

# NORTHERN TERRITORY OF AUSTRALIA

## CONSUMER AFFAIRS AND FAIR TRADING ACT

As in force at 21 September 2011

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# NORTHERN TERRITORY OF AUSTRALIA

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This reprint shows the Act as in force at 21 September 2011. Any amendments that commence after that date are not included.

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## CONSUMER AFFAIRS AND FAIR TRADING ACT

**An Act to make provision with respect to consumer protection and certain unfair or undesirable trade practices, to apply the Australian Consumer Law as a law of the Territory, and for related purposes**

### **Part 1                      Preliminary matters**

#### **1                      Short title**

This Act may be cited as the *Consumer Affairs and Fair Trading Act*.

#### **2                      Commencement**

The provisions of this Act shall come into operation on such date or dates as is or are fixed by the Administrator by notice in the *Gazette*.

#### **3                      Extent to which Crown bound**

- (1) Subject to subsections (2) and (3) and Part 4, Division 4, this Act binds the Crown not only in right of the Territory but, to the extent that the legislative power of the Legislative Assembly so permits, in all its other capacities.
- (2) The Crown, in any of its capacities, is not required to hold a licence under Part 11.
- (3) Nothing in this Act renders the Crown liable to be prosecuted for an offence.

#### **4                      Interpretation**

- (1) In this Act:

***acquire*** includes:

- (a) in relation to goods – acquire by purchase or exchange or by taking on lease, on hire or on hire-purchase; and

(b) in relation to services – accept.

**application law**, for Part 4, see section 25(1).

**Australian Consumer Law**, for Part 4, see section 25(1).

**Australian Consumer Law (NT)** means the provisions applying because of Part 4 of this Act.

**Australian Consumer Law text**, for Part 4, see section 25(1).

**authorised officer** means a person who is, in accordance with section 18, an authorised officer for the purposes of all or any of Parts 4 to 14.

**business** includes:

- (a) a business not carried on for profit; and
- (b) a trade or profession.

**code of practice** means a code of practice for the time being prescribed pursuant to section 239.

**Commissioner** means the Commissioner of Consumer Affairs appointed under section 6, and includes any person for the time being acting in, or performing the duties of, the office of Commissioner.

**consumer**, see section 5.

**Deputy Commissioner** means the person holding or occupying the office of Deputy Commissioner of Consumer Affairs mentioned in section 9A.

**director**, in relation to a body corporate, includes any person occupying or acting in the position of director of the body corporate, by whatever name called and whether or not validly appointed to occupy, or duly authorised to act in, the position.

**document** includes any record of information, whether or not the information is available only after the record is subjected to electronic or other process.

**enactment** includes regulations made under an Act.

**goods** includes:

- (a) ships, aircraft and other vehicles; and

- (b) animals, including fish; and
- (c) minerals, trees and crops, whether on, under or attached to land or not; and
- (d) gas and electricity.

**instrument**, for Part 4, see section 25(1).

**Intergovernmental Agreement**, for Part 4, see section 25(1).

**jurisdiction**, for Part 4, see section 25(1).

**law**, for Part 4, see section 25(1).

**modifications**, for Part 4, see section 25(1).

**month**, for Part 4, see section 25(1).

**officer**, in relation to a body corporate, see section 9 of the Corporations Act 2001.

**participating jurisdiction**, for Part 4, see section 25(1).

**price** includes a charge of any description.

**send** includes deliver.

**services** includes any rights (including rights in relation to, and interests in, real or personal property), benefits, privileges or facilities that are, or are to be, provided, granted or conferred in trade or commerce, and without limiting the generality of the foregoing, includes the rights, benefits, privileges or facilities that are, or are to be, provided, granted or conferred under:

- (a) a contract for or in relation to:
  - (i) the performance of work (including work of a professional nature), whether with or without the supply of goods; or
  - (ii) the provision of, or the use or enjoyment of facilities for, amusement, entertainment, recreation or instruction; or
  - (iii) the conferring of rights, benefits or privileges for which remuneration is payable in the form of a royalty, tribute, levy or similar exaction; or
- (b) a contract of insurance; or

(c) a contract between a banker and a customer of the banker entered into in the course of the carrying on by the banker of the business of banking; or

(d) any contract for or in relation to the lending of moneys;

but does not include rights or benefits consisting in the supply of goods or the performance of work under a contract of service.

**State**, for Part 4, see section 25(1).

**state of mind**, of a person, includes a reference to:

(a) the knowledge, intention, opinion, belief or purpose of the person; and

(b) the person's reasons for the person's intention, opinion, belief or purpose.

**supplier** means a person who, in the course of a business, supplies goods or services.

**supply** includes:

(a) in relation to goods – supply (including re-supply) by way of sale, exchange, lease, hire or hire-purchase; and

(b) in relation to services – provide, grant or confer for valuable consideration.

**Territory**, for Part 4, see section 25(1).

**this jurisdiction**, for Part 4, see section 25(1).

**trade or commerce** includes any business or professional activity.

(2) In this Act:

(a) a reference to the acquisition of goods includes a reference to the acquisition of property in, or rights in relation to, goods in consequence of a supply of the goods; and

(b) a reference to the supply or acquisition of goods or services includes a reference to agreeing to supply or acquire goods or services; and

(c) a reference to the supply or acquisition of goods includes a reference to the supply or acquisition of goods together with other property or services, or both; and

- (d) a reference to the supply or acquisition of services includes a reference to the supply or acquisition of services together with property or other services, or both; and
- (e) a reference to the re-supply of goods acquired from a person includes a reference to:
  - (i) a supply of the goods to another person in an altered form or condition; and
  - (ii) a supply to another person of goods in which the first-mentioned goods have been incorporated.

(3) For this Act:

- (a) the obtaining of credit by a person in connection with the acquisition of goods or services by the person is an acquisition by the person of services; and
- (b) any amount by which the price of goods or services is increased because credit was obtained is the price of the services represented by the obtaining of credit.

(4) In this Act:

- (a) a reference to conduct is a reference to the doing of any act, or the refusing to do any act, including an act that constitutes (or would but for the refusal constitute) making or giving effect to a provision of a contract or arrangement, arriving at or giving effect to a provision of an understanding, or requiring or entering into a covenant; and
- (b) a reference to refusing to do an act includes a reference to:
  - (i) refraining (otherwise than inadvertently) from doing that act; or
  - (ii) making it known that that act will not be done; and
- (c) a reference to a person offering to do an act, or to do an act on a particular condition, includes a reference to the person making it known that the person will accept applications, offers or proposals for the person to do that act or to do that act on that condition, as the case may be.

(5) In this Act:

- (a) a reference to loss or damage, other than a reference to the amount of any loss or damage, includes a reference to injury; and

- (b) a reference to the amount of any loss or damage includes a reference to damages in respect of an injury.

## **5            Meaning of *consumer***

- (1) A ***consumer*** is a person who acquires goods or services from a supplier.
- (2) In subsection (1), ***goods*** does not include goods which are acquired, or are held out as being acquired:
  - (a) for the purpose of re-supply; or
  - (b) for the purpose of using them up or transforming them, in the course of a business, in or in connection with a process of manufacture or production.

## **5A          Interpretation provisions do not apply to Australian Consumer Law (NT)**

Sections 4 and 5 do not apply for the interpretation or operation of the Australian Consumer Law (NT).

## **5B          Reference to this Act includes Australian Consumer Law (NT)**

To avoid doubt, a reference in this Act to this Act is taken to include a reference to the Australian Consumer Law (NT).

*Note for section 5B*

*Under section 27, the Australian Consumer Law (NT) is a part of this Act.*

# **Part 2                    Consumer Affairs Commissioner**

## **Division 1            Commissioner of Consumer Affairs**

### **6            Appointment of Commissioner**

The Minister shall appoint a person to be Commissioner of Consumer Affairs.

### **7            Functions of Commissioner**

- (1) The functions of the Commissioner are:
  - (a) to promote the interests of consumers, and to assist consumers to a greater awareness in relation to their assessment and use of goods and services; and



- (b) without prejudice to the generality of paragraph (a), to promote the maintenance of fair trading practices and of product safety; and
  - (ba) to administer the Australian Consumer Law (NT); and
  - (c) to receive complaints from consumers concerning matters touching their interests as consumers, to investigate those complaints, and to take such action in respect thereof as seems proper to the Commissioner; and
  - (d) to receive complaints of fraudulent, misleading, deceptive or unfair practices in relation to matters which affect, or are likely to affect, the interests of consumers or of traders, and to take such action as seems proper to the Commissioner; and
  - (e) to advise and assist consumers who seek from the Commissioner information or guidance on matters affecting their interests as consumers; and
  - (f) to collect, collate and disseminate information in respect of matters affecting the interests of consumers; and
  - (g) to encourage and undertake the dissemination of information concerning consumer affairs (and in particular, the maintenance of fair trading practices and of product safety) to producers, manufacturers, traders and suppliers of goods or services; and
  - (h) to carry out consumer education programs; and
  - (j) to issue consumer guidelines to the public; and
  - (k) to perform such other functions as are conferred on the Commissioner by or under this or any other Act.
- (2) The Commissioner shall:
- (a) keep under critical examination, and from time to time report to the Minister on, the laws in force relating to the interests of consumers; and
  - (b) keep under review market-place trends and other matters affecting the interests of consumers and, where that appears desirable, make recommendations to the Minister with respect to responses thereto; and
  - (c) report to the Minister on any matter that is referred to the Commissioner by the Minister as relating to the interests of consumers;

and, for those purposes, may conduct research and make investigations.

- (5) Nothing in this section is to be construed as requiring the Commissioner, or any person under the Commissioner's direction or control, to give, or to hold him or herself out as ready or competent to give, advice to a consumer concerning the consumer's rights and liabilities in law in respect of any matter.

## **8 Power of Commissioner to obtain information**

- (1) The Commissioner may, for the purpose of, or in connection with, the performance of any function conferred on the Commissioner by or under this or any other Act, require any person to furnish the Commissioner with such information as the Commissioner requires or to answer any question put by the Commissioner.
- (2) The Commissioner may under subsection (1) require that information be given, or a question answered:
  - (a) orally, at a place and time specified by the Commissioner; or
  - (b) in writing, within a period specified by the Commissioner;

and, in a case falling within paragraph (a), may further require that the information or question be furnished or answered on oath.

- (3) A person is not excused from furnishing any information or answering any question pursuant to a requirement under subsection (1) on the ground that the information or answer might tend to incriminate the person, or to make the person liable to a penalty, but information so furnished or an answer so given is not admissible against the person in any criminal proceedings other than proceedings for an offence against this Act.
- (4) A person who:
  - (a) fails to furnish information, or to answer a question, pursuant to a requirement under subsection (1); or
  - (b) pursuant to such a requirement, furnishes information or gives an answer which is false or misleading in any material particular;

is (subject, in a case falling within paragraph (a), to subsection (5)) guilty of an offence.

Maximum penalty:        If the offender is a natural person –  
400 penalty units or imprisonment for  
2 years.

                                    If the offender is a body corporate –  
2 000 penalty units.

- (5) A person is not guilty of an offence by reason of a failure mentioned in subsection (4)(a) unless, before the failure, the Commissioner warned the person that it is an offence not to comply with a requirement under subsection (1).
- (6) Where a person is found guilty of an offence falling within subsection (4)(a), the court may order that person to furnish the information or answer the question which was the subject of the offence.

## **9        Other powers of Commissioner**

- (1) The Commissioner may, with the approval of the Minister and on terms and conditions approved by the Commissioner for Public Employment, arrange with a department or statutory corporation, or a council constituted under the *Local Government Act*, for the use of the services of any staff, or the use of any facilities, of the department, corporation or council.
- (2) With the approval of the Minister, and on such terms and conditions as the Minister thinks fit, the Commissioner may, for a particular purpose and otherwise than under a contract of service, appoint and employ any person, body or organisation considered to be capable of providing information, services or advice that would assist in the performance of the Commissioner's functions.
- (3) The Commissioner may, at the Commissioner's sole discretion, institute and defend proceedings in a court of competent jurisdiction for or on behalf of a consumer or class of consumers.
- (4) Where a dispute arises concerning a consumer, the Commissioner may:
  - (a) if the dispute is governed by an arbitration agreement which appoints the Commissioner as arbitrator or empowers the Commissioner to appoint an arbitrator – act as arbitrator or, as the case may be, appoint an arbitrator; and

- (b) in the absence of an arbitration agreement, with the consent in writing of all the parties to the dispute – act as arbitrator in the dispute or nominate a person to act as arbitrator.

## **9A Deputy Commissioner**

- (1) The Minister may appoint a public sector employee to be the Deputy Commissioner of Consumer Affairs.
- (2) Subject to the direction and control of the Commissioner, the Deputy Commissioner may exercise the powers and perform the functions of the Commissioner.

## **10 Advisory committees**

- (1) The Minister may appoint committees for the purpose of advising the Commissioner with respect to matters arising under section 7(2).
- (2) An advisory committee:
  - (a) shall consist of such number of persons as the Minister thinks fit; and
  - (b) may include one or more public sector employees; and
  - (c) has such functions in relation to the provision of advice as the Minister directs; and
  - (d) subject to any directions given by the Minister, may regulate its own procedure for the calling of meetings and the conduct of business at meetings.
- (3) The Minister may at any time terminate a person's appointment as a member of an advisory committee or dissolve an advisory committee.
- (4) A member of an advisory committee is entitled to receive:
  - (a) such travelling expenses as are fixed by the Minister; and
  - (b) unless the member is a public sector employee, such fees as are fixed by the Minister for attending meetings and transacting business of the committee.

## **11 Delegation**

The Commissioner may, in writing, delegate any function or power conferred on the Commissioner by or under this or any other Act:

- (a) to a public sector employee; or

- (b) with the consent of the Minister, to any other person.

## **12 Annual report**

- (1) The Commissioner must give to the Minister annually a report on the Commissioner's activities.
- (2) The report must include an account of the operation of Parts 10 and 11 during the year to which it relates.
- (3) The Commissioner must not, unless satisfied that it is in the public interest to do so, disclose in any report furnished pursuant to this section:
  - (a) information with respect to any plant, equipment or process used in a business carried on by a person; or
  - (b) information with respect to a person's financial affairs.
- (4) The Minister must table a copy of the report in the Legislative Assembly within 6 sitting days after the Minister receives the report.

## **Part 3 Authorised officers**

### **18 Authorised officers**

- (1) The Commissioner is an authorised officer for this Act.
- (2) Every police officer is an authorised officer for Part 10.
- (3) The Commissioner may, in writing, appoint a person to be an authorised officer for this Act.
- (4) An authorised officer is, in the exercise of the officer's powers, subject to the direction of the Commissioner.

### **19 Requirements as to identity cards and their production**

- (1) The Commissioner shall issue to each authorised officer appointed under section 18(3) an identity card containing the officer's photograph and signature.
- (2) An authorised officer appointed under section 18(3) who is exercising or proposing to exercise a power conferred by this Part shall produce the officer's identity card on request.
- (3) On the termination of an appointment under section 18(3), the person whose appointment is terminated shall surrender his or her identity card to the Commissioner.

**20 Powers of entry of authorised officers**

(1) For ascertaining whether a provision of this Act, of regulations or of a code of practice is being or has been complied with, a person who is an authorised officer for the Part which contains that provision, or of the Part for which the regulations were made or under which the code was prescribed, may at any reasonable time (but subject to subsection (2)) enter as may be appropriate in relation to that provision any place which the officer knows or believes on reasonable grounds to be:

(a) a place where:

(i) goods are produced, manufactured, assembled, prepared, stored or supplied; or

(ii) services are supplied or arranged; or

(iii) documents are kept relating to goods or services supplied or to be supplied; or

(b) a place where a person carries on business as a dealer in motor vehicles within the meaning of Part 10, or where documents relating to any such business are kept; or

(ba) a place where a person carries on a business as a second-hand dealer, or pawnbroker, within the meaning of Part 14, or where documents relating to any such business are kept; or

(c) a place where a person carries on business as a travel agent within the meaning of Part 11, or where documents relating to any such business are kept; or

(d) a place where any activity takes place to which a code of practice applies, or where documents relating to any such activity are kept;

and exercise the powers conferred by section 21 in relation to that place.

(2) An authorised officer is not entitled to enter any place used for residential purposes except:

(a) with the consent of the occupier; or

(b) under the authority of a search warrant.

(3) A justice who is satisfied on the application of an authorised officer that, for the purpose specified in subsection (1), there is reasonable cause to permit the officer to enter a place referred to in that

subsection with a view to exercising the powers conferred by section 21 may issue a warrant directed to the authorised officer to enter the place specified in the warrant for the purpose of exercising those powers.

- (4) A warrant issued under subsection (3) is, for a period of one month from its issue, sufficient authority:
  - (a) to the authorised officer to whom it is directed, and to all persons acting in aid of the authorised officer, to enter the place specified in the warrant; and
  - (b) to the authorised officer, to exercise in respect of the place specified in the warrant the powers conferred on an authorised officer by section 21.
- (5) Where it is impracticable for an authorised officer to apply in person to a justice for a warrant under subsection (3), the officer may make the application to a justice by telephone, and the justice may issue such a warrant on that application.
- (6) Where a justice issues a warrant by virtue of subsection (5):
  - (a) the justice shall complete and sign the warrant, shall inform the authorised officer by telephone of its terms, and shall record on the warrant the justice's reasons for issuing it; and
  - (b) the authorised officer shall complete in duplicate a form of warrant in the terms furnished by the justice, shall write on it the name of the justice and the date and time of its issue, and shall forward a copy to the justice.
- (7) On receiving the copy referred to in subsection (6)(b), the justice shall compare it with the warrant signed by the justice and, if satisfied that they are in substance identical, shall note this fact on the warrant and forward both the warrant and the copy to the Commissioner.
- (8) A form of warrant prepared by an authorised officer pursuant to subsection (6)(b) has, if it is in accordance with the terms of the warrant signed by the justice, the like authority as that which the justice's warrant has by virtue of subsection (4).

## **21 Powers exercisable after entry**

- (1) The powers exercisable by an authorised officer who has entered a place under or by virtue of section 20 are those specified in subsections (2), (3) and (4) so far as applicable to that place.

