another person; and
  - (b) the other person is a member constituting, or is one of the persons constituting, the Tribunal; and
  - (c) the person knows the information is misleading; and
  - (d) the person knows the member is acting in an official capacity.

Maximum penalty: 100 penalty units or imprisonment for 6 months.

- (2) A person commits an offence if:
  - (a) the person gives a document to another person; and
  - (b) the other person is a member constituting, or is one of the persons constituting, the Tribunal; and
  - (c) the person knows the document contains misleading information; and
  - (d) the person knows the member is acting in an official capacity.

Maximum penalty: 100 penalty units or imprisonment for 6 months.

- (3) Strict liability applies to subsections (1)(a) and (b) and (2)(a) and (b).
- (4) Subsection (2) does not apply if the person, when giving the document:
  - (a) draws the misleading aspect of the document to the other person's attention; and
  - (b) to the extent to which the person can reasonably do so gives the other person the information necessary to remedy the misleading aspect of the document.

## (5) In this section:

acting in an official capacity, in relation to a member, means the member is exercising powers or performing functions under, or otherwise related to the administration of, this Act.

**misleading information** means information that is misleading in a material particular or because of the omission of a material particular.

## 151 Annual report

- (1) The President must prepare and give to the Minister a report on the management and operation of the Tribunal during each financial year.
- (2) The report must contain information about:
  - (a) the number, nature and outcome of matters that have come before the Tribunal; and
  - (b) the number and nature of matters before the Tribunal that are incomplete as at the end of the financial year; and
  - (c) any trends or special problems that may have emerged; and
  - (d) in relation to the matters that have come before the Tribunal the level of compliance by decision makers with requirements to:
    - (i) notify persons of reviewable decisions and their rights to seek review; and
    - (ii) provide written reasons for reviewable decisions when requested to do so; and
  - (e) forecasts of the workload of the Tribunal in the next financial year; and
  - (f) any proposals for improving the management and operation of the Tribunal.
- (3) The report must not contain information in relation to the evidence given in closed hearings or other confidential matters.
- (4) The report must be given to the Minister within 3 months after the end of the financial year or such other period as the Minister determines.

(5) The Minister must table a copy of the report in the Legislative Assembly within 7 sitting days after the Minister receives the report.

### 152 Additional reports

- (1) The President must, at the request of the Minister, report to the Minister on any matter relevant to the administration or operation of the Tribunal.
- (2) The report must not contain information in relation to the evidence given in closed hearings or other confidential matters.

## 153 Proceedings for offences

Proceedings for an offence against this Act must be brought within 12 months from the date on which the offence is alleged to have been committed.

#### 154 Seal

- (1) The Tribunal may have a seal for sealing documents issued out of the Tribunal and required to be sealed.
- (2) The seal must be of a design approved by the President and must include the words "The Seal of the Northern Territory Civil and Administrative Tribunal".
- (3) The seal must be kept at the place and in the custody of a person as directed by the President.
- (4) The seal of the Tribunal may be affixed to a document manually or electronically.

## 155 Regulations

- (1) The Administrator may make regulations under this Act.
- (2) A regulation may prescribe fees payable under this Act.

#### Part 8 Transitional matters

#### Division 1 Justice Legislation Amendment Act 2015

#### 156 Enforcement of orders made before commencement

Sections 84, 84A and 84B, as inserted by section 53 of the *Justice Legislation Amendment Act 2015*, apply to an order made by the Tribunal before the commencement of that section.

## Division 2 Northern Territory Civil and Administrative Tribunal Amendment Act 2018

#### 157 Default decisions made before commencement

Section 101A(1), as inserted by section 5 of the *Northern Territory Civil and Administrative Tribunal Amendment Act 2018*, does not apply to any proceeding commenced before the commencement of that section.

## Division 3 Northern Territory Civil and Administrative Tribunal Amendment Act 2023

#### 158 Definitions

In this Division:

**amending Act** means the Northern Territory Civil and Administrative Tribunal Amendment Act 2023.

**commencement** means the commencement of Part 2 of the amending Act.

**former internal review provisions** means sections 140 and 140A, as in force immediately before the commencement.

**new internal review provisions** means sections 51A, 140, 140A and 140B, as inserted by the amending Act.

## 159 Decisions subject to new internal review provisions

The new internal review provisions:

- (a) apply in relation to a decision that is made by the Tribunal in a proceeding in the Tribunal's original jurisdiction after the commencement, even if the proceeding was commenced before the commencement; and
- (b) do not apply in relation to a decision that was made by the Tribunal before the commencement.