- (2) In the case of a place falling within section 20(1)(a)(i) or (d), the authorised officer may:
- (a) inspect any goods, or partly manufactured or assembled goods, and make such other inspections, and such searches, as the officer considers necessary; and
  - (b) weigh, or otherwise measure, any goods; and
  - (c) open any room, container or package which the officer believes on reasonable grounds to contain goods; and
  - (d) seize without payment, and detain, any goods which the officer believes on reasonable grounds are intended for supply, or have been supplied, in circumstances constituting an offence against section 197(1) of the Australian Consumer Law (NT); and
  - (e) take (otherwise than by way of seizure under paragraph (d)) any goods, or partly manufactured or assembled goods, for which the officer undertakes on behalf of the Commissioner that a fair price will be paid; and
  - (f) take a sample of anything from which goods are manufactured or produced in that place.
- (3) In the case of a place falling within section 20(1)(b), the authorised officer may inspect any motor vehicle there which is offered or displayed for sale, or which the authorised officer believes on reasonable grounds may be there for the purposes of sale.
- (4) In the case of any of the places referred to in section 20(1), the authorised officer may:
- (a) ask questions of any person found there; and
  - (b) require the production of documents; and
  - (c) inspect and require explanations of any document; and
  - (d) take copies of or extracts from any document or, if in the officer's opinion it is not appropriate for copies or extracts to be taken at the place, remove a document for a reasonable time to enable copies or extracts to be taken.



- (5) If an authorised officer seizes goods under subsection (2)(d) and:
- (a) proceedings for an offence against section 197(1), (2), (3) or (5) of the Australian Consumer Law (NT) in connection with the goods are not instituted within 6 months after their seizure; or
  - (b) proceedings for such an offence are instituted within that period but the defendant is not on the determination of those proceedings (whether or not within that period) found guilty of an offence;
- the person from whom the goods were seized is, on application to the Commissioner, entitled to their return.
- (6) If an application for the return of goods is not made within 3 months after the entitlement to their return arises, the Commissioner may dispose of the goods as the Commissioner thinks fit.
- (7) If, in proceedings for an offence against section 197(1), (2), (3) or (5) of the Australian Consumer Law (NT), the court finds the offence proved, and to have concerned goods seized under subsection (2)(d), the court may order that the goods be forfeited to the Territory.

## **22 Power to obtain information, documents and evidence**

- (1) Where a person who is an authorised officer for the purposes of a Part of this Act believes on reasonable grounds that another person is capable of furnishing information, producing documents or giving evidence in relation to a possible contravention of that Part, or of regulations or a code of practice made for the purposes of or prescribed under that Part, he or she may serve on that other person a notice under subsection (2).
- (2) A notice under this subsection may require the person on whom it is served:
- (a) to furnish in writing to the Commissioner, within the time and in the manner specified in the notice, any information referred to in subsection (1) of which that person has knowledge; or
  - (b) to produce to the Commissioner, in accordance with the notice, any documents referred to in subsection (1); or
  - (c) to appear before the Commissioner at a time and place specified in the notice, and give any evidence referred to in subsection (1) and produce any documents so referred to.

- (3) Where a document has been produced in response to a notice under subsection (2), the Commissioner may:
  - (a) inspect the document and take copies of or extracts from it; and
  - (b) if the person otherwise entitled to possession of the document is supplied as soon as practicable with a copy certified by the Commissioner to be a true copy, retain possession of the document for as long as is necessary for the purposes of this Act.
- (4) A certified copy of a document provided under subsection (3)(b) is admissible in all courts as if it were the original.
- (5) Until a certified copy of a document is provided under subsection (3)(b), the Commissioner shall, at such times and places as the Commissioner thinks appropriate, permit the person otherwise entitled to possession of the document, or a person authorised by that person, to inspect the document and take copies of or extracts from it.

## **23 Offences**

- (1) A person shall not:
  - (a) hinder or obstruct an authorised officer in the exercise by the officer of a power conferred by this Part; or
  - (b) assault, or directly or indirectly threaten, an authorised officer while the officer is exercising a power conferred by this Part; or
  - (c) impersonate an authorised officer.

Maximum penalty:      If the offender is a natural person –  
400 penalty units or imprisonment for  
2 years.

                                 If the offender is a body corporate –  
2 000 penalty units.

- (2) A person who:
  - (a) fails to answer a question asked by an authorised officer pursuant to section 21(4)(a); or
  - (b) fails to comply with a requirement of an authorised officer under section 21(4)(b) or (c); or

- (c) fails to comply with a notice under section 22(2);

is, to the extent that the person is capable of answering the question or complying with the requirement or notice (but subject, in a case falling within paragraph (a) or (b), to subsection (3)) guilty of an offence.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

- (3) A person is not guilty of an offence by reason of a failure mentioned in subsection (2)(a) or (b) unless, before the failure, the authorised officer warned the person that it would be an offence for the person not to answer the question or, as the case may be, comply with the requirement.

- (4) A person who:

- (a) gives to a question asked by an authorised officer pursuant to section 21(4)(a) an answer that the person knows to be false or misleading in a material particular; or
- (b) gives pursuant to a requirement of an authorised officer under section 21(4)(c) an explanation that the person knows to be false or misleading in a material particular; or
- (c) in purported compliance with a notice under section 22(2), knowingly furnishes information, produces a document or gives evidence that is false or misleading in a material particular;

is guilty of an offence.

Maximum penalty: If the offender is a natural person –  
100 penalty units or imprisonment for  
6 months.

If the offender is a body corporate –  
500 penalty units.

## **24 Self-incrimination**

A person is not excused from:

- (a) answering a question asked by an authorised officer pursuant to section 21(4)(a); or

- (b) producing a document or giving an explanation of a document pursuant to a requirement of an authorised officer under section 21(4)(b) or (c); or
- (c) furnishing information, producing a document or giving evidence in response to a notice under section 22(2);

on the ground that the answer, document, explanation, information or evidence may tend to incriminate the person or make the person liable to a penalty, but an answer, document, explanation, information or evidence given, produced or furnished as mentioned in any of paragraphs (a) to (c) is inadmissible against the person in criminal proceedings other than proceedings for an offence against this Act.

## **Part 4            Australian Consumer Law**

### **Division 1       Interpretation**

#### **25            Interpretation**

- (1) In this Part:

***application law*** means:

- (a) a law of a participating jurisdiction that applies the Australian Consumer Law, either with or without modifications, as a law of the participating jurisdiction; or
- (b) any regulations or other legislative instrument made under a law described in paragraph (a); or
- (c) the Australian Consumer Law, applying as a law of the participating jurisdiction, either with or without modifications.

***Australian Consumer Law*** means (according to the context):

- (a) the Australian Consumer Law text; or
- (b) the Australian Consumer Law text, applying as a law of a participating jurisdiction, either with or without modifications.

***Australian Consumer Law text*** means the text described in section 26.

***instrument*** means any document whatever, including the following:

- (a) an Act or an instrument made under an Act;

- (b) a law of this jurisdiction or an instrument made under such a law;
- (c) an award or other industrial determination or order, or an industrial agreement;
- (d) any other order (whether executive, judicial or otherwise);
- (e) a notice, certificate or licence;
- (f) an agreement;
- (g) an application made, information or complaint laid, affidavit sworn, or warrant issued, for any purpose;
- (h) an indictment, presentment, summons or writ;
- (i) any other pleading in, or process issued in connection with, a legal or other proceeding.

***Intergovernmental Agreement*** means the Intergovernmental Agreement for the Australian Consumer Law made on 2 July 2009 between the Commonwealth, the State of New South Wales, the State of Victoria, the State of Queensland, the State of Western Australia, the State of South Australia, the State of Tasmania, the Australian Capital Territory and the Northern Territory of Australia, as in force for the time being.

***jurisdiction*** means a State or the Commonwealth.

***law***, in relation to a Territory, means a law of, or in force in, that Territory.

***modifications*** includes additions, omissions and substitutions.

***month*** means a period commencing at the beginning of a day of one of the 12 months of the year and ending immediately before the beginning of the corresponding day of the next month or, if there is no such corresponding day, ending at the expiration of the next month.

***participating jurisdiction*** means a jurisdiction that is a party to the Intergovernmental Agreement and applies the Australian Consumer Law as a law of the jurisdiction, either with or without modifications.

***State*** includes a Territory.

***Territory*** means the Australian Capital Territory or Northern Territory of Australia.

***this jurisdiction*** means the Territory.

- (2) Terms used in this Part and also in the Australian Consumer Law (NT) have the same meanings in this Part as they have in that Law.
- (3) For this Part:
  - (a) a jurisdiction is taken to have applied the Australian Consumer Law as a law of the jurisdiction if a law of the jurisdiction substantially corresponds to the provisions of the Australian Consumer Law text, as in force from time to time; and
  - (b) that corresponding law is taken to be the Australian Consumer Law, or the Australian Consumer Law text, applying as a law of that jurisdiction.

## **Division 2            Application of Australian Consumer Law**

### **26            Australian Consumer Law text**

The Australian Consumer Law text consists of:

- (a) Schedule 2 to the *Competition and Consumer Act 2010* (Cth); and
- (b) the regulations under section 139G of that Act.

### **27            Application of Australian Consumer Law**

- (1) The Australian Consumer Law text, as in force from time to time:
  - (a) applies as a law of this jurisdiction; and
  - (b) as so applying may be referred to as the Australian Consumer Law (NT); and
  - (c) as so applying is a part of this Act.
- (2) This section has effect subject to sections 28, 29 and 30.

### **28            Future modifications of Australian Consumer Law text**

- (1) A modification made by a Commonwealth law to the Australian Consumer Law text after the commencement of this section does not apply under section 27 if the modification is declared by regulation to be excluded from the operation of that section.

- (2) A regulation under subsection (1) has effect only if its making is notified no later than 2 months after the date of the modification.
- (3) Subsection (1) ceases to apply to the modification if a further regulation so provides.
- (4) For this section, the date of the modification is the date on which the Commonwealth Act effecting the modification receives the Royal Assent or the regulation effecting the modification is registered under the *Legislative Instruments Act 2003* (Cth).

## **29        Meaning of *regulator* in Australian Consumer Law for purposes of this jurisdiction**

In the Australian Consumer Law (NT):

***regulator*** means the following:

- (a) for Part 3-3 – the Commissioner or the Australian Competition and Consumer Commission (**ACCC**) established by section 6A of the *Competition and Consumer Act 2010* (Cth);
- (b) for Parts 5-1 and 5-2:
  - (i) in relation to the enforcement of Part 3-3 – the ACCC; or
  - (ii) otherwise – the Commissioner;
- (c) for the remaining provisions – the Commissioner.

## **30        Interpretation of Australian Consumer Law**

- (1) The *Acts Interpretation Act 1901* (Cth) applies as a law of this jurisdiction to the Australian Consumer Law (NT).
- (2) For subsection (1), the Commonwealth Act mentioned in that subsection applies as if:
  - (a) the statutory provisions in the Australian Consumer Law (NT) were a Commonwealth Act; and
  - (b) the regulations in the Australian Consumer Law (NT) or instruments under that Law were regulations or instruments under a Commonwealth Act.
- (3) The *Interpretation Act* does not apply to:
  - (a) the Australian Consumer Law (NT); or
  - (b) any instrument under that Law.

### **31                      Application of Australian Consumer Law**

- (1) The Australian Consumer Law (NT) applies to and in relation to:
  - (a) persons carrying on business within this jurisdiction; or
  - (b) bodies corporate incorporated or registered under the law of this jurisdiction; or
  - (c) persons ordinarily resident in this jurisdiction; or
  - (d) persons otherwise connected with this jurisdiction.
- (2) Subject to subsection (1), the Australian Consumer Law (NT) extends to conduct, and other acts, matters and things, occurring or existing outside or partly outside this jurisdiction (whether within or outside Australia).

## **Division 3                      References to Australian Consumer Law**

### **32                      References to Australian Consumer Law**

- (1) A reference in any instrument to the Australian Consumer Law is a reference to the Australian Consumer Law of any or all of the participating jurisdictions.
- (2) Subsection (1) has effect except so far as the contrary intention appears in the instrument or the context of the reference otherwise requires.

### **33                      References to Australian Consumer Law of other jurisdictions**

- (1) This section has effect for an Act, a law of this jurisdiction or an instrument under an Act or such a law.
- (2) If a law of a participating jurisdiction other than this jurisdiction provides that the Australian Consumer Law text as in force from time to time applies as a law of that jurisdiction, the Australian Consumer Law of that jurisdiction is the Australian Consumer Law text, applying as a law of that jurisdiction.

## **Division 4                      Application of Australian Consumer Law to Crown**

### **34                      Division does not apply to Commonwealth**

In this Division, *participating jurisdiction* or *other jurisdiction* does not include the Commonwealth.



**35 Application law of this jurisdiction**

The application law of this jurisdiction binds (so far as the legislative power of Parliament permits) the Crown in right of this jurisdiction and of each other jurisdiction, so far as the Crown carries on a business, either directly or by an authority of the jurisdiction concerned.

**36 Application law of other jurisdictions**

- (1) The application law of each participating jurisdiction other than this jurisdiction binds the Crown in right of this jurisdiction, so far as the Crown carries on a business, either directly or by an authority of this jurisdiction.
- (2) If, because of this Part, a provision of the law of another participating jurisdiction binds the Crown in right of this jurisdiction, the Crown in that right is subject to that provision despite any prerogative right or privilege.

**37 Activities that are not business**

- (1) For sections 35 and 36, the following do not amount to carrying on a business:
  - (a) imposing or collecting:
    - (i) taxes; or
    - (ii) levies; or
    - (iii) fees for authorisations;
  - (b) granting, refusing to grant, revoking, suspending or varying authorisations (whether or not they are subject to conditions);
  - (c) a transaction involving:
    - (i) only persons who are all acting for the Crown in the same right (and none of whom is an authority of a State); or
    - (ii) only persons who are all acting for the same authority of a State; or
    - (iii) only the Crown in right of a State and one or more non-commercial authorities of that State; or
    - (iv) only non-commercial authorities of the same State;

- (d) the acquisition of primary products by a government body under legislation, unless the acquisition occurs because:
  - (i) the body chooses to acquire the products; or
  - (ii) the body has not exercised a discretion that it has under the legislation that would allow it not to acquire the products.
- (2) Subsection (1) does not limit the things that do not amount to carrying on a business for sections 35 and 36.
- (3) In this section:

***acquisition of primary products by a government body under legislation*** includes vesting of ownership of primary products in a government body by legislation.

***authorisation*** means a licence, permit, certificate or other authorisation that allows the holder of the authorisation to supply goods or services.

***government body*** means a State or an authority of a State.

***primary products*** means:

- (a) agricultural or horticultural produce; or
  - (b) crops, whether on or attached to the land or not; or
  - (c) animals (whether dead or alive); or
  - (d) the bodily produce (including natural increase) of animals.
- (4) For this section, an authority of a State is ***non-commercial*** if:
    - (a) it is constituted by only one person; and
    - (b) it is neither a trading corporation nor a financial corporation.

### **38 Crown not liable to pecuniary penalty or prosecution**

- (1) Nothing in the application law of this jurisdiction makes the Crown in any capacity liable to a pecuniary penalty or to be prosecuted for an offence.
- (2) Without limiting subsection (1), nothing in the application law of a participating jurisdiction makes the Crown in right of this jurisdiction liable to a pecuniary penalty or to be prosecuted for an offence.

- (3) The protection in subsection (1) or (2) does not apply to an authority of any jurisdiction.

## **Division 5            Miscellaneous matters**

### **39            Conferral of functions and powers on certain bodies**

- (1) The authorities and officers of the Commonwealth referred to in the Australian Consumer Law (NT) have the functions and powers conferred or expressed to be conferred on them under the Australian Consumer Law (NT).
- (2) In addition to the powers mentioned in subsection (1), the authorities and officers referred to in that subsection have power to do all things necessary or convenient to be done in connection with the performance of the functions and exercise of the powers referred to in that subsection.

### **40            No doubling-up of liabilities**

- (1) An offender is not liable to be punished for an offence against the Australian Consumer Law (NT) if:
- (a) an act or omission is an offence against the Australian Consumer Law (NT) and also an offence against an application law of another participating jurisdiction; and
  - (b) the offender has been punished for the offence under the application law of the other jurisdiction.
- (2) If a person has been ordered to pay a pecuniary penalty under the application law of another participating jurisdiction, the person is not liable to a pecuniary penalty under the Australian Consumer Law (NT) in respect of the same conduct.

## **Part 5                    Provisions supporting application of Australian Consumer Law in Territory**

### **41            Application of Criminal Code**

- (1) Part IIAA of the Criminal Code applies to an offence against the Australian Consumer Law (NT).

*Note for subsection (1)*

*Part IIAA of the Criminal Code states the general principles of criminal responsibility, establishes general defences, and deals with burden of proof. It also defines, or elaborates on, certain concepts commonly used in the creation of offences.*

- 
- (2) However, the following provisions of the Criminal Code do not apply to an offence against the Australian Consumer Law (NT):

- (a) section 43AX;
- (b) Part IIAA, Division 5.

*Note for subsection (2)(a)*

*Section 207 of the Australian Consumer Law (NT) provides a mistake of fact defence for offences under Chapter 4 of that Law.*

## **42 Finding in proceedings to be evidence**

- (1) In an action against a person under section 236(1) of the Australian Consumer Law (NT), or in proceedings for an order against a person under section 237(1) or 239(1) of the Australian Consumer Law (NT):
- (a) a finding of a fact by a court to which subsection (2) applies is evidence of that fact; and
  - (b) the finding may be proved by production of a document under the seal of the court from which the finding appears.
- (2) This subsection applies to a finding of a fact by a court that is made in proceedings under section 228, 232, 246, 247 or 248 of the Australian Consumer Law (NT), or for an offence against a provision of Chapter 4 of the Australian Consumer Law (NT), in which the person has been found:
- (a) to have contravened a provision of Chapter 2, 3 or 4 of the Australian Consumer Law (NT); or
  - (b) to have attempted to contravene such a provision; or
  - (c) to have aided, abetted, counselled or procured a person to contravene such a provision; or
  - (d) to have induced, or attempted to induce, a person, whether by threats or promises or otherwise, to contravene such a provision; or
  - (e) to have been in any way, directly or indirectly, knowingly concerned in, or party to, the contravention by a person of such a provision; or
  - (f) to have conspired with others to contravene such a provision.

**43 Conduct of directors, employees or agents of bodies corporate**

- (1) If, in a proceeding under this Part or the Australian Consumer Law (NT), it is necessary to establish the state of mind of a body corporate in relation to particular conduct, it is sufficient to show:
- (a) that the conduct was engaged in by a director, employee or agent of the body corporate within the scope of his or her actual or apparent authority; and
  - (b) that the director, employee or agent had the relevant state of mind.
- (2) Any of the following conduct that is engaged in on behalf of a body corporate is taken, for this Part and the Australian Consumer Law (NT), to have been engaged in also by the body corporate:
- (a) conduct engaged in by a director, employee or agent of the body corporate within the scope of the actual or apparent authority of the director, employee or agent;
  - (b) conduct engaged in by any other person if:
    - (i) the conduct is engaged in at the direction or with the consent or agreement (whether express or implied) of a director, employee or agent of the body corporate; and
    - (ii) the giving of the direction, consent or agreement is within the scope of the actual or apparent authority of the director, employee or agent.

**44 Conduct of employees or agents of persons other than bodies corporate**

- (1) If, in a proceeding under this Part or the Australian Consumer Law (NT), it is necessary to establish the state of mind of a person other than a body corporate (the ***principal***) in relation to particular conduct, it is sufficient to show:
- (a) that the conduct was engaged in by an employee or agent of the principal within the scope of his or her actual or apparent authority; and
  - (b) that the employee or agent had the relevant state of mind.

- (2) Any of the following conduct that is engaged in on behalf of a person other than a body corporate (the ***principal***) is taken, for this Part and the Australian Consumer Law (NT), to have been engaged in also by the principal:
- (a) conduct engaged in by an employee or agent of the principal within the scope of the actual or apparent authority of the employee or agent;
  - (b) conduct engaged in by any other person if:
    - (i) the conduct is engaged in at the direction or with the consent or agreement (whether express or implied) of an employee or agent of the principal; and
    - (ii) the giving of the direction, consent or agreement is within the scope of the actual or apparent authority of the employee or agent.
- (3) However, an individual is not liable to be punished by imprisonment for an offence if:
- (a) subsection (1) or (2) applied in relation to the conviction for the offence on the basis that the individual was the principal mentioned in the subsection; and
  - (b) the individual would not have been convicted of the offence if the subsection had not been enacted.

#### **45 Court may make orders to preserve money or other property**

- (1) A court may, on the application of the Minister or Commissioner, make an order or orders mentioned in subsection (3) if:
- (a) proceedings of a kind mentioned in subsection (2) have been taken against a person, or proceedings of a kind mentioned in subsection (2)(d) may be taken against a person; and
  - (b) the court is satisfied it is necessary or desirable to make the order or orders for the purpose of preserving money or other property held by, or on behalf of, the person if the person is liable, or may become liable, under the Australian Consumer Law (NT):
    - (i) to pay money by way of a fine, damages, compensation, refund or otherwise; or
    - (ii) to transfer, sell or refund other property; and

- (c) the court is satisfied the making of such an order or orders will not unduly prejudice the rights and interests of any other person.
- (2) For subsection (1)(a), the kinds of proceedings taken against the person are:
  - (a) proceedings against the person for an offence against a provision of Chapter 4 of the Australian Consumer Law (NT); or
  - (b) an application under section 232 of the Australian Consumer Law (NT) for an injunction against the person in relation to:
    - (i) a contravention of a provision of Chapter 2, 3 or 4 of the Australian Consumer Law (NT); or
    - (ii) a term of a consumer contract in relation to which a declaration under section 250 of the Australian Consumer Law (NT) has been made; or
  - (c) an action under section 236(1) of the Australian Consumer Law (NT) against the person in relation to a contravention of a provision of Part 2-1 or Chapter 3 of the Australian Consumer Law (NT); or
  - (d) an application for an order under section 237(1) or 239(1) of the Australian Consumer Law (NT) against a person in relation to:
    - (i) a contravention of a provision of Chapter 2, 3 or 4 of the Australian Consumer Law (NT); or
    - (ii) a term of a consumer contract in relation to which a declaration under section 250 of the Australian Consumer Law (NT) has been made.
- (3) The court may make the following orders under subsection (1) in relation to money or other property held by, or on behalf of, a person (the **respondent**):
  - (a) an order prohibiting, either absolutely or subject to conditions, a person who is indebted to the respondent, or to an associate of the respondent, from making a payment, in total or partial discharge of the debt:
    - (i) to the respondent; or
    - (ii) to another person at the direction or request of the respondent;

- (b) an order prohibiting, either absolutely or subject to conditions, a person who is holding money or other property on behalf of the respondent, or on behalf of an associate of the respondent:
    - (i) from paying all or any of the money to the respondent, or to another person at the direction or request of the respondent; or
    - (ii) from transferring the other property to the respondent, or to another person at the direction or request of the respondent, or otherwise parting with possession of that property;
  - (c) an order prohibiting, either absolutely or subject to conditions, the taking or sending by any person of money of the respondent, or of an associate of the respondent, to a place outside the Territory;
  - (d) an order prohibiting, either absolutely or subject to conditions, the taking, sending or transfer by any person of other property of the respondent, or of an associate of the respondent, to a place outside the Territory;
  - (e) if the respondent is an individual – an order appointing a receiver or trustee of the property, or part of the property, of the respondent with such powers as are specified in the order.
- (4) If the court makes such an order, the order operates:
- (a) for the period specified in the order, which must not be longer than 30 days if the order is made in the absence of the person against whom it is sought; or
  - (b) if proceedings in relation to which the order is made are concluded before the end of that period – until the conclusion of those proceedings.
- (5) A person must not engage in conduct that results in a contravention of an order made under this section.
- Maximum penalty: 500 penalty units.
- (6) This section:
- (a) has effect subject to the *Bankruptcy Act 1966* (Cth); and
  - (b) does not affect any other powers of the court.



(7) In this section:

**associate**, of a person (the **relevant person**), means:

- (a) a person holding money or other property on behalf of the relevant person; or
- (b) if the relevant person is a body corporate – a wholly owned subsidiary (as defined in the Corporations Act 2001) of the relevant person.

**46 Application of Australian Consumer Law (NT) to bills for legal services under *Legal Profession Act***

(1) This section applies if:

- (a) apart from this section, under section 101 of the Australian Consumer Law (NT) a person is entitled, as a consumer, to request the supplier of legal services to give the consumer an itemised bill, complying with that section, relating to the legal services; and
  - (b) under section 327 of the *Legal Profession Act*, the person is entitled to request a law practice to give the person an itemised bill relating to the legal services.
- (2) Section 101 of the Australian Consumer Law (NT) ceases to apply to the legal services.

**47 Compliance with standard for supply of electrical appliance**

- (1) A person must not, in trade or commerce, supply an electrical appliance that is intended to be used, or is likely to be used, by a consumer, unless the person has complied with the standard prescribed by regulation.

Maximum penalty: 500 penalty units.

- (2) Subsection (1) does not apply to an electrical appliance that has been used before sale unless the use was only for testing or demonstration purposes.
- (3) If an information standard is made under section 134 or 135 of the Australian Consumer Law (NT) for an electrical appliance, this section ceases to apply to the electrical appliance on and from the date the information standard comes into force.

(4) In this section:

**electrical appliance** means any of the following domestic appliances:

- (a) clothes dryers;
- (b) dishwashers;
- (c) freezers, refrigerators and refrigerator-freezers;
- (d) refrigerative airconditioners;
- (e) washing machines.

**48 Limitation of liability in relation to supply of recreational services**

(1) A term of a contract for the supply by a person of recreational services is not void under section 64 of the Australian Consumer Law (NT) by reason only that the term excludes, restricts or modifies, or has the effect of excluding, restricting or modifying:

- (a) the application of all or any of the provisions of Part 3-2, Division 1, Subdivision B of the Australian Consumer Law (NT) to the supply of the recreational services under the contract; or
- (b) the exercise of a right conferred by such a provision in relation to the supply of the recreational services under the contract; or
- (c) any liability of the supplier of the recreational services for a failure to comply with a guarantee under that Subdivision in relation to the supply of the recreational services under the contract;

so long as:

- (d) the exclusion, restriction or modification is limited to liability for death or personal injury; and
- (e) the exclusion, restriction or modification is disclosed to the person entering into the contract for the recreational services in such a manner that the person:
  - (i) should be aware of the general effect of the exclusion, restriction or modification; and

- (ii) has a reasonable opportunity to consider whether or not to enter into the contract on that basis.

(2) For subsection (1)(e), the disclosure may be made:

- (a) in writing (whether by prominent signage, written notice handed to the person or other means); or
- (b) orally (including, if practicable, an enquiry of the person that he or she understands and accepts the effect of the exclusion, restriction or modification); or
- (c) by a combination of writing and orally;

as appropriate in the circumstances.

(3) In this section:

**disease** includes any physical or mental ailment, disorder, defect or morbid condition, whether of sudden onset or gradual development and whether of genetic or other origin.

**injury** means any physical or mental injury.

**personal injury** means:

- (a) an injury of an individual (including the aggravation, acceleration or recurrence of an injury of the individual); or
- (b) the contraction, aggravation, acceleration or recurrence of a disease of an individual; or
- (c) the coming into existence, the aggravation, acceleration or recurrence of any other condition, circumstance, occurrence, activity, form of behaviour, course of conduct or state of affairs in relation to an individual that is or may be harmful or disadvantageous to, or result in harm or disadvantage to:
  - (i) the individual; or
  - (ii) the community.

**recreational services** means services that consist of participation in:

- (a) a sporting activity or a similar leisure-time pursuit; or
- (b) any other activity that:
  - (i) involves a significant degree of physical exertion or physical risk; and

- (ii) is undertaken for the purposes of recreation, enjoyment or leisure.
- (4) The definition of ***injury*** in subsection (3) does not, by implication, affect the meaning of the expression ***injury*** when used in a provision of this Act other than this section.

## **Part 8                      Fair reporting**

### **114                      Interpretation of Part 8**

- (1) In this Part:

***file*** means any repository or record in which information relating to any person is recorded or retained, in any manner or form, by a reporting agency.

***premises*** includes land.

***prescribed benefit*** means:

- (a) a benefit of a commercial nature; or
- (b) a benefit in or affecting employment; or
- (c) a lease of premises or a licence conferring a right to occupy premises.

***prescribed report*** means a communication made to a trader by a reporting agency or another trader of information relating to a person, not being a communication made with the knowledge of, and of information known to, that person.

***reporting agency*** means a person who carries on the business of providing information relating to other persons.

***trader*** means a person who, in the course of a business, supplies or offers to supply goods or services, or sells or lets or offers to sell or let premises.

- (2) For this Part, where a prescribed report consists of a communication by electronic or mechanical means (except by telephone or other means of voice transmission) the report shall be regarded as being written.

**115 Application of Part 8**

This Part applies to and in relation to a reporting agency or trader where:

- (a) the reporting agency or trader provides a prescribed report to a person carrying on business or letting premises in the Territory; and
- (b) the person to whom the report relates is domiciled or resident in the Territory.

**116 Procedures in respect of prescribed reports**

- (1) A reporting agency or trader shall adopt all procedures reasonably practicable for ensuring accuracy and fairness in the contents of prescribed reports provided by the agency or trader.

Maximum penalty:      If the offender is a natural person –  
200 penalty units.

                                 If the offender is a body corporate –  
1 000 penalty units.

- (2) A reporting agency or trader shall not include in a prescribed report:

- (a) any information based on evidence that is not the best evidence reasonably available; or
- (b) any unfavourable personal information based on hearsay evidence, unless the agency or trader has made reasonable efforts to substantiate the evidence on which the information is based and, where the information is unsubstantiated, the lack of substantiation is stated in the report in which the information is given.

Maximum penalty:      If the offender is a natural person –  
200 penalty units.

                                 If the offender is a body corporate –  
1 000 penalty units.

- (3) A reporting agency or trader shall not include in a prescribed report any information as to the race, colour or religious or political belief or affiliation of any person.

Maximum penalty: If the offender is a natural person –  
200 penalty units.

If the offender is a body corporate –  
1 000 penalty units.

### **117 Duty of trader to disclose receipt of prescribed report**

Where a trader:

- (a) denies a prescribed benefit sought by a person; or
- (b) grants a prescribed benefit sought by a person, but on terms less favourable than those on which the trader grants similar benefits to other persons in the course of the trader's business;

and the trader has during the last preceding 6 months received a prescribed report in relation to that person, the trader shall, if that person asks in writing whether such a report has been so received:

- (c) inform the person that the trader has received the report; and
- (d) give the person the name and address of the reporting agency or trader who provided the report.

Maximum penalty: If the offender is a natural person –  
200 penalty units.

If the offender is a body corporate –  
1 000 penalty units.

### **118 Duty of disclosure of reporting agencies**

- (1) A reporting agency shall, on the written application of a person in relation to whom the agency has recorded information, disclose to that person without charge:
- (a) all information in its files relating to that person at the time of the request; and
  - (b) the name and address of every person to whom a prescribed report relating to that person has been provided within the year preceding the date of the request; and

(c) a copy of every such prescribed report that was in writing.

Maximum penalty: If the offender is a natural person –  
200 penalty units.

If the offender is a body corporate –  
1 000 penalty units.

(2) A reporting agency may require a person seeking disclosure of information under this section to produce reasonable evidence of the person's identity.

(3) A reporting agency shall take reasonable steps to ensure that information to which a person is entitled under this section is disclosed in a form that is readily intelligible to that person and shall permit that person to make a copy of, or take an extract from, the information so disclosed.

Maximum penalty: If the offender is a natural person –  
200 penalty units.

If the offender is a body corporate –  
1 000 penalty units.

(4) A reporting agency shall not require a person to give any undertaking, or to waive any right that the person may have, as a condition of disclosing information under this section.

Maximum penalty: If the offender is a natural person –  
200 penalty units.

If the offender is a body corporate –  
1 000 penalty units.

## **119 Correction of errors**

(1) A person who disputes the accuracy or completeness of any information compiled by a reporting agency in relation to the person or included in a prescribed report relating to the person provided by a reporting agency or trader may, by notice in writing served on the agency or trader, object to the inaccuracy or incompleteness of the information.

- (2) Where, pursuant to subsection (1), a person disputes the accuracy or completeness of any information, the reporting agency or trader shall, within a reasonable time, use their best endeavours to verify or supplement the information in accordance with good practice.

Maximum penalty: If the offender is a natural person –  
200 penalty units.

If the offender is a body corporate –  
1 000 penalty units.

- (3) A reporting agency or trader upon whom a notice of objection is served under this section shall, within 30 days after the date of service of the notice, inform the person by whom the objection was made:

- (a) whether the agency or trader has made any amendment or supplementation to or deletion from the information; and
- (b) if so, the nature of the amendment, supplementation or deletion.

Maximum penalty: If the offender is a natural person –  
200 penalty units.

If the offender is a body corporate –  
1 000 penalty units.

- (4) Where information is altered under this section by amendment, supplementation or deletion, the following provisions apply:

- (a) where a reporting agency makes such an alteration, the agency shall give notice in writing of the alteration to:
  - (i) every person provided by the agency with a prescribed report based on the information within 60 days before the making of the alteration; and
  - (ii) every person provided by the agency with such a prescribed report before the commencement of that period and nominated by the person to whom the information relates;



- (b) where a trader makes such an alteration, the trader shall give notice in writing of the alteration to every person provided by the trader with a prescribed report based on the information and nominated by the person to whom the information relates.

Maximum penalty: If the offender is a natural person –  
200 penalty units.

If the offender is a body corporate –  
1 000 penalty units.

- (5) A person by whom an objection has been made under this section may appeal to the Local Court against any failure on the part of a reporting agency or trader to make any deletion from or amendment or supplementation to information.
- (6) Upon the hearing of an appeal under this section, the Local Court may make such orders as it considers just.
- (7) Where an objection or appeal has been made or instituted under this section and a prescribed report is made by the reporting agency or trader before the determination by the agency or trader or the Local Court of the matters raised in the objection or appeal, the agency or trader shall include in the report a statement to the effect that those matters are subject to an objection or appeal under this Part.

Maximum penalty: If the offender is a natural person –  
200 penalty units.

If the offender is a body corporate –  
1 000 penalty units.

## **120 Qualified privilege**

- (1) Subject to subsection (2), a communication of information relating to the credit-worthiness of any person made:
- (a) to a reporting agency or trader; or
- (b) to a trader by a reporting agency or another trader;
- is protected by qualified privilege.
- (2) Subsection (1) does not apply where information is communicated in contravention of an order under this Part.

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**121 Offences**

A person who:

- (b) contravenes an order of the Local Court under section 119(6); or
- (c) knowingly provides false or misleading information to another person, being a person who is engaged in compiling information for a prescribed report; or
- (d) divulges information relating to another person from the files of a reporting agency without proper authority to do so or except for the purposes of legal proceedings; or
- (e) obtains information relating to another person from a reporting agency or trader by false pretences;

is guilty of an offence.

Maximum penalty: If the offender is a natural person –  
200 penalty units.

If the offender is a body corporate –  
1 000 penalty units.

**122 Powers of Local Court**

- (1) The Local Court may, on the application of the Commissioner or any other person, make such orders against a reporting agency or trader as may be necessary or expedient in the opinion of the Court to ensure that the reporting agency or trader complies with this Part.

- (2) Where a reporting agency or trader:

- (a) commits an offence against this Part; or
- (b) does any act which, in the opinion of the Local Court, shows the agency or trader to be unfit to provide prescribed reports;

the Court may, on the application of the Commissioner, make an order:

- (c) prohibiting the agency or trader from providing prescribed reports; or
- (d) requiring the agency or trader to comply with conditions specified in the order in relation to the provision of prescribed reports.

- (3) An order under subsection (1) or (2) is effective for such period as is specified in the order or until further order of the Court.
- (4) A reporting agency or trader that contravenes an order under subsection (1) or (2) is guilty of an offence.

Maximum penalty:      If the offender is a natural person –  
400 penalty units or imprisonment for  
2 years.

                                 If the offender is a body corporate –  
2 000 penalty units.

## **Part 9                      Trading stamps**

### **123              Interpretation**

- (1) In this Part:

***approved third-party trading scheme*** means a third-party trading scheme that has been approved under a corresponding law.

***corresponding law*** means a law declared under subsection (2).

***prohibited third-party trading scheme*** means:

- (a) a third-party trading scheme to which a declaration under section 124(2) relates; or
- (b) a trading stamp scheme under which a trading stamp is provided or intended to be provided in connection with the sale of, or for the purpose of promoting the sale of, tobacco, cigarettes, cigars or other tobacco products.