## 160 Applications for internal review not made before commencement

- (1) This section applies to a person if, immediately before the commencement, the person:
  - (a) was entitled to make an application to the Tribunal under the former internal review provisions for review of a decision; and

- had not yet made an application to the Tribunal for review of (b) the decision.
- (2) The person may apply to the Tribunal for review of the decision under the former internal review provisions, as if section 33 of the amending Act had not commenced.
- (3) The Tribunal must hear and determine the person's application in accordance with the former internal review provisions, as if section 33 of the amending Act had not commenced.

#### 161 Applications for internal review not determined before commencement

- (1) Subsection (2) applies in relation to an application to the Tribunal for review of a decision that:
  - (a) was made by a person under the former internal review provisions before the commencement; and
  - (b) had not been finally determined by the Tribunal before the commencement.
- (2) The Tribunal must continue to hear and determine the person's application in accordance with the former internal review provisions, as if section 33 of the amending Act had not commenced.

#### **ENDNOTES**

## 1 KEY

Key to abbreviations

amd = amended od = order
app = appendix om = omitted
bl = by-law pt = Part

ch = Chapter r = regulation/rule
cl = clause rem = remainder
div = Division renum = renumbered

exp = expires/expired rep = repealed
f = forms s = section
Gaz = Gazette sch = Schedule
hdq = heading sdiv = Subdivision

ins = inserted SL = Subordinate Legislation

It = long title sub = substituted

nc = not commenced

#### 2 LIST OF LEGISLATION

Northern Territory Civil and Administrative Tribunal Act 2014 (Act No. 28, 2014)

Assent date 4 September 2014

Commenced 6 October 2014 (Gaz S95, 6 October 2014)

Justice Legislation Amendment Act 2015 (Act No. 14, 2015)

Assent date 22 May 2015

Commenced pts 4, 6, 7 and 9: 1 June 2015; rem: 1 July 2015 (*Gaz* S53,

29 May 2015, p 1)

Justice Legislation Amendment (Small Claims and Other Matters) Act 2016 (Act No. 2, 2016)

No. 3, 2016)

Assent date 2 March 2016

Commenced pt 3: nc (rep by Act No. 33, 2019 before comm);

rem: 1 May 2016 (s 2, s 2 Local Court Act 2015 (Act No. 15,

2015), Gaz G1, 6 January 2016, p 9 and Gaz G15,

13 April 2016, p 4)

Local Court (Related Amendments) Act 2016 (Act No. 8, 2016)

Assent date 6 April 2016

Commenced 1 May 2016 (s 2, s 2 Local Court (Repeals and Related

Amendments) Act 2016 (Act No. 9, 2016) and Gaz S34,

29 April 2016)

Statute Law Revision Act 2017 (Act No. 4, 2017)

Assent date 10 March 2017

Commenced 12 April 2017 (*Gaz* G15, 12 April 2017, p 3)

Northern Territory Civil and Administrative Tribunal Amendment Act 2018 (Act No. 24,

2018)

Assent date 8 November 2018

Commenced 3 December 2018 (*Gaz* G48, 28 November 2018, p 6)

#### Agents and Land Legislation Amendment Act 2019 (Act No. 6, 2019)

Assent date 28 March 2019

Commenced ss 3 to 5, 9 and 10, pts 3 to 5, ss 17, 25 to 27, 29, 33 and 34:

1 May 2019 (Gaz G18, 1 May 2019, p 4);

rem: 1 September 2019 (Gaz G26, 26 June 2019, p 7 and

G30, 24 July 2019, p 2)

#### Judicial Commission Act 2020 (Act No. 17, 2020)

Assent date 1 July 2020

Commenced 10 November 2021 (*Gaz* G45, 10 November 2021, p 1)

#### Justice Legislation Amendment (Electronic Documents) Act 2022 (Act No. 2, 2022)

Assent date 1 March 2022 Commenced 2 March 2022 (s 2)

#### Justice and Licensing Legislation Further Amendment Act 2022 (Act No. 21, 2022)

Assent date 31 October 2022

Commenced pt 3: 1 February 2023; rem: 21 December 2022 (Gaz G50,

21 December 2022, p 1)

## Northern Territory Civil and Administrative Tribunal Amendment Act 2023 (Act No. 23,

2023)

Assent date 21 September 2023

Commenced 27 November 2023 (*Gaz* G24, 23 November 2023, p 1)

#### 3 GENERAL AMENDMENTS

General amendments of a formal nature (which are not referred to in the table of amendments to this reprint) are made by the *Interpretation Legislation Amendment Act 2018* (Act No. 22, 2018) to: ss 1, 13, 15, 71, 84A, 99A and 130.