***third-party trading scheme*** means a scheme or arrangement under which the acquisition of goods or services by a consumer from a supplier is a condition, or one of a number of conditions, compliance with which gives rise, or apparently gives rise, to an entitlement to a benefit from a third party in the form of goods or services or some discount, concession or advantage in connection with the acquisition of goods or services.

***trading stamp*** means a stamp, coupon, token, voucher, ticket or other thing:

- (a) which is provided or intended to be provided in connection with the sale of, or for the purpose of promoting the sale of, goods or services; or

- (b) by virtue of which the purchaser or any other person may become entitled to, or may qualify for, a prize, gift or other benefit (whether the trading stamp constitutes an absolute entitlement or qualification or a conditional one only).
- (2) The Minister may, by *Gazette* notice, declare a law of the Commonwealth, a State or another Territory to be a corresponding law for the purposes of this Part.

## **124 Power of Minister to prohibit third-party trading schemes**

- (1) The Commissioner may recommend to the Minister that a third-party trading scheme be declared to be a prohibited third-party trading scheme if:
  - (a) the scheme is not an approved third-party trading scheme; and
  - (b) the Commissioner is of the opinion that the scheme is not genuine and reasonable or is contrary to the interests of consumers.
- (2) The Minister may, on the recommendation of the Commissioner, by *Gazette* notice, declare a third-party trading scheme to be a prohibited third-party trading scheme.
- (3) The Minister may, by *Gazette* notice, revoke a declaration under this section.
- (4) The Commissioner may make a recommendation under subsection (1), and the Minister may make a declaration under subsection (2), in relation to a third-party trading scheme whether or not the scheme was in existence in the Territory immediately before the commencement of this section.

## **124A Offences**

- (1) A person must not:
  - (a) act as a promoter of a prohibited third-party trading scheme; or
  - (b) as a party to a prohibited third-party trading scheme, supply goods or services; or

- (c) publish an advertisement relating to a prohibited third-party trading scheme or cause such an advertisement to be published.

Maximum penalty: If the offender is a natural person –  
500 penalty units or imprisonment for  
2 years.

If the offender is a body corporate –  
2 500 penalty units.

- (2) A person who acts as a promoter of a third-party trading scheme or supplies goods or services as a party to a third-party trading scheme must not breach a condition prescribed for the purposes of section 124B(1) in relation to a third-party trading scheme of the type for which he or she acts as a promoter or to which he or she is a party.

Maximum penalty: If the offender is a natural person –  
500 penalty units or imprisonment for  
2 years.

If the offender is a body corporate –  
2 500 penalty units.

## **124B Regulations for the purposes of this Part**

- (1) Regulations made for the purposes of this Part may specify conditions that are to be complied with by persons who act as promoters of third-party trading schemes or supply goods or services as parties to third-party trading schemes.
- (2) Regulations made for the purposes of subsection (1) may specify different conditions in relation to different third-party trading schemes.
- (3) Regulations made for the purposes of subsection (1) do not apply in relation to a third-party trading scheme that was in existence in the Territory immediately before the commencement of this section.

## **Part 10            Motor vehicle dealers**

### **Division 1        Preliminary**

#### **125            Definitions for purposes of Part 10**

(1) In this Part:

**accessories** includes car radios, sound reproducing equipment, air conditioning units, and spare wheels and tools usually carried on motor vehicles.

**body number**, in relation to a motor vehicle, means the figures, letters or other symbols (if any) recorded on the body of the vehicle by its manufacturer as a means of identifying the vehicle.

**commercial vehicle** is to be construed in accordance with subsections (2) and (3).

**consignor**, in relation to a dealer, means a person from whom the dealer receives a motor vehicle for sale on consignment.

**dealer** means a person who buys, sells, offers for sale or exchanges motor vehicles as a business (whether alone or in partnership, and whether or not as the person's sole business) but does not include:

- (a) a person whose business consists exclusively of buying motor vehicles for the purpose of demolishing or dismantling them; or
- (b) a financier; or
- (c) an exempt trader.

**dealings register** means a dealings register kept by a licenced dealer in pursuance of section 157.

**demonstration vehicle** means a motor vehicle which has only been used for the purposes of a dealer's business as such, and which is the subject of an unexpired manufacturer's warranty.

**exempt trader** means a person (other than a financier) who carries on the business of buying, selling or exchanging motor vehicles, but does not (otherwise than by an agent who is a licenced dealer) offer or display motor vehicles for sale to the public or hold him or herself out as ready to purchase vehicles from the public.

**financier** is to be construed in accordance with subsections (4) and (5).

**hire-purchase agreement**, in relation to a motor vehicle, includes:

- (a) a letting of the vehicle with an option to purchase; and
- (b) an agreement the object of which is the sale and purchase of the vehicle by instalments (whether described as rent, hire or in any other manner), not being an agreement under which the property in the vehicle passes at the time of the agreement or at any time before delivery of the vehicle.

**licence** means a licence under this Part.

**licenced dealer** means a person holding a current licence under this Part.

**manufacturer** means a person who carries on the business of making or assembling motor vehicles.

**model designation**, in relation to a motor vehicle of a particular model, means the words, figures, letters or other symbols (if any) applied by its manufacturer to describe or identify a vehicle of that model.

**motor vehicle** means any motor car, motor cycle or other vehicle used on land which is propelled wholly or partly by any volatile spirit, by steam, gas, oil, hydrocarbon or electricity, or by any means other than human or animal power (whether the vehicle is new or used, and whether or not it is in working condition or complete) but does not include:

- (a) a vehicle used on a railway or tramway; or
- (b) a vehicle included in a class or description of vehicles declared by regulation not to be motor vehicles for this Part;

**odometer** means an instrument or device which measures and records the distance travelled by a motor vehicle, but does not include one that is designed so as to permit, as part of its normal functioning, manual alteration of the distance so recorded.

**owner**, in relation to a motor vehicle, includes a person who:

- (a) is a joint owner or part owner of the vehicle; or
- (b) has possession of the vehicle under or subject to a hire-purchase agreement, bill of sale or similar instrument; or
- (c) has possession of the vehicle under a contract of hire or lease;

but does not include a person in whom the property in the vehicle, or an absolute or conditional right to take possession of the vehicle, is vested under or subject to a contract of hire, a hire-purchase agreement, a bill of sale or any similar instrument, but who does not for the time being have possession of the vehicle.

**sale on consignment**, in relation to a motor vehicle, means its sale by a dealer for or on behalf of another person.

**sell** means to sell as principal or agent.

**trade owner** means a dealer, a financier or an exempt trader.

**vehicle identification number** has the same meaning as in the *Motor Vehicles Act*.

- (2) Subject to subsection (3), a commercial vehicle is a motor vehicle which is constructed or adapted principally for:
- (a) the carriage of goods; or
  - (b) the carriage of 10 or more adult persons; or
  - (c) industrial or agricultural use;

but does not include, except where (before a contract for its sale is entered into) the prospective purchaser has, by written notice to the dealer in the prescribed form, confirmed that the vehicle is to be used principally for commercial purposes:

- (d) a vehicle of a kind known as a utility, a station wagon or a panel van; or
  - (e) a vehicle that is adapted for camping use, or is of a type known as a motor home or mobile home; or
  - (f) a 4 wheel drive vehicle of a type which is reasonably capable of both commercial use and private recreational and leisure use.
- (3) Regulations may provide that a specified motor vehicle, or a motor vehicle of a specified class or description of motor vehicles, is a commercial vehicle for the purposes of this Part or is not a commercial vehicle for those purposes.



- (4) Subject to subsection (5), a financier is a person who carries on the business of buying, selling or exchanging motor vehicles only for one or more of the following purposes:
  - (a) the purpose of hiring motor vehicles under hire-purchase agreements;
  - (c) the purpose of taking or enforcing securities over motor vehicles;
  - (d) the purpose of letting out motor vehicles on hire without granting any right to purchase them;
  - (e) any purpose that is for the time being prescribed;
  - (f) the purpose of disposing of motor vehicles which the person has acquired in connection with a purpose falling within any of paragraphs (a) to (e).
- (5) The following persons are not financiers:
  - (a) a person who (except by an agent who is a licenced dealer) offers or displays motor vehicles for sale to the public otherwise than for the purpose specified in subsection (4)(f);
  - (b) a person who holds him or herself out as ready to purchase motor vehicles from the public;
  - (c) a person who is declared by regulations not to be a financier, or who belongs to a class or description of persons declared by regulations not to be financiers.

## **126        Other rules for interpreting Part 10**

- (1) For the purposes of this Part, a person who sells 4 or more motor vehicles during any period of 12 months is to be presumed until the contrary is proved as having sold them in the course of carrying on a business of selling motor vehicles.
- (2) Where:
  - (a) a motor vehicle is made the subject of a hire-purchase agreement; or
  - (b) one person enters into an agreement with another for the hire or leasing to that other of a motor vehicle other than a second-hand vehicle;

the motor vehicle is to be treated for the purposes of this Part as having been sold by its owner to the hirer by a sale made at the time when the agreement was entered into, but this does not by itself constitute the owner a dealer for the purposes of this Part.

(3) Where:

- (a) a dealer sells a motor vehicle to a financier in the expectation that the vehicle will be sold, or treated by virtue of subsection (2) as having been sold, by the financier to a particular third person; and
- (b) the vehicle is so sold or treated as having been so sold;

the dealer shall be treated for the purposes of this Part as having sold the vehicle to that third person.

(4) Where:

- (a) a body corporate enters into an agreement with a person for the letting on hire to that person of a motor vehicle other than a second-hand motor vehicle; and
- (b) that body corporate is not a dealer, but is, for the purposes of the Corporations Act 2001, to be deemed to be related to another body corporate which is a dealer;

the first-mentioned body corporate is liable to the hirer in all respects as if it were a dealer unless another person who is a dealer is to be treated by virtue of subsection (3) as having sold the vehicle to the hirer.

- (5) For the purposes of this Part, a motor vehicle is to be treated as having been sold notwithstanding that all or part of the consideration which passed from the purchaser in respect of the sale is represented by another vehicle or some other thing.

## **127        Application of Part 10 to partnerships**

- (1) Subject to this Part and regulations made for the purposes of this Part, where a licenced dealer carries on the business of a dealer in partnership with one or more other licenced dealers, this Part applies to and in relation to each of those dealers as if:
  - (a) the business were carried on by that dealer alone; and
  - (b) anything done or omitted to be done in connection with the business by one of the dealers had been done or omitted to be done by each of them.

- (2) Nothing in subsection (1) renders a dealer guilty of an offence as a result of the doing of an act by another dealer in the partnership.

## **Division 2            Offences of unlicensed dealing, dealing from unlicensed premises and breach of licence**

### **128            Unlicensed dealing**

Subject to this Part, a person other than a licenced dealer shall not:

- (a) carry on the business of a dealer; or
- (b) hold him or herself out as a dealer.

Maximum penalty:        If the offender is a natural person –  
500 penalty units.

                                    If the offender is a body corporate –  
2 500 penalty units.

### **129            Dealing from unlicensed premises**

- (1) Subject to subsection (2), a licenced dealer shall not:

- (a) buy, sell or exchange a motor vehicle in the course of the dealer's business as a dealer, otherwise than at a place of business specified in the licence; or
- (b) permit a motor vehicle which is offered for sale, or intended to be offered for sale, in the course of the dealer's business as a dealer, to be on land (including a public place) adjacent to the place of business specified in the licence.

Maximum penalty:        If the offender is a natural person –  
500 penalty units.

                                    If the offender is a body corporate –  
2 500 penalty units.

- (2) Regulations may exempt from subsection (1) (conditionally or unconditionally) transactions of a specified class or description, or transactions taking place in specified circumstances.

**130 Breach of terms or conditions of licence**

A licenced dealer must not contravene any term or condition of the licence.

Maximum penalty:        If the offender is a natural person –  
   500 penalty units.

   If the offender is a body corporate –  
   2 500 penalty units.

**131 Forfeiture of vehicles and proceeds for unlicensed dealing**

(1) Subject to subsection (9), the court before which a person is found guilty of an offence against section 128 may, in addition to any penalty it may impose, make either or both of the following orders:

(a) an order that any specified motor vehicle to which the offence relates be forfeited to the Territory;

(b) an order that the person pay to the Territory an amount, as assessed by the court, equal to the proceeds derived by the person from the commission of the offence or any other offence against section 128 which the court has taken into account in imposing any such penalty.

(2) Before making an order under this section, the court may require notice to be given to, and may hear, such persons as the court thinks fit.

(3) For the purpose of making an order under subsection (1)(b), the court may:

(a) treat any interest in property (real or personal) which the found guilty person acquired by means of proceeds derived from the commission of an offence as being, to an extent determined by the court, proceeds so derived; and

(b) treat as proceeds derived by the found guilty person from the commission of an offence the equivalent in money terms of any interest in property (real or personal) so derived.

(4) Without affecting any other right of appeal, an order under subsection (1)(a) in relation to a motor vehicle may be appealed by any person who has an interest in the vehicle:

(a) in the case of a person found guilty of the offence – in the same manner as if the order were, or were part of, an order imposing a penalty in respect of the offence; and

- (b) in any other case – in the same manner as if the person had been found guilty of an offence against section 128, and the order were, or were part of, an order imposing a penalty in respect of the offence.
- (5) Without affecting any other right of appeal, an order under subsection (1)(b) may be appealed in the same manner as if it were, or were part of, an order imposing a penalty in respect of the offence.
- (6) The court to which an appeal is made in respect of an order under subsection (1)(a) may, pending the hearing and determination of the appeal, make such orders as it thinks fit for the custody of the motor vehicle the subject of the order.
- (7) On appeal, an order under this section may be confirmed, revoked or varied.
- (8) An order under subsection (1)(b) (including an order made by the Court of Summary Jurisdiction) may be enforced as if it were a judgment in a civil matter given by the Supreme Court.
- (9) No order may be made under this section with respect to a vehicle sold by a dealer for or on behalf of another person or with respect to the proceeds derived from the sale.

## **Division 3            Licences**

### **Subdivision A    Grant of licence**

#### **132            Application for licence**

- (1) A person may apply to the Commissioner for a licence.
- (2) An application must be made in accordance with the prescribed form, giving such details as are indicated by the form or otherwise prescribed, and must be accompanied by the prescribed processing fee.
- (3) An application under subsection (1) is to be accompanied by proof of the placement of a notice in a newspaper or newspapers in accordance with subsection (4) in relation to the application.
- (4) An applicant for a licence may place in a newspaper circulating in the Territory and, if there is another newspaper circulating in the locality in which the applicant proposes to carry on business as a dealer, that newspaper, a notice in accordance with subsection (5).

- (5) A notice under subsection (4) is to:
- (a) state that the application has been made; and
  - (b) set out the prescribed details with respect to the application; and
  - (c) specify a period during which members of the public may, by notice in writing lodged with the Commissioner under section 134(2A), object to the granting of a licence on any of the grounds specified in section 136(1) or 137(1).

**133        Commissioner may require additional information**

- (1) An applicant for a licence shall, if required to do so by the Commissioner, provide the Commissioner with such particulars additional to those included in the application, and with such documents in relation to those particulars, as the Commissioner requires.
- (2) The Commissioner may require the applicant for a licence or, where the applicant is a body corporate, all or any of the directors or other persons concerned in the management of the body corporate, to appear personally before the Commissioner and to furnish such further information as the Commissioner requires in connection with the application.

**134        Objections to application**

- (1) On receiving an application for a licence, the Commissioner must send a copy of it to the Commissioner of Police, together with copies of any accompanying documents other than statements relating to the material and financial resources of the applicant.
- (2) The Commissioner of Police shall, within 28 days of receiving a copy of an application pursuant to subsection (1)(a), lodge with the Commissioner a notice in writing which either supports the application or objects to the grant of a licence on the grounds that the applicant may not be a fit and proper person.
- (2A) A person may, by notice in writing lodged with the Commissioner, object to the granting of a licence on any of the grounds specified in section 136(1) or 137(1).
- (3) A notice of objection under subsection (2) or (2A) must state the reasons for the objection.

- (4) References in this section to an applicant's not being a fit and proper person are, where the applicant is a body corporate, references to any director or other person concerned in the management of the body corporate not being a fit and proper person.

### **135 Inquiry by Commissioner**

Where an objection to the grant of a licence is duly lodged with the Commissioner under section 134, or it otherwise appears to the Commissioner that there may be grounds for refusing to grant a licence, the Commissioner shall hold an inquiry into the matter.

### **136 Grounds for refusal of licence to individual**

- (1) An application for a licence made by an individual shall be refused if it appears to the Commissioner:
- (a) that the applicant has not attained the age of 18 years; or
  - (b) that the applicant is disqualified from holding a licence; or
  - (c) that the applicant is taking the benefit of a law for the relief of bankrupt or insolvent debtors, or is a person whose remuneration is for the time being assigned for the benefit of creditors; or
  - (d) that the applicant does not have, or is not likely to continue to have, sufficient material and financial resources to carry on business as a dealer, having regard to the scope of the business operations which the applicant proposes to engage in as such and the liabilities which the applicant may incur in connection therewith; or
  - (e) that the applicant is not likely to carry on business as a dealer honestly and fairly; or
  - (f) that the applicant is in any other way not a fit and proper person to hold a licence; or
  - (g) that any person with whom, if the licence is granted, the applicant intends to carry on business as a dealer in partnership is a person in respect of whom the Commissioner would be required to refuse an application for the licence if that person were the applicant.
- (2) The Commissioner must also refuse an individual's application for a licence if the applicant fails to comply with a requirement of the Commissioner under section 133.

- (3) Without affecting the generality of subsection (1)(f), the Commissioner may, in determining whether or not an applicant is a fit and proper person to hold a licence, have regard (if such be the case) to the fact that the applicant, or a person who is associated with the applicant within the meaning of the Corporations Act 2001:
- (a) has, during the period of 10 years immediately preceding the making of the application, been found guilty of, or served any part of a term of imprisonment for, an offence (wherever committed) involving fraud, dishonesty or physical violence; or
  - (b) was, at the time the application was made, bound in relation to such an offence by a recognisance; or
  - (c) was, at the time the application was made, the subject of a charge in relation to such an offence; or
  - (d) has at any time been found guilty of an offence against this Act, the regulations, or any other enactment administered by the Minister.
- (4) An applicant who proposes to carry on the business of a dealer in partnership with another person or other persons shall not be refused a licence on the grounds specified in subsection (1)(d) if the Commissioner is satisfied that the applicant and the other person or persons together have, and are likely to continue to have, sufficient material and financial resources to carry on the business.
- (5) Regulations may prescribe criteria to be taken into account by the Commissioner in assessing the adequacy of an applicant's material and financial resources for the purposes of subsection (1)(d) or (as mentioned in subsection (4)) the adequacy for those purposes of the material and financial resources of the applicant and another person or other persons.

### **137 Grounds for refusal of licence to body corporate**

- (1) An application for a licence made by a body corporate shall be refused if it appears to the Commissioner:
- (a) that a person concerned in the management of the body corporate has not attained the age of 18 years; or
  - (b) that the body corporate is disqualified from holding a licence; or
  - (c) that the body corporate does not have, or is not likely to continue to have, sufficient material and financial resources to carry on business as a dealer, having regard to the scope of the business operations which the body corporate proposes to



engage in as such and the liabilities which the body corporate may incur in connection therewith; or

- (d) that the body corporate is not likely to carry on business as a dealer honestly and fairly; or
  - (e) that the reputation of the body corporate is such that it would not be a fit and proper person to hold a licence; or
  - (f) that a director of, or a person concerned in the management of, the body corporate is not of good reputation or character or in any other way would not be a fit and proper person to be the holder of a licence if the director or person were to apply for a licence personally; or
  - (g) that any person (other than an officer of the body corporate) who, in the opinion of the Commissioner, appears to have control, or substantial control, of the body corporate is not of good reputation and character or is not likely to exercise that control honestly and fairly; or
  - (h) that any person with whom, if the licence is granted, the body corporate intends to carry on business as a dealer in partnership is a person in respect of whom the Commissioner would be required to refuse an application for the licence if that person were the applicant.
- (2) The Commissioner shall also refuse an application for a licence by a body corporate if:
- (a) the body corporate fails to provide such banker's or other guarantee (if any) as the Commissioner thinks it desirable to require; or
  - (b) a director of, or a person concerned in the management of, the body corporate fails to comply with a requirement of the Commissioner under section 133.
- (3) Without affecting the generality of subsection (1)(e), (f), (g) or (h), the Commissioner may, in determining any matter referred to in those paragraphs, have regard (if such be the case) to the fact that the body corporate, or a person referred to in any of those paragraphs or associated with such a person within the meaning of the Corporations Act 2001:
- (a) has, during the period of 10 years immediately preceding the making of the application, been found guilty of, or served any part of a term of imprisonment for, an offence (wherever committed) involving fraud, dishonesty or physical violence; or

- (b) was, at the time the application was made, bound in relation to such an offence by a recognisance; or
  - (c) was, at the time the application was made, the subject of a charge in relation to such an offence; or
  - (d) has at any time been found guilty of an offence against this Act, the regulations, or any other enactment administered by the Minister.
- (4) A body corporate which proposes to carry on the business of a dealer in partnership with another person or other persons is not to be refused a licence on the grounds specified in subsection (1)(c) if the Commissioner is satisfied that the body corporate and the other person or persons together have, and are likely to continue to have, sufficient material and financial resources to carry on the business.
- (5) Regulations may prescribe criteria to be taken into account by the Commissioner in assessing the adequacy of a body corporate's material and financial resources for the purposes of subsection (1)(c) or (as mentioned in subsection (4)) the adequacy for those purposes of the material and financial resources of a body corporate and another person or other persons.

### **138        Grant of licence**

- (1) The Commissioner shall, unless required by section 136 or 137 to refuse the application, grant a licence to an applicant on payment of the prescribed fee.
- (2) A licence shall authorise the holder to carry on business as a dealer at a place or places specified in the licence, and, where it specifies 2 or more places, shall state which of them is the holder's principal place of business.
- (3) The Commissioner may grant a licence subject to such terms and conditions as the Commissioner considers necessary or desirable, and any terms or conditions imposed by virtue of this subsection shall be endorsed on the licence.

### **139        Notification of Commissioner's decision and appeal**

- (1) The Commissioner shall, not later than 45 days after the date of receiving an application for a licence conforming with section 132(2), give notice in writing to the applicant either that the application has been refused or that a licence will be granted on payment of the prescribed fee.

- (2) Where the Commissioner proposes to grant a licence subject to any terms or conditions, the notice required by subsection (1) must set these out, and afford to the applicant an opportunity to make submissions with respect to them orally or in writing.
- (3) Where the Commissioner has refused an application, the applicant may, within 14 days after the date on which the notice under subsection (1) is given, appeal to the Local Court against the refusal; and the notice must inform the applicant of this right and record the reasons for the refusal.
- (4) An appeal under subsection (3) shall be by way of rehearing of the application, and the Local Court has on the appeal all the powers of the Commissioner with respect to the matter.

### **Subdivision B Duration and operation of licence, annual fee and return**

#### **140 Duration of licence**

Except while it is suspended by or pursuant to this Part, a dealer's licence continues in force until:

- (a) it is cancelled by, or revoked or surrendered pursuant to, this Part; or
- (b) the dealer, being an individual, dies (but subject in that event to section 144); or
- (c) the dealer, being a body corporate, is dissolved.

#### **141 Annual fee and return**

- (1) A licenced dealer shall, not later than the prescribed date in each year:
  - (a) pay to the Commissioner the prescribed annual licence fee; and
  - (b) lodge with the Commissioner an annual return containing the prescribed information.
- (2) Where a dealer fails to pay the annual licence fee or lodge the annual return in accordance with subsection (1), the Commissioner may, by notice in writing to the dealer, require the dealer to make good the default and, in addition, to pay to the Commissioner the amount (if any) prescribed as a penalty for default.

- (3) Where a dealer fails to comply with a notice under subsection (2) within 14 days after service of the notice, the dealer's licence shall, by force of this subsection, be suspended until the dealer complies with the notice.
- (4) The Commissioner shall cause notice of a suspension under subsection (3) (being notice in the prescribed form) to be published in a newspaper circulating throughout the Territory.
- (5) Where a licence has been suspended by virtue of subsection (3) for a continuous period of 6 months, the licence shall, by force of this subsection, be cancelled.
- (6) A dealer shall not in, or in relation to, any information in an annual return lodged pursuant to subsection (1)(b) make a statement that is false or misleading by reason of the inclusion therein of any false or misleading matter or the omission therefrom of any material matter.

Maximum penalty: If the offender is a natural person –  
100 penalty units or imprisonment for  
6 months.

If the offender is a body corporate –  
500 penalty units.

- (7) It is a defence to a prosecution of a person for an offence against subsection (6) if the person proves that, when the information was provided, the person:
  - (a) believed on reasonable grounds that the false matter was true; or
  - (b) believed on reasonable grounds that the misleading matter was not misleading; or
  - (c) in the case of an omission:
    - (i) believed on reasonable grounds that no material matter had been omitted; or
    - (ii) did not know that the omitted matter was material.

## **142 Licence not transferable**

A licence is not transferable.

**143 Surrender of licence**

A dealer may surrender his or her licence by notice in writing to the Commissioner.

**144 Death of licenced dealer**

- (1) Where a licenced dealer dies, a person who is, or who is named as, or who intends to apply to become, a legal personal representative of the deceased dealer may, within 28 days after the death or such longer period as the Commissioner allows, apply to the Commissioner to be allowed to carry on the deceased dealer's business as a dealer during:

- (a) the period that commences with the date of the death and ends 6 months later; or
- (b) the period that commences with the date of the death and ends immediately before the next succeeding anniversary of the date on which the licence was granted;

whichever is the longer.

- (2) The Commissioner may grant or refuse an application under subsection (1) and, where the Commissioner grants the application, may impose such conditions as the Commissioner thinks fit, being conditions subject to which the business to which the application relates may be carried on.
- (3) A person authorised under this section to carry on the business of a deceased dealer shall, subject to this Part and any conditions imposed under subsection (2), be deemed to be, while so authorised, the holder of the deceased dealer's licence.
- (4) Where, under subsection (2), the Commissioner imposes conditions subject to which a person is authorised to carry on the business to which a licence relates, the person shall, on being required by the Commissioner to do so within a specified time, produce the licence to the Commissioner within that time for endorsement of the conditions.

Maximum penalty: If the offender is a natural person –  
500 penalty units.

If the offender is a body corporate –  
2 500 penalty units.

## **Subdivision C    Revocation, suspension and variation of licence**

### **145            Powers of Commissioner**

Where any of the grounds specified in section 146 exists, the Commissioner has power, subject to and in accordance with this Subdivision:

- (a) to revoke a licence; or
- (b) to suspend a licence for a specified period; or
- (c) to vary any term or condition to which a licence is subject or impose new terms or conditions.

### **146            Grounds for exercise of powers**

The grounds referred to in section 145 are:

- (a) that the licence was obtained by means of misrepresentation; and
- (b) that the holder of the licence is a person who, if that person were (instead of being such a holder) an applicant for a licence under section 132, would be refused a licence pursuant to section 136 or 137; and
- (c) that the holder of the licence, being a body corporate, has failed to give notice under section 147(1) of a change in the directors, or persons concerned in the management, of the body corporate.

### **147            Changes in management of body corporate**

- (1) Where a body corporate is a licenced dealer, and a person becomes a director of the body corporate or otherwise concerned in its management in addition to or in the place of an existing director or person so concerned, the body corporate shall, not later than 28 days after the date on which the event occurs, give to the Commissioner a notice complying with subsection (2).
- (2) A notice under subsection (1) must:
  - (a) state the full name, date of birth and present residential address of the person who has become a director of the body corporate or otherwise concerned in its management; and
  - (b) give the date on which the event in question occurred; and

- (c) state any other addresses at which the person has resided during the period of 3 years immediately preceding the date of the notice; and
  - (d) where the person has (whether in the Territory or elsewhere) previously carried on business as a dealer or been employed by a dealer, give particulars of that business or employment; and
  - (e) be accompanied by a certificate signed by 2 others to the effect that the person would be a fit and proper person to hold a licence.
- (3) Where a body corporate gives to the Commissioner a notice under subsection (1), the Commissioner shall send a copy of the notice to the Commissioner of Police, who shall, within 14 days of receiving it, lodge with the Commissioner a notice in writing which either:
  - (a) objects to the continuation in force of the licence held by the body corporate on the grounds that the person who has become a director, or otherwise concerned in its management, would not be a fit and proper person to hold a licence; or
  - (b) states that nothing is known about that person which warrants such an objection.

#### **148 Commissioner's discretion**

The Commissioner is not required to exercise a power conferred by section 145 if it appears to the Commissioner that, in the circumstances of a particular case, this is not necessary in the public interest.

#### **149 Inquiry before exercise of power**

- (1) The Commissioner shall not exercise a power conferred by section 145 without first holding an inquiry under this section.
- (2) Where the Commissioner proposes to hold an inquiry under this section, the Commissioner shall give written notice of that fact to the holder of the licence, and must in the notice:
  - (a) state the ground or grounds which the Commissioner considers may authorise the exercise of a power conferred by section 145; and

- (b) afford the holder of the licence not less than 7 clear days after the date on which it is given in which to make to the Commissioner written submissions with respect to the matter; and
  - (c) specify a date after the expiry of the period allowed under paragraph (b) on which the Commissioner will be prepared to hear oral submissions from the holder.
- (3) Where it appears to the Commissioner that the exercise of a power conferred by section 145 may also affect a person or persons other than the holder of the licence in respect of which the power is exercised, the Commissioner shall give to that person or each of those persons a like notice to that required by subsection (2), inviting the recipient of the notice to make written and oral submissions.
- (4) The Commissioner may, by a notice under subsection (2), suspend the holder's licence from the date on which the notice is given until the giving of a notice under section 150(1) or (2).

#### **150        Result of inquiry**

- (1) Where after holding an inquiry under section 149 the Commissioner decides to take no further action in the matter, the Commissioner shall give written notice to that effect to the holder of the licence.
- (2) Where after holding such an inquiry the Commissioner decides to exercise a power conferred by section 145, the Commissioner shall do so by notice in writing to the holder of the licence, and the notice must:
  - (a) state the ground or grounds on which the power is exercised; and
  - (b) where it revokes or suspends the licence, inform the holder of the right of appeal conferred by section 151(1); and
  - (c) where it varies a term or condition of a licence, or imposes new terms or conditions, require the holder, within the time specified in the notice, to produce the licence to the Commissioner for endorsement accordingly.



- (3) A person who fails to produce a licence to the Commissioner in accordance with a requirement in a notice under subsection (2) is guilty of an offence.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

## **151 Appeals**

- (1) Where the Commissioner has, by a notice under section 150(2), revoked or suspended a licence, the holder of the licence may, within 14 days after the date on which the notice is given, appeal against the revocation or suspension to the Local Court.
- (2) An appeal under subsection (1) shall be by way of rehearing, and the Local Court has on the appeal all the powers of the Commissioner with respect to the matter.

## **Subdivision D General**

### **152 Application for variation of licence**

The Commissioner may, on an application by the holder of a licence and on payment of the prescribed fee:

- (a) vary any of the terms or conditions endorsed on the licence;  
or
- (b) amend the licence with respect to the place or places at which the holder is authorised to carry on business as a dealer, or the place which is the holder's principal place of business.

### **153 Licence to be displayed**

- (1) A licenced dealer shall cause the licence held by the dealer to be exhibited at all times in a conspicuous position:
- (a) at the place where the licence authorises the dealer to carry on business as such; or

- (b) where there are 2 or more such places, at the place stated in the licence as the holder's principal place of business.

Maximum penalty:      If the offender is a natural person –  
100 penalty units.

                                 If the offender is a body corporate –  
500 penalty units.

- (2) Where:

- (a) the Commissioner has:
- (i) by a notice under section 150(2), varied a term or condition of a licence or imposed new terms or conditions on a licence; or
  - (ii) imposed under section 144(2) conditions subject to which a person may carry on the business to which the licence of a deceased dealer related; and
- (b) the licence has not been produced for endorsement pursuant to a requirement in the notice or, as the case may be, a requirement of the Commissioner under section 144(4);

subsection (1) is not complied with unless a copy of the notice or, as the case may require, of the conditions imposed under section 144(2) is exhibited with the licence.

#### **154      Issue of duplicate licence**

Where the Commissioner is satisfied that a licence has been lost, defaced or destroyed, the Commissioner may, on payment of the prescribed fee, issue to the holder of the licence a duplicate licence which shall be of the same force and effect as the original licence.

### **Division 4      Register of Motor Vehicle Dealers**

#### **155      Commissioner to keep register of motor vehicle dealers**

- (1) The Commissioner shall keep a register of licenced dealers to be known as the Register of Motor Vehicle Dealers.
- (2) The Register shall be kept in the prescribed form, and shall contain such particulars as are prescribed.

**156 Register to be open to public**

A person may, on payment of the prescribed fee, inspect and take extracts from the Register of Motor Vehicle Dealers.

**Division 5 Dealings in motor vehicles**

**Subdivision A Dealings registers**

**157 Duty of dealer to maintain dealings register**

(1) Subject to subsection (2), a licenced dealer shall keep and maintain a dealings register, being a register provided by the Commissioner on payment of the prescribed fee:

- (a) if the dealer carries on business at one place only – at that place; or
- (b) if the dealer has 2 or more places of business:
  - (i) at the dealer's principal place of business, in respect of that place and any other place of business which is within a radius of 20 km (or such other distance as is for the time being prescribed) of that place; and
  - (ii) at each place of business not falling within subparagraph (i).

Maximum penalty: If the offender is a natural person –  
200 penalty units.

If the offender is a body corporate –  
1 000 penalty units.

(2) Where 2 or more licenced dealers carry on business in partnership, subsection (1) is complied with if the partners keep and maintain one dealings register at each place at which that subsection would require a register to be kept if all of the places at which members of the partnership carry on business were places of business of a sole licenced dealer.

(3) A dealings register remains the property of the Commissioner, and must be returned to the Commissioner if:

- (a) the licence of the dealer by whom the register is kept or, as the case may be, of any of the partners by whom the register is kept, is revoked or suspended or expires without renewal; or

- (b) the dealer by whom the register is kept ceases to carry on business as a dealer or, as the case may be, the partners by whom the register is kept cease to carry on the business of a dealer in partnership.

Maximum penalty: If the offender is a natural person –  
20 penalty units.

If the offender is a body corporate –  
100 penalty units.

## **158 Details to be entered in dealings register**

- (1) A licenced dealer shall:

- (a) within 2 working days after coming into possession of a second-hand motor vehicle which the dealer has purchased or otherwise acquired for the purposes of disposal in the course of the dealer's business (or, where the dealer disposes of a second-hand motor vehicle so purchased or acquired before it comes into the dealer's possession, within 2 working days after the disposal) enter or cause to be entered in the dealings register the particulars specified in subsection (2); and
- (b) within 2 working days after coming into possession of a motor vehicle that the dealer is authorised to sell in accordance with section 172, enter or cause to be entered in the dealings register the particulars specified or referred to in subsection (3); and
- (c) within 2 working days after selling or otherwise disposing of a motor vehicle otherwise than in a demolished or dismantled condition, enter or cause to be entered in the dealings register the particulars specified in subsection (4); and
- (d) within 2 working days after having demolished or permanently dismantled a motor vehicle, enter or cause to be entered in the dealings register a notice of the vehicle's demolition or dismantling and of the date on which the demolition or dismantling began.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

(2) The particulars required by subsection (1)(a) are:

- (a) the make, model designation and type, and year of manufacture, of the vehicle; and
- (b) the registered number of the vehicle, if any; and
- (c) the engine number of the vehicle and, where known, its vehicle identification number or body number; and
- (d) where the vehicle is fitted with an odometer, the distance travelled by the vehicle as recorded on the odometer when the vehicle came into the dealer's possession or, if the odometer was not then functioning, a statement to that effect; and
- (e) the name and address of the person from whom the dealer purchased or otherwise acquired the vehicle; and
- (f) the date on which the dealer purchased or otherwise acquired the vehicle.

(3) The particulars required by subsection (1)(b) are:

- (a) those specified in subsection (2)(a), (b), (c) and (d); and
- (b) an indication in the prescribed form that the vehicle is for sale on consignment; and
- (c) the date on which the vehicle came into the dealer's possession.