#### 4 LIST OF AMENDMENTS

s 3	amd No. 14, 2015, s 51; No. 23, 2023, s 4
s 12	amd No. 23, 2023, s 5
s 13	amd No. 8, 2016, s 45
s 14	amd No. 23, 2023, s 6
s 15	amd No. 8, 2016, s 45
s 16	amd No. 23, 2023, s 36
s 16A	ins No. 23, 2023, s 7
s 17	amd No. 23, 2023, s 8
s 19	sub No. 17, 2020, s 89
s 21	sub No. 21, 2022, s 11
s 22	amd No. 23, 2023, s 9
s 26	sub No. 23, 2023, s 10
s 28	sub No. 23, 2023, s 11
s 29	amd No. 23, 2023, s 12
s 31	sub No. 23, 2023, s 13
s 34	amd No. 4, 2017, s 34
s 36	amd No. 4, 2017, s 34
s 41	sub No. 23, 2023, s 14
s 48	sub No. 23, 2023, s 15
pt 3	
div 4 hdg	ins No. 23, 2023, s 16

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s 51A
                ins No. 23, 2023, s 16
s 56
                amd No. 23, 2023, s 36
s 62
                amd No. 23, 2023, s 17
s 63
                amd No. 14, 2015, s 52
                amd No. 23, 2023, s 18
s 70
s 80
                amd No. 3, 2016, s 23; No. 24, 2018, s 4
                sub No. 23, 2023, s 19
s 84
                sub No. 14, 2015, s 53
s 84A
                ins No. 14, 2015, s 53
                amd No. 8, 2016, s 45
s 84B
                ins No. 14, 2015, s 53
s 85
                amd No. 2, 2022, s 20
                sub No. 23, 2023, s 20
s 86
                amd No. 14, 2015, s 54
s 89
                amd No. 23, 2023, s 21
s 90
                amd No. 14, 2015, s 55
                amd No. 14, 2015, s 56
s 93
s 94
                amd No. 23, 2023, s 22
                sub No. 23, 2023, s 23
s 95
                ins No. 3, 2016, ss 24 and 25
s 99A
s 100
                amd No. 23, 2023, s 24
s 101A
                ins No. 24, 2018, s 5
s 100B
                ins No. 23, 2023, s 25
s 105
                amd No. 23, 2023, s 26
s 110
                amd No. 23, 2023, s 27
                sub No. 23, 2023, s 28
s 112
ss 117 - 118
                amd No. 23, 2023, s 36
s 120
                amd No. 23, 2023, s 29
s 122
                sub No. 23, 2023, s 30
s 127
                amd No. 14, 2015, s 57; No. 23, 2023, s 31
s 132
                amd No. 24, 2018, s 6
s 136
                amd No. 14, 2015, s 58
                amd No. 23, 2023, s 32
s 138
s 140
                amd No. 14, 2015, s 59; No. 6, 2019, s 14
                sub No. 23, 2023, s 33
s 140A
                ins No. 14, 2015, s 60
                sub No. 23, 2023, s 33
s 140B
                ins No. 23, 2023, s 33
                amd No. 23, 2023, s 34
s 151
s 154
                amd No. 24, 2018, s 7
pt 8 hdg
                exp No. 28, 2014, s 158
                ins No. 14, 2015, s 61
                sub No. 24, 2018, s 8
pt 8
div 1 hdg
                ins No. 24, 2018, s 8
s 156
                exp No. 28, 2014, s 158
                ins No. 14, 2015, s 61
pt 8
                ins No. 24, 2018, s 9
div 2 hdg
s 157
                exp No. 28, 2014, s 158
                ins No. 24, 2018, s 9
pt 8
div 3 hda
                ins No. 23, 2023, s 35
s 158
                exp No. 28, 2014, s 158
                ins No. 23, 2023, s 35
                ins No. 23, 2023, s 35
ss 159 - 161
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