(4) The particulars required by subsection (1)(c) are:

- (a) the date on which the dealer sold or otherwise disposed of the vehicle; and
- (b) the name and address of the person to whom the dealer sold or otherwise disposed of the vehicle; and
- (c) where the vehicle is fitted with an odometer, the distance travelled by the vehicle as recorded on the odometer at the time when the dealer sold or otherwise disposed of it or, if the odometer was not then functioning, a statement to that effect; and
- (d) where the vehicle was not in working condition at the time when it was sold or otherwise disposed of, an indication to that effect in the prescribed form; and

- (e) the cash or other consideration given or agreed to be given, and a description of any such other consideration.
- (5) Where a licenced dealer has 2 or more places of business, the particulars required by subsection (1) in respect of a motor vehicle are to be entered in the dealings register kept by the dealer at the place at which the dealing in question takes place or, if by virtue of section 157(1)(b)(i) a dealings register in respect of that place is kept at the dealer's principal place of business, in that register.

### **159 Seller to supply information to dealer**

- (1) A person who disposes of a motor vehicle to a licenced dealer in circumstances such that the dealer is required by section 158(1)(a) to enter particulars concerning the vehicle in a dealings register shall, at the request of the dealer, supply the dealer with the prescribed information in respect of the vehicle so far as known to the person.
- (2) The prescribed information is that indicated by a form prescribed for the purposes of this section, and the person required to supply it shall, if so requested by the dealer, do so by completing and signing a form according with the prescribed form.
- (3) A person who:
  - (a) fails to comply with a request under subsection (1) or (2); or
  - (b) in purported compliance with such a request, gives information which the person knows to be false or misleading in a material particular;

is guilty of an offence.

Maximum penalty: If the offender is a natural person –  
100 penalty units or imprisonment for  
6 months.

If the offender is a body corporate –  
500 penalty units.

## **Subdivision B    General provisions with respect to dealings**

### **160            Contract for sale of second-hand vehicle to be in prescribed form**

- (1) A contract by a dealer to sell a second-hand motor vehicle must be made in writing in the prescribed form, and a dealer who contracts to sell such a vehicle otherwise than in compliance with this requirement is guilty of an offence.

Maximum penalty:        If the offender is a natural person –  
500 penalty units or imprisonment for  
6 months.

                                  If the offender is a body corporate –  
2 500 penalty units.

- (2) Where a dealer contracts to sell a second-hand motor vehicle otherwise than in writing in the prescribed form, the purchaser may rescind the contract by giving a written notice of rescission to the dealer not later than 3 months after the date of the contract.

- (3) Where a contract is rescinded pursuant to subsection (2):

(a) the dealer shall return or refund to the purchaser any consideration given by the purchaser under the contract, or the value of any such consideration as at the date of the contract; and

(b) the purchaser shall return or refund to the dealer:

(i) the vehicle, or its value as at the date of the contract;  
and

(ii) any other consideration given by the dealer under the contract, or the value of any other such consideration as at the date of the contract.

- (4) A person who returns a vehicle or other thing to another pursuant to subsection (3) is liable to pay compensation to the other in respect of any damage to, or depreciation in the value of, the vehicle or thing which is attributable to his or her failure to take reasonable care of it, but is not liable for any damage or depreciation attributable to normal use of the vehicle or thing or to circumstances beyond his or her control.

- (5) The obligations imposed by subsections (3) and (4) may be enforced by action in any court of competent jurisdiction.

- (6) A court finding guilty a dealer of an offence against subsection (1) is competent to make orders, on the application of the prosecutor, for the enforcement of obligations imposed by subsections (3) and (4).

- (7) A person who fails to comply with an order under subsection (6) is guilty of an offence.

Maximum penalty: If the offender is a natural person –  
500 penalty units or imprisonment for  
6 months.

If the offender is a body corporate –  
2 500 penalty.

## **161 Replacement and alteration of odometers**

- (1) A dealer shall not without the consent in writing of the Commissioner offer or display a motor vehicle for sale where the dealer has:

- (a) replaced, or caused to be replaced, the vehicle's odometer; or  
(b) altered, or caused to be altered, the distance recorded by the vehicle's odometer.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

- (2) A person other than a dealer who replaces a motor vehicle's odometer, or alters the distance recorded on a motor vehicle's odometer, shall not offer to sell the vehicle to a dealer without disclosing the replacement or alteration to the dealer.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.



- (3) A dealer who knows that a motor vehicle's odometer has been replaced or altered shall not offer or display the vehicle for sale without disclosing the replacement or alteration to the purchaser or a prospective purchaser of the vehicle.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

## **162 Sale price partly recoverable in case of certain offences**

- (1) Where a person has been found guilty of an offence:
- (a) consisting of a contravention of section 151 of the Australian Consumer Law (NT) committed by falsely stating the year in which a motor vehicle was manufactured or first registered, or the model designation of the vehicle; or
  - (b) against section 161;

a person who purchased the motor vehicle in respect of which the offence was committed relying on the statement or, in the case of an offence against section 161, on the reading on the odometer of the vehicle at the time of the purchase may apply to the Commissioner for an order under subsection (2).

- (2) Where on an application under subsection (1) the Commissioner is satisfied that the sale price of the vehicle exceeded its fair value at the time of the sale, the Commissioner shall order the person found guilty of the offence to pay the amount of the excess to the purchaser.
- (3) An order of the Commissioner under subsection (2) is enforceable as if it were an order for the payment of money made by the Local Court.

**163 Prohibition of sale of vehicles without vehicle identification number**

- (1) Subject to subsection (2), a person shall not offer or display for sale a motor vehicle unless the motor vehicle has marked or affixed on it a vehicle identification number which, in pursuance of section 101 of the *Motor Vehicles Act*, would permit the Registrar, within the meaning of that Act, to register or renew the registration of the motor vehicle under that section.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

- (2) Subsection (1) does not apply to a person offering or displaying a motor vehicle for sale where the motor vehicle is offered or displayed for sale solely for the purpose of the motor vehicle being used as a source of spare parts for other motor vehicles.

**164 Prohibition on sale of vehicles registered interstate**

A dealer shall not sell, or offer or display for sale, a motor vehicle which:

- (a) is currently registered in a place outside the Territory; or  
(b) has affixed to it a number plate or number plates issued otherwise than under the *Motor Vehicles Act*.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

**165 Roadworthiness on sale of vehicle**

- (1) Subject to subsection (2), it is a condition of the sale of a motor vehicle by a dealer that the vehicle is of a standard fit to meet the requirements of the *Motor Vehicles Act* with respect to registration.
- (2) Subsection (1) does not apply to:
- (a) a motor vehicle sold for wrecking; or  
(b) a motor vehicle sold under a contract excluding its application, being a contract in the prescribed form.

## **166 Dealings with infants**

(1) A licenced dealer shall not:

- (a) purchase or otherwise acquire a second-hand motor vehicle from a person who has not attained the age of 18 years; or
- (b) sell or otherwise dispose of a second-hand vehicle to such a person;

without the consent in writing of that person's parent or guardian.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

- (2) In proceedings against a dealer for an offence against subsection (1), it is a defence that the dealer believed, on reasonable grounds, that the person in question had attained the age of 18 years.

## **167 Documents to be complete before signing**

A licenced dealer shall not, in respect of the sale of a motor vehicle, submit a document to a person for signature unless at the time of submitting the document:

- (a) all material particulars in it have been completed; and
- (b) any matter in it which is not relevant to the sale has been deleted.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

## **Subdivision C Warranties**

### **168 Obligation of dealer to repair certain defects**

(1) Where:

- (a) a dealer sells a motor vehicle, and a defect in the vehicle (including a defect existing or potentially existing at the time of the sale) subsequently appears or occurs; and

(b) the circumstances fall within Case 1 or 2 in subsection (2);

the dealer is, subject to sections 169 and 171 and regulations referred to in section 170, liable in respect of the defect to the extent specified in subsection (3).

(2) Cases 1 and 2 are as follows:

Case 1 The vehicle was sold:

- (i) otherwise than as a second-hand vehicle; or
- (ii) as a second-hand vehicle which the dealer represented to the purchaser as being a demonstration vehicle.

The defect appeared or occurred:

- (i) where the vehicle was sold subject to an unexpired manufacturer's warranty – before the expiry of the warranty; or
- (ii) in any other case – before the vehicle had been driven 5000 km after the sale or (if earlier) the expiry of 3 months after the sale.

Case 2 The vehicle was sold as a second-hand vehicle, and:

- (i) the sale was effected not more than 10 years (or in the case of a motor cycle, not more than 5 years) after the date of manufacture of the vehicle; and
- (ii) the vehicle had, at the time of the sale, been driven for less than 160 000 km (or, in the case of a motor cycle, less than 30 000 km).

The defect appeared or occurred before the vehicle had been driven 5000 km after the sale or (if earlier) the expiry of 3 months after the date on which the purchaser took possession of the vehicle.

- (3) The liability of a dealer in respect of a defect is, at the dealer's own expense, to repair and make good the defect (or cause the defect to be repaired and made good) so as to place the vehicle in a reasonable condition having regard to its age and the distance it has travelled.
- (4) The liability imposed on a dealer by this section shall be treated as arising under an express term of the contract of sale relating to the vehicle.

- (5) For the purposes of Case 2 in subsection (2), the date of manufacture of a vehicle is the date indicated as such by the manufacturer on the body of the vehicle or, if no date is so indicated, the date specified in the vehicle's certificate plate within the meaning of the *Motor Vehicles Act*.
- (6) For the avoidance of doubt, it is hereby declared that:
  - (a) this section applies to the sale of a motor vehicle by a dealer notwithstanding that it is a sale on consignment; and
  - (b) nothing in this section derogates from the application of sections 54 and 55 of the Australian Consumer Law (NT) to the sale of a motor vehicle by a dealer.

## **169        Exclusions from duty to repair**

- (1) Section 168 does not apply:
  - (a) to defects of a kind described in subsection (2); or
  - (b) to vehicles, or sales of vehicles, of a kind described in subsection (3); or
  - (c) where a motor vehicle is purchased under a written contract of sale which provides expressly that the section does not apply to the sale, and the requirements specified in subsection (4) have been met before the contract is signed by the purchaser.
- (2) The defects referred to in subsection (1)(a) are:
  - (a) defects arising from, or incidental to, accidental or malicious damage suffered by the vehicle after the purchaser took delivery of it from the dealer; and
  - (b) defects arising from misuse of the vehicle after the purchaser took delivery of it from the dealer, from the negligence of a driver of the vehicle after that time, or from the use of the vehicle after that time for motor racing or motor rallying; and
  - (c) defects arising from superficial damage to the vehicle (whether to its paintwork, its upholstery, or of any other nature) which would have been apparent on a reasonable inspection of the vehicle carried out at the time of its sale or (if earlier) its delivery from the dealer to the purchaser; and
  - (d) defects in an accessory which was not fitted to or supplied with the vehicle at the time of its manufacture.

- (3) The vehicles, and sales of vehicles, referred to in subsection (1)(b) are:
- (a) a commercial vehicle (other than one that, at the time of its sale, is a commercial vehicle or is of a class or description of commercial vehicles to which section 168 applies because of the Regulations); and
  - (b) a vehicle that, at the time of its sale, is a vehicle or is of a class or description of vehicles to which section 168 does not apply because of the Regulations; and
  - (c) a used vehicle which has been continuously in the purchaser's possession or under the purchaser's control for a period of not less than 3 months immediately preceding the sale; and and
  - (d) the sale of a vehicle to a trade owner; and
  - (e) the sale of a vehicle by auction, where the auction is conducted by a licenced auctioneer in accordance with the *Auctioneers Act*.
- (4) The requirements referred to in subsection (1)(c) are:
- (a) that the purchaser signs a document in the prescribed form stating that the purchaser:
    - (i) intends to purchase the motor vehicle under a contract of sale which excludes the application of section 168; and
    - (ii) understands the effects of the exclusion; and
  - (b) that the purchaser signs the document referred to in paragraph (a):
    - (i) otherwise than at a place at which the dealer carries on business as such; and
    - (ii) in the presence of a person nominated in writing by the Commissioner for the purposes of this subparagraph or a police officer; and
  - (c) that the person or police officer referred to in paragraph (b)(ii):
    - (i) before the purchaser signs the document referred to in paragraph (a), reads to the purchaser a prescribed warning about the rights the purchaser is forgoing; and

- (ii) after the purchaser has signed the document, witnesses the signature.

#### **170        Carrying out of duty to repair**

- (1) Regulations may make ancillary provision with respect to the carrying out by a dealer of the dealer's obligation under section 168 in respect of a defect in a motor vehicle, and may in particular (but without limiting the generality of the foregoing) provide that, according to circumstances specified in the regulations:
  - (a) the obligation is dependent on the person seeking to enforce it delivering the vehicle at that person's expense to a place determined in accordance with the regulations; or
  - (b) the obligation is dependent on that person delivering the vehicle to a place so determined, but at the dealer's expense; or
  - (c) the dealer is, for the purpose of carrying out the obligation, under a further obligation to collect, or arrange for the collection of, the vehicle at the dealer's expense.
- (2) Where a person delivers a vehicle to a place in accordance with the regulations, and the regulations provide for the delivery to be at the dealer's expense, the person may recover the cost of the delivery from the dealer as a debt due and payable.

#### **171        Other provisions ancillary to section 168**

- (1) For the purpose of calculating in relation to a motor vehicle the period of 3 months referred to in Case 1 or 2 in section 168(2), no account shall be taken of any period during which the vehicle has been in the possession of the dealer, or of a person acting on behalf of the dealer, for the purpose of ascertaining or carrying out the obligations of the dealer under that section.
- (2) Subject to subsection (3), the liability of a dealer under Case 1 in section 168(2) subsists for the benefit of the owner from time to time of the vehicle, and, for that purpose, the owner from time to time of the vehicle shall be deemed to have contracted to buy the vehicle from the dealer who is under the liability on the date of the sale giving rise to the liability.
- (3) The liability of a dealer under Case 1 in section 168(2) is extinguished if, subsequent to the sale giving rise to the liability, the vehicle is acquired by a dealer as owner or repossessed by a financier.

- (4) The liability of a dealer under Case 2 in section 168(2) is a liability only to the person who purchased the vehicle from the dealer.

## **Subdivision D Sales on consignment**

### **172 Authority required by dealer for sale of motor vehicle on consignment**

- (1) A dealer shall not:
- (a) sell a motor vehicle, or an interest in a motor vehicle, for or on behalf of another person; or
  - (b) offer or display a motor vehicle for sale for or on behalf of another person;

unless the dealer is authorised to do so by the owner of the vehicle or interest by writing in the prescribed form.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

- (2) An authorisation under subsection (1) must be prepared in duplicate, and must:
- (a) be signed by the owner and by or on behalf of the dealer; and
  - (b) bear the date on which it is signed by the owner; and
  - (c) contain a full statement of the terms of the authorisation; and
  - (d) sufficiently describe the vehicle; and
  - (e) state whether or not the vehicle is encumbered, and, if encumbered, to whom and in what respect; and
  - (f) contain a full statement of the commission or other remuneration to which the dealer is or is to be entitled.
- (3) A dealer who is given an authorisation under subsection (1) shall:
- (a) return one copy to the owner after it has been signed by or on behalf of the dealer; and



- (b) where the dealer has effected a sale in reliance on the authorisation, retain the other copy for 3 years after the date of the sale.

Maximum penalty:        If the offender is a natural person –  
   100 penalty units.

   If the offender is a body corporate –  
   500 penalty units.

- (4) An authorisation under subsection (1) may be varied only by agreement of the parties in writing.
- (5) An authorisation under subsection (1) ceases to have effect on the expiry of 90 days beginning on the day after that on which it is signed by the owner, or at such earlier time as the parties may have agreed in writing.
- (6) A dealer is not entitled to any commission or other remuneration in respect of the sale of a motor vehicle, or an interest in a motor vehicle, effected by the dealer for or on behalf of another person unless:
  - (a) the sale is effected in accordance with an authorisation complying with this section; and
  - (b) the dealer has complied with subsection (3)(a).

### **173        Period for accounting to consignor**

A dealer shall account to a consignor in respect of the proceeds of the sale on consignment of a motor vehicle within 14 days after the date of sale of the vehicle or, where a different period has been prescribed, within that period.

## **Division 6            Miscellaneous**

### **174        Notice to be displayed by licenced dealer**

- (1) A licenced dealer shall exhibit, and keep exhibited, at each place of business specified in the dealer's licence and in such a position as to be easily visible by a person entering the place, a notice complying with subsection (2) and any further requirements that are for the time being prescribed.

Maximum penalty:        If the offender is a natural person –  
   20 penalty units.

   If the offender is a body corporate –  
   100 penalty units.

- (2) The notice required by subsection (1) must:
- (a) consist of bold black letters on a white background, with the letters being not less than 7 cm high and not less than one centimetre wide; and
  - (b) state the dealer's name and, where the dealer carries on business as such under a different name, the name under which the business is carried on; and
  - (c) contain the words "LICENCED MOTOR VEHICLE DEALER NUMBER" or the letters "LMVD", followed in either case by the number of the dealer's licence.

### **175        Advertisements by dealers**

A dealer shall not cause or permit to be published an advertisement relating to or connected with the business of the dealer as such unless the advertisement states that the dealer is a licenced dealer, and specifies the dealer's licence number and place of business (or, if the dealer has 2 or more places of business, the dealer's principal place of business).

Maximum penalty:        If the offender is a natural person –  
   20 penalty units.

   If the offender is a body corporate –  
   100 penalty units.

### **176        Dealer's managers to be approved by Commissioner**

- (1) A licenced dealer shall not carry on business as such at a place unless there is present and in charge of the day-to-day conduct of the business at that place:
- (a) where the dealer is a body corporate – an individual approved by the Commissioner for the purposes of this section; or
  - (b) where the dealer is an individual – either the dealer or a person so approved.

Maximum penalty:        If the offender is a natural person –  
   500 penalty units.

   If the offender is a body corporate –  
   2 500 penalty units.

(2) Regulations may:

- (a) make provision with respect to applications to the Commissioner for approvals for the purposes of this section; and
- (b) require the Commissioner, on an application for approval being duly made, to grant or withhold approval within a specified time; and
- (c) specify the grounds on which the Commissioner is to withhold approvals; and
- (d) enable the Commissioner to grant approvals subject to conditions or restrictions; and
- (e) make provision as to the duration of approvals; and
- (f) empower the Commissioner to revoke approvals on specified grounds; and
- (g) provide for the payment of application fees and fees for the granting of approvals; and
- (h) enable the Commissioner to seek such information and advice as the Commissioner considers necessary for the purpose of dealing with applications in accordance with the regulations.

**177        Disqualifications resulting from revocation, cancellation or suspension of licence**

- (1) Where a dealer's licence has been revoked by a notice under section 150(2), or cancelled by the operation of section 141(5), or suspended either by a notice under section 150(2) or by the operation of section 141(3), this section applies to the dealer:
  - (a) in the case of revocation – subject to subsection (2), for a period of 12 months from the service of the notice; and
  - (b) in the case of cancellation – for a period of 12 months from the date when the cancellation took effect; and
  - (c) in the case of suspension – until the suspension ends.
- (2) This section ceases to apply to a dealer whose licence has been revoked if the revocation is set aside on appeal.

(3) Where this section applies to a dealer:

- (a) the dealer is disqualified from holding a licence; and
- (b) if the dealer becomes employed or otherwise engaged in the business of another dealer, both the dealer and the other dealer are guilty of an offence against this section.

Maximum penalty for an offence against subsection (3):

If the offender is a natural person – 500 penalty units.

If the offender is a body corporate – 2 500 penalty units.

**178            Commissioner to be notified of dissolution of partnership**

Where 2 or more licenced dealers have been carrying on business as dealers in partnership with each other and the partnership is dissolved, each of those dealers shall within 14 days after the date of dissolution give written notice thereof to the Commissioner.

Maximum penalty:        100 penalty units.

**179            Dealer not entitled to indemnity by antecedent owner**

- (1) Subject to subsection (2), where a licenced dealer incurs any costs or expenses by virtue of the operation of this Part in respect of the sale of a motor vehicle, the dealer is not entitled to be indemnified in respect of those costs or expenses by any antecedent owner, and any contract or agreement providing directly or indirectly for the licenced dealer to be so indemnified is, to the extent that it does so, void.
- (2) Subsection (1) does not apply to a contract or agreement providing for a licenced dealer to be so indemnified where the antecedent owner is a trade owner, a manufacturer, or a manufacturer's distributor.

**180            Commissioner's certificate as evidence**

- (1) A certificate signed by the Commissioner or Deputy Commissioner stating that a person specified in the certificate was or was not, on a date or dates or during a period so specified, the holder of a licence so specified is, in all courts and before all persons and bodies authorised to receive evidence, evidence of the matter so stated.

- (2) For the purposes of subsection (1), a document that purports to have been signed by the Commissioner or Deputy Commissioner shall be taken to have been so signed unless the contrary is proved.

**181            Contracts not to exclude Part 10**

- (1) Subject to subsection (2), a term of an agreement which purports to exclude or limit the operation of this Part, or to preclude any right of action or any defence based on or arising out of any failure to comply with this Part, is void.
- (2) Subsection (1) does not apply in the case of an agreement the parties to which are a licenced dealer and a body corporate.

**182            Powers of Commissioner to grant temporary exemptions**

The Commissioner may, by *Gazette* notice, exempt a person specified in the notice, for a period not exceeding 12 months so specified and either conditionally or unconditionally, from the application of this Part or of any provision or provisions of this Part so specified.

**183            Regulatory offences**

An offence of contravening section 153(1), 157(1), 160(1), 163, 164, 172(1) or (3) or 174(1) is a regulatory offence.

**Division 7            Vehicle rental businesses**

**184            Certain provisions of Part 10 to apply to vehicle rental businesses**

- (1) In this section, **vehicle rental business** means a business of letting or hiring out motor vehicles without granting any right to purchase them which is, for the greater part, comprised of letting or hiring out motor vehicles for periods not exceeding 4 months or for periods as prescribed.
- (2) Subject to subsection (4), the provisions specified in subsection (3) shall have effect as if references therein to licenced dealers and dealers included references to persons carrying on vehicle rental businesses.
- (3) The provisions referred to in subsection (2) are:
- (a) section 157; and
  - (b) section 158, so far as it applies in relation to the disposal of motor vehicles by sale or otherwise; and

- (c) section 160; and
  - (d) section 161(3); and
  - (e) section 164; and
  - (f) section 165; and
  - (g) section 166, so far as it applies in relation to the disposal of second-hand motor vehicles by sale or otherwise; and
  - (h) section 167; and
  - (j) sections 168 to 171, and regulations making any such provision as is mentioned in section 170(1).
- (4) If regulations so provide, a provision applying to or in relation to persons carrying on vehicle rental businesses by virtue of this section shall do so subject to such adaptations or modifications as are specified in the regulations.

## **Part 11              Travel agents**

### **Division 1           Preliminary**

#### **185              Definitions for purposes of Part 11**

In this Part:

***compensation fund*** means the compensation fund established by the trust deed.

***compensation scheme*** means the scheme established by the trust deed.

***compensation scheme trustees*** means the trustees for the time being by whom the compensation scheme is administered.

***corresponding law*** means a law in force in a State, or another Territory of the Commonwealth which provides for the licencing of a person as a travel agent.

***exempted person*** means a person who is exempted from holding a licence by section 3(2) (the Crown), by a regulation made for the purposes of section 337(1), or by a notice under section 337(2).

***licence*** means a licence in force under this Part, and ***licensee*** means the holder of such a licence.

**sale**, in relation to rights, includes the conferral or assignment of the rights.

**trust deed** means the trust deed approved for the time being under section 220.

**vehicle** includes a boat, aircraft and other means of transport.

## **186        Meaning of carrying on business as travel agent**

- (1) For the purposes of this Part but subject to this section, a person carries on business as a travel agent if the person, in the course of a business, sells or arranges for the sale of, or advertises that he or she is willing to sell or arrange for the sale of:
  - (a) rights to travel; or
  - (b) rights to travel and accommodation.
- (2) A person does not carry on business as a travel agent:
  - (a) by reason only of anything done by the person in the course of his or her employment; or
  - (b) by reason only of selling, or arranging for the sale of, rights to travel in a vehicle owned by the person; or
  - (c) by reason only of selling, or arranging for the sale of, rights to accommodation at a place which the person owns; or
  - (d) by reason only of holding out, or advertising, that the person is willing to carry on an activity referred to in paragraph (b) or (c).
- (3) For the purposes of this section, a person is the owner of a vehicle or place of accommodation if he or she has lawful possession of the vehicle or place of accommodation.

## **Division 2        Restriction on carrying on business as travel agent**

### **188        Travel agents to be licenced**

- (1) A person (other than an exempted person) shall not:
  - (a) carry on business as a travel agent otherwise than in accordance with the authority conferred on that person by a licence; or

- (b) carry on business as a travel agent in partnership with a person who is not a licensee.

Maximum penalty:      If the offender is a natural person –  
500 penalty units.

                                 If the offender is a body corporate –  
2 500 penalty units.

- (2) Where a person is found guilty of an offence against subsection (1), the court by which the finding of guilt is recorded shall order the person to pay to the Territory an amount estimated by the court to be the amount of the profit that has accrued to him or her, or to any other person with whom he or she has a business or personal association, in consequence of the commission of the offence.
- (3) The Territory shall pay into the compensation fund any amount recovered in pursuance of an order under subsection (2) (which may be enforced as if it were a judgment given by the Supreme Court in a civil matter).
- (4) No action lies for the recovery of any fee, commission or other reward for any service done or performed in the course of carrying on business as a travel agent by a person (other than an exempted person) who does not hold a licence.

#### **188A      Dealings with unlicensed travel agents**

- (1) A person who carries on a business that supplies rights to travel in an aircraft, vessel or vehicle (including a train) of any kind owned or leased by the person (not being a prescribed aircraft, vessel or vehicle) shall ensure that:
- (a) rights to travel in the aircraft, vessel or vehicle are not sold by the person, or his or her agent, to a travel agent; or
- (b) arrangements to sell rights to travel in the aircraft, vessel or vehicle are not made by the person, or his or her agent, with a travel agent;

unless the travel agent holds a licence in force under this Part or is, under section 337, exempted from the requirement to hold such a licence.

Maximum penalty:      If the offender is a natural person –  
500 penalty units.

                                 If the offender is a body corporate –  
2 500 penalty units.



- (2) It is a defence to a prosecution for an offence against subsection (1) if it is proved that the person who supplied the travel:
- (a) made reasonable inquiries as to whether the travel agent held a licence in force under this Part or was an exempted person; and
  - (b) had no reason to believe that the travel agent did not hold such a licence or was not an exempted person.

**189      Injunction on application by Commissioner**

The Local Court may, if satisfied on an application by the Commissioner that a person who is not an exempted person and is not a licensee has carried on business as a travel agent, issue an injunction restraining that person from carrying on business as a travel agent whilst not an exempted person or a licensee.

**Division 3      Licences**

**190      Application for licence**

- (1) A person may apply to the Commissioner for a licence.
- (2) An application must be made in accordance with the prescribed form, and be accompanied by the prescribed processing fee.
- (3) An application shall specify:
  - (a) the name and address:
    - (i) where the application is made by an individual – of that person; or
    - (ii) where the application is made by a body corporate – of each director of the body corporate; and
  - (b) where the application is made by a body corporate – the date and place of incorporation of the body corporate, its corporate name and the address of its registered office; and
  - (c) the name under which the applicant proposes to carry on business as a travel agent; and
  - (d) the address of the place that, if a licence were granted pursuant to the application, would be the principal place of business of the licensee; and

- (e) the address of any other place at which, if a licence were granted pursuant to the application, the licensee would carry on business as a travel agent; and
  - (f) where the applicant intends to carry on business in partnership – the name and address of each natural person with whom the applicant intends to carry on business in partnership; and
  - (g) where the applicant intends to carry on business in partnership with a body corporate:
    - (i) the date and place of incorporation; and
    - (ii) the corporate name; and
    - (iii) the address of the registered office; and
    - (iv) the name and address of each director;of each body corporate with which the applicant intends to carry on business in partnership; and
  - (h) the name, address, and such other particulars as are prescribed, of the persons it is proposed to have in charge (in compliance with section 213) at each place referred to in paragraphs (d) and (e); and
  - (j) such other matters as are prescribed.
- (3A) An application under subsection (1) is to be accompanied by proof of the placement of a notice in a newspaper or newspapers in accordance with subsection (3B) in relation to the application.
- (3B) An applicant for a licence may place in a newspaper circulating in the Territory and, if there is another newspaper circulating in the locality in which the applicant proposes to carry on business as a dealer, that newspaper, a notice in accordance with subsection (3C).
- (3C) A notice under subsection (3B) is to:
- (a) state that the application has been made; and
  - (b) set out the prescribed details with respect to the application; and

- (c) specify a period during which members of the public may, by notice in writing lodged with the Commissioner, object under section 191(2A) to the granting of a licence on the grounds that the applicant is not a fit and proper person.
- (4) Where an application is made for a licence and, before the application is granted or refused, a change occurs in the particulars specified in the application in accordance with subsection (3), the applicant shall, within 14 days after the occurrence of the change, give to the Commissioner notice in writing specifying particulars of the change.

Maximum penalty:      If the offender is a natural person –  
50 penalty units.

                                 If the offender is a body corporate –  
250 penalty units.

- (5) An applicant for a licence shall, if required to do so by the Commissioner, provide the Commissioner with such particulars additional to those included in the application, and with such documents in relation to those particulars, as the Commissioner requires.
- (6) A person shall not in, or in relation to, an application under this section, a notice under subsection (4) or any particulars provided under subsection (5), make a statement that is false or misleading by reason of the inclusion therein of any false or misleading matter or the omission therefrom of any material matter.

Maximum penalty:      If the offender is a natural person –  
50 penalty units.

                                 If the offender is a body corporate –  
250 penalty units.

- (7) It is a defence to a prosecution of a person for an offence against subsection (6) if the person proves that, when the application was made, the notice given or the particulars provided, the person:
  - (a) believed on reasonable grounds that the false matter was true; or
  - (b) believed on reasonable grounds that the misleading matter was not misleading; or
  - (c) in the case of an omission:
    - (i) believed on reasonable grounds that no material matter had been omitted; or

- (ii) did not know that the omitted matter was material.

## **191      Objections to application**

- (1) On receiving an application for a licence, the Commissioner must send a copy of it to the Commissioner of Police, together with copies of any accompanying documents other than statements relating to the material and financial resources of the applicant.
- (2) The Commissioner of Police shall, within 28 days of receiving a copy of an application pursuant to subsection (1)(a), lodge with the Commissioner a notice in writing which either supports the application or objects to the grant of a licence on the grounds that the applicant may not be a fit and proper person.
- (2A) A person may, by notice in writing lodged with the Commissioner, object to the granting of a licence on the grounds that the applicant is not a fit and proper person.
- (3) A notice of objection under subsection (2) or (2A) must state the reasons for alleging that the applicant is not or, as the case requires, may not be a fit and proper person.
- (4) References in this section to an applicant's not being a fit and proper person are, where the applicant is a body corporate, references to any director or other person concerned in the management of the body corporate not being a fit and proper person.

## **192      Inquiry by Commissioner**

Where an objection to the grant of a licence is duly lodged with the Commissioner under section 191, or it otherwise appears to the Commissioner that there may be grounds for refusing an application for a licence, the Commissioner shall hold an inquiry into the matter.

## **193      Grant or refusal of licence**

- (1) The Commissioner shall approve an application for a licence except where its refusal is required by this section.
- (2) An application made by an individual shall be refused if it appears to the Commissioner:
  - (a) that the applicant has not attained the age of 18 years; or
  - (b) that the applicant is disqualified from holding a licence under this Part or a corresponding law; or

- (c) that the applicant is disqualified under this Part or a corresponding law from being involved in the direction, management or conduct of a business as travel agent; or
  - (d) that a person proposed to be employed for the purposes of section 213 has neither the qualifications nor the experience prescribed for the purposes of that section, or is not of good reputation or character or in any other way would not be a fit and proper person to be a licensee if the person were to apply for a licence; or
  - (e) that the applicant is not a person likely to carry on such a business honestly and fairly; or
  - (f) that the applicant is in any other way not a fit and proper person to be a licensee.
- (3) Without affecting the generality of subsection (2)(f), the Commissioner may, in determining whether or not an applicant is a fit and proper person to be a licensee, have regard (if such be the case) to the fact that the applicant, or a person who is associated with the applicant within the meaning of the Corporations Act 2001:
- (a) has, during the period of 10 years immediately preceding the making of the application, been found guilty of, or served any part of a term of imprisonment for, an offence in the Territory or elsewhere involving fraud, dishonesty or physical violence; or
  - (b) was, at the time of the making of the application, bound in relation to such an offence by a recognisance; or
  - (c) was, at the time of the making of the application, the subject of a charge pending in relation to such an offence; or
  - (d) has, at any time, been found guilty of an offence against this Act, the regulations or any other enactment administered by the Minister; or
  - (e) has been refused a licence under a corresponding law; or
  - (f) has been the subject of action that, under a corresponding law, had an effect similar to the effect under this Part of action under section 205(1)(a), (b), (c), (d) or (g).
- (4) An application for a licence made by a body corporate shall be refused if it appears to the Commissioner:
- (a) that a person concerned in the management of the body corporate has not attained the age of 18 years; or

- (b) that the body corporate is disqualified from holding a licence under this Part or a corresponding law; or
  - (c) that the body corporate is disqualified under this Part or a corresponding law from being involved in the direction, management or control of a business as a travel agent; or
  - (d) that a person proposed to be employed for the purposes of section 213 has neither the qualifications nor the experience prescribed for the purposes of that section, or is not of good reputation or character or in any other way would not be a fit and proper person to be a licensee if the person were to apply for a licence; or
  - (e) that the body corporate is not likely to carry on such a business honestly and fairly; or
  - (f) that the reputation of the body corporate is such that it would not be a fit and proper person to be a licensee; or
  - (g) that an officer of the body corporate is disqualified from being involved in the direction, management or conduct of the business of a travel agent; or
  - (h) that a director of, or a person concerned in the management of, the body corporate is not of good reputation or character or in any other way would not be a fit and proper person to be a licensee if the director or person were to apply for the licence personally; or
  - (j) that any person (other than an officer of the body corporate) who, in the opinion of the Commissioner, appears to have control, or substantial control, of the body corporate is not of good reputation and character or is not likely to exercise that control honestly and fairly.
- (5) Without affecting the generality of subsection (4)(f), (h) or (j), the Commissioner may, in determining any matter referred to in those paragraphs, have regard (if such be the case) to the fact that the body corporate, or a person so referred to or associated with such a person within the meaning of the Corporations Act 2001:
- (a) has, during the period of 10 years immediately preceding the making of the application, been found guilty of, or served any part of a term of imprisonment for, an offence in the Territory or elsewhere involving fraud, dishonesty or physical violence; or
  - (b) was, at the time of the making of the application, bound in relation to such an offence by a recognisance; or

- (c) was, at the time of the making of the application, the subject of a charge pending in relation to such an offence; or
  - (d) has, at any time, been found guilty of an offence against this Act, the regulations or any other enactment administered by the Minister; or
  - (e) has been refused a licence under a corresponding law; or
  - (f) has been the subject of action that, under a corresponding law, had an effect similar to the effect under this Act of action under section 205(1)(a), (b), (c), (d) or (g).
- (6) An application for a licence, whether made by an individual or by a body corporate, shall also be refused unless the compensation scheme trustees have certified:
- (a) that the applicant is eligible to become a participant in the compensation scheme; and
  - (b) that the applicant will be admitted as a participant in the compensation scheme on becoming licenced.
- (7) Where an application for a licence is refused, the Commissioner shall forthwith, by notice in writing served on the applicant, inform the applicant of the refusal, and of the ground on which the refusal is based.
- (8) Where an application for a licence is approved, the Commissioner shall notify the applicant accordingly but shall not grant the licence until the prescribed fee for the licence is paid to the Commissioner.
- (9) The Commissioner may refrain from approving or refusing an application for a licence until:
- (a) the individual or individuals to whom the application relates; and
  - (b) the director and officers of any body corporate to which the application relates;

or such of them as the Commissioner specifies or refers to, has or have appeared personally before the Commissioner and satisfied the Commissioner as to such relevant matters referred to in this section as the Commissioner thinks appropriate.

**194      Licence to state licensee's name, business name and place of business**

- (1) A licence:
- (a) shall state the name of the licensee; and
  - (b) may authorise the licensee to carry on business as a travel agent under a different name; and
  - (c) shall specify the place or places at which the licensee is authorised to carry on business as a travel agent.
- (2) A licensee shall not carry on business as a travel agent:
- (a) under a name other than that of the licensee, or that under which the licensee is authorised by the licence to carry on business; or
  - (b) subject to subsection (3), at a place other than one authorised by the licence.

Maximum penalty:      If the offender is a natural person –  
500 penalty units.

                                    If the offender is a body corporate –  
2 500 penalty units.

- (3) Regulations may exempt (conditionally or unconditionally) from the application of subsection (2)(b) transactions of a specified class or description, or transactions taking place in specified circumstances.
- (4) An offence against subsection (2) is a regulatory offence.
- (5) The Commissioner may, on the application of a licence and payment of the prescribed fee (if any), vary the licence:
- (a) so as to authorise the licensee to carry on business under a name different from that of the licensee, or different from that previously authorised by the licence; or
  - (b) with respect to the place or places at which the licensee is authorised to carry on business;

but shall not make a variation mentioned in paragraph (b) unless satisfied that the variation has been approved by the compensation scheme trustees.



**195      Conditions of, and restrictions on, licence**

- (1) A licence is subject to:
  - (a) a condition that each place at which the licensee carries on business as a travel agent shall at all times comply with such standards, and such other requirements, as are prescribed; and
  - (b) a condition that the licensee shall, at all times during the currency of the licence, be a participant in the compensation scheme; and
  - (c) any prescribed conditions or restrictions; and
  - (d) any conditions and restrictions imposed under this section or any other provision of this Part.
- (2) The Commissioner may grant a licence subject to such conditions and restrictions as the Commissioner thinks fit, and any conditions and restrictions imposed by virtue of this subsection shall be endorsed on the licence.
- (3) The Commissioner may at any time, either on the application of a licence or of the Commissioner's own motion, by notice in writing served on the licensee vary the conditions or restrictions to which a licence is subject or impose new conditions or restrictions.
- (4) The Commissioner shall not exercise the power conferred by subsection (2) or (except on the application of a licence) that conferred by subsection (3) unless the Commissioner has first given the applicant for the licence or, as the case may be, the licensee an opportunity to make (as the Commissioner thinks fit) oral or written submissions with respect to the conditions or restrictions proposed to be imposed or the variation proposed to be made.
- (5) The variation of a condition or restriction, or imposition of a new condition or restriction, by a notice under subsection (3) has effect notwithstanding that the variation or new condition or restriction is not endorsed on the licence; but the licensee shall, if the Commissioner so directs, produce the licence to the Commissioner for endorsement in accordance with the notice.

- (6) A licensee must not contravene a condition or restriction to which the licence is for the time being subject.

Maximum penalty:      If the offender is a natural person –  
500 penalty units.

                                 If the offender is a body corporate –  
2 500 penalty units.

**196      Licence not transferable**

A licence is not transferable.

**197      Duration of licence**

Except while it is suspended by or pursuant to this Part, a licence continues in force until:

- (a) it is surrendered by the licensee or cancelled by or pursuant to this Part; or
- (b) the licensee, being an individual, dies (but subject in that event to section 203); or
- (c) the licensee, being a body corporate, is dissolved.

**198      Annual fee and return**

- (1) A licensee shall, not later than the prescribed date in each year:
  - (a) pay to the Commissioner the prescribed annual licence fee; and
  - (b) lodge with the Commissioner an annual return containing the prescribed information.
- (2) Where a licensee fails to pay the annual licence fee or lodge the annual return in accordance with subsection (1), the Commissioner may, by notice in writing to the licensee, require the licensee to make good the default and, in addition, to pay to the Commissioner the amount (if any) prescribed for default.
- (3) Where a licensee fails to comply with a notice under subsection (2) within 14 days after service of the notice, the licence shall, by force of this subsection, be suspended until he or she complies with the notice.
- (4) The Commissioner shall cause notice of a suspension under subsection (3) (being notice in the prescribed form) to be published in a newspaper circulating throughout the Territory.

- (5) Where a licence has been suspended by virtue of subsection (3) for a continuous period of 6 months, the licence shall, by force of this subsection, be cancelled.
- (6) A licensee shall not in, or in relation to, any information provided in an annual return lodged pursuant to subsection (1)(b) make a statement that is false or misleading by reason of the inclusion therein of any false or misleading matter or the omission therefrom of any material matter.

Maximum penalty:      If the offender is a natural person –  
100 penalty units or imprisonment for  
6 months.

                                 If the offender is a body corporate –  
500 penalty units.

- (7) It is a defence to a prosecution of a person for an offence against subsection (6) if the person proves that, when the information was provided, the person:
  - (a) believed on reasonable grounds that the false matter was true; or
  - (b) believed on reasonable grounds that the misleading matter was not misleading; or
  - (c) in the case of an omission:
    - (i) believed on reasonable grounds that no material matter had been omitted; or
    - (ii) did not know that the omitted matter was material.

## **199      Register of licences**

- (1) For the purposes of this Part, the Commissioner shall keep a register of licences which, without limiting the operation of subsection (2), includes the addresses of the principal and other places at which each licensee is authorised to carry on business as a travel agent and the name and address last notified to the Commissioner of the person in charge at each of those places in compliance with section 213.
- (2) The register shall contain the prescribed particulars and shall be kept in such form and manner as the Commissioner thinks fit.
- (3) The register shall be made available at all reasonable times for inspection by any person at the office of the Commissioner.

- (4) The Commissioner may, on the application of a person, issue to the person a certificate stating whether or not a person specified in the certificate is or was, on a date or during a period specified in the certificate, a licensee.
- (5) The Commissioner may charge the prescribed fee, if any, for the issue of a certificate under subsection (4).

**200      Surrender of licence**

The holder of a licence may, by notice in writing given to the Commissioner, surrender the licence.

**201      Return of licence on suspension or cancellation**

Where a licence is suspended or cancelled by or pursuant to this Part, the licensee or former licensee shall at the direction of the Commissioner return the licence to the Commissioner.

Maximum penalty:      If the offender is a natural person –  
100 penalty units.

                                 If the offender is a body corporate –  
500 penalty units.

**202      Issue of duplicate licence**

- (1) If the Commissioner is satisfied that a licence has been lost, defaced or destroyed, the Commissioner may, on payment of the prescribed fee, issue a duplicate licence.
- (2) A duplicate licence issued under subsection (1) has the same effect as the original licence.

**203      Death of licensee**

- (1) Where a licensee dies, a person who is, or who is named as, or who intends to apply to become, a legal personal representative of the deceased licensee may, within 28 days after the death or such longer period as the Commissioner allows, apply to the Commissioner to be allowed to carry on the business as a travel agent of the deceased licensee during:
  - (a) the period that commences with the date of death of the licensee and ends 6 months later; or
  - (b) the period that commences with the date of death of the licensee and ends immediately before the next succeeding anniversary of the date on which the licence was granted;

whichever is the longer.

- (2) The Commissioner may grant or refuse an application under this section and, where the Commissioner grants the application, may impose such conditions as the Commissioner thinks fit, being conditions subject to which the business to which the application relates may be carried on.
- (3) A person authorised under this section to carry on the business of a deceased licensee shall, subject to this Part and any conditions imposed under subsection (2), be deemed to be, while so authorised, the holder of the licence of the deceased licensee.
- (4) Where, under subsection (2), the Commissioner imposes conditions subject to which a person is authorised to carry on the business to which a licence relates, the person shall, upon being required by the Commissioner to do so within a specified time, produce the licence to the Commissioner within that time for endorsement of the conditions.

Maximum penalty:      If the offender is a natural person –  
500 penalty units.

                                    If the offender is a body corporate –  
2 500 penalty units.

## **Division 4      Disciplinary proceedings**

### **204      Notice to show cause**

- (1) Where, at any time, the Commissioner is of the opinion that there are reasonable grounds for believing that:
  - (a) a licence has been improperly obtained or, at the time a licence was granted, there were grounds for refusing to grant it; or
  - (b) a licensee has been found guilty of an offence against this Act, the regulations or any other enactment administered by the Minister; or
  - (c) a licensee has failed to comply with this Act, a condition or restriction to which the licence is subject or a requirement under section 205(1)(b) applicable to the licence; or
  - (d) a licensee has been found guilty of an offence involving fraud or dishonesty punishable on a finding of guilt by imprisonment for 3 months or more; or

- (e) a licensee does not have, or is not likely to continue to have, sufficient financial resources to enable the licensee to continue to carry on business as a travel agent; or
- (f) the business to which a licence relates is being carried on in a dishonest or unfair manner; or
- (g) if a person were not a licensee, the Commissioner would refuse an application by the person for a licence; or
- (h) a licensee has, for a period of one month or more, ceased to carry on the business to which the licence relates; or
- (j) a person (other than the licensee) involved in the direction, management or conduct of a business to which the licence relates is not a fit and proper person to be so involved; or
- (k) a licensee has been refused a licence under a corresponding law; or
- (m) a licensee has been the subject of action that, under a corresponding law, had an effect similar to the effect under this Part of action under section 205(1)(a), (b), (c), (d) or (g); or
- (n) a licensee is not, for any other reason, a fit and proper person to continue to hold a licence;

the Commissioner may, by notice in writing served on the licensee, call upon the licensee to show cause, within such period, being not less than 14 days, as is specified in the notice, why the licensee should not, for such of the reasons referred to in paragraphs (a) to (n) as are specified in the notice, be dealt with in accordance with section 205(1).

- (2) A notice may not be served on a licensee for the reason specified in subsection (1)(j) unless:
  - (a) the notice specifies the reasons why it is considered that the person involved in the direction, management or conduct of the business to which the licence relates is not a fit and proper person to be so involved; and
  - (b) a notice in writing is also served on the person so involved calling on the person to show cause, within the same period as is specified in the notice served on the licensee, why the person should not, for reasons specified in the notice (being the same as those specified under paragraph (a)) be disqualified in accordance with section 205(2).

- (3) A notice may not be served on a licensee for the reason specified in subsection (1)(n) unless the notice specifies the reasons why it is considered that the licensee is not a fit and proper person to continue to hold a licence.
- (4) A licensee on whom a notice under subsection (1) has been served, a person with whom the licensee carries on in partnership the business to which the licence relates and, where the licensee is a body corporate, an officer of the body corporate, may, within the period specified in the notice, make submissions in writing with respect to the matters to which the notice relates.
- (5) A person on whom a notice under subsection (2)(b) has been served may, within the period specified in the notice, make submissions in writing with respect to the matter to which the notice relates.
- (6) In order to determine:
  - (a) whether or not to serve a notice under subsection (1); or
  - (b) whether or not to take action under section 205;the Commissioner may make such investigations as the Commissioner thinks fit.
- (7) The Commissioner of Police shall, if the Commissioner for Consumer Affairs so requests, make such investigations for the purposes of subsection (6) as are specified by the Commissioner for Consumer Affairs, and shall, as soon as practicable after completing the investigations, make a report thereon to the Commissioner for Consumer Affairs.
- (8) The Commissioner may suspend a licence for any one or more of the following periods:
  - (a) a period of not more than 14 days pending a determination as to whether or not a notice should be served on the licensee under subsection (1);
  - (b) where such a notice is so served, the period specified under subsection (1) in the notice;
  - (c) pending a determination as to whether or not action is to be taken under section 205, a period of not more than 14 days.

## **205      Determination of disciplinary measures by Commissioner**

- (1) If, after compliance with section 204, the Commissioner is satisfied that any matter referred to in section 204(1) has been established

in relation to a licence, a licensee or the business carried on pursuant to a licence, the Commissioner may, by notice in writing served on the licensee or, in the case of action under paragraph (f), on the person referred to in that paragraph, do any one or more of the following:

- (a) reprimand the licensee;
  - (b) require the licensee to comply with a specified requirement within a specified time;
  - (c) suspend the licence for a specified period not exceeding 12 months;
  - (d) impose a condition or restriction on the licence;
  - (e) disqualify the licensee (or, if the licence has been surrendered, the former licensee) in accordance with subsection (2);
  - (f) where a notice was served on a person under section 204(2)(b), disqualify the person in accordance with subsection (2);
  - (g) except where the Commissioner acts in accordance with paragraph (a), (b), (c) or (d), cancel the licence.
- (2) A person is disqualified in accordance with this subsection if either or both of the following disqualifications is or are imposed, either permanently or for such period as is specified by the Commissioner when imposing the disqualification:
- (a) a disqualification from holding a licence;
  - (b) a disqualification from being involved in the direction, management or conduct of business as a travel agent.
- (3) Where by a notice under subsection (1) a licensee is required to comply with a specified requirement within a specified time, the licensee shall comply with that requirement within that time.

Maximum penalty:        If the offender is a natural person –  
500 penalty units.

                                    If the offender is a body corporate –  
2 500 penalty units.

- (4) Where the Commissioner disqualifies a licensee in accordance with subsection (2), the Commissioner shall cancel the licence.



- (5) A person disqualified in accordance with subsection (2) in the manner mentioned in subsection (2)(b) shall not, while so disqualified, act in contravention of the disqualification.

Maximum penalty:      If the offender is a natural person –  
500 penalty units.

                                    If the offender is a body corporate –  
2 500 penalty units.

## **Division 5      Appeals**

### **206      Appeals to Local Court**

- (1) Where the Commissioner:

- (a) refuses an application for a licence; or
- (b) imposes conditions or restrictions to which a licence is to be subject; or
- (c) varies (otherwise than on the application of the licensee) the conditions or restrictions to which a licence is subject;

the applicant or licensee as the case may be may, within 28 days beginning with the day after that on which the Commissioner serves notice of the decision in question on the applicant or licensee (or, where a licence is granted subject to conditions or restrictions in the first place, beginning with the day after that on which it is granted) appeal against the decision to the Local Court, and the Local Court may:

- (d) dismiss the appeal; or
  - (e) make any determination in relation to the matter of the appeal that could have been made by the Commissioner, which determination shall be deemed for the purposes of this Part to be a decision of the Commissioner instead of the decision appealed against.
- (2) Where the Commissioner suspends or cancels a licence, or imposes a disqualification in accordance with section 205(2), the former licensee or the person disqualified may, within 28 days beginning with the day after that on which the Commissioner serves notice of the suspension, cancellation or disqualification pursuant to section 205(1), appeal against the decision of the Commissioner to the Local Court, and section 207 applies.

- (3) Where the compensation scheme trustees:
- (a) determine that a person is not eligible, or is no longer eligible, to be a contributor to the compensation fund; or
  - (b) make their determination that a person is eligible, or is to remain eligible, to be such a contributor conditional on any conduct;
- the person may, within 28 days after service of notice of the determination, appeal against the determination or condition to the Local Court, and section 208 applies.
- (4) Subject to the rules of the Court an appellant under this section shall, within 7 days after lodging the appeal, give notice in writing of the appeal, together with the grounds of the appeal, to the Commissioner and, in the case of an appeal under subsection (3), to the compensation scheme trustees.
- (5) An appeal under subsection (1) or (2) does not operate to stay the action appealed against unless the Court otherwise orders and any conditions imposed by the Court when ordering the stay are complied with.

## **207      Determination of appeal concerning disciplinary action**

- (1) Where the Local Court, after hearing an appeal under section 206(2), is satisfied that any matter referred to in section 204(1) has been established, the Court may:
- (a) dismiss the appeal; or
  - (b) exercise any one or more of the powers conferred on the Commissioner by section 205(1), which powers shall, for the purposes of this paragraph, be deemed to include a power to fine an appellant licensee an amount not exceeding 50 penalty units if the appellant is an individual or 250 penalty units if the appellant is a body corporate;
- but, if the Court declares that it is not so satisfied, the decision of the Commissioner appealed against shall be deemed not to have been made.
- (2) Where the Local Court acts under subsection (1)(b), the decision of the Court shall (except to the extent that the Court imposes a fine) be deemed to be a decision of the Commissioner under section 205(1) instead of the decision appealed against and shall be given effect accordingly.

**208      Determination of appeal regarding compensation scheme**

On an appeal under section 206(3), the Local Court may:

- (a) confirm or reverse a determination of the compensation scheme trustees; or
- (b) confirm, vary or quash a condition to which a determination is subject;

and may in either case make consequential or ancillary orders.

**Division 6      Conduct of business**

**209      Certain particulars to be displayed**

A licensee shall cause to be displayed at each place at which business is carried on pursuant to the licence a legible notice in the prescribed form that contains the prescribed particulars and is clearly visible to persons entering the place of business.

Maximum penalty:      If the offender is a natural person –  
20 penalty units.

                                    If the offender is a body corporate –  
100 penalty units.

**210      Advertisements**

A licensee shall not cause or permit to be published an advertisement relating to the business carried on pursuant to the licence unless the advertisement legibly specifies the number of the licence and:

- (a) the name of the licensee; or
- (b) where the licence authorises the licensee to carry on business under a different name, that name.

Maximum penalty:      If the offender is a natural person –  
20 penalty units.

                                    If the offender is a body corporate –  
100 penalty units.

**211      Name to appear on documents**

A licensee shall not, in the course of carrying on business pursuant to the licence, issue a letter, statement, invoice, cheque, receipt or other document on which there does not appear in legible

characters the name of the licensee or, where the licence authorises the licensee to carry on business under a different name, that name.

Maximum penalty:      If the offender is a natural person –  
100 penalty units.

                                 If the offender is a body corporate –  
500 penalty units.

## **212      Accounts to be kept**

- (1) A person who carries on business as a travel agent shall keep such accounting records as are necessary correctly to record and explain the financial transactions and financial position of the business and shall retain those records for 7 years.

Maximum penalty:      If the offender is a natural person –  
100 penalty units.

                                 If the offender is a body corporate –  
500 penalty units.

- (2) The accounting records referred to in subsection (1) shall:
- (a) contain sufficient information for the preparation and audit of true and fair profit and loss accounts and balance sheets; and
  - (b) be kept at the person's principal place of business in the Territory; and
  - (c) be kept in the English language or, where not kept in writing, be kept in such manner as will enable them to be readily converted into writing in the English language.

## **213      Supervision of day-to-day conduct of business**

A licensee shall not carry on business as a travel agent at a place unless there is present and in charge of the day-to-day conduct of the business at that place a person (whether or not the licensee) who has the prescribed qualifications or experience.

Maximum penalty:      If the offender is a natural person –  
500 penalty units.

                                 If the offender is a body corporate –  
2 500 penalty units.

## **214      Employment of disqualified person**

- (1) Except with the approval of the Commissioner, a licensee shall not employ a person for the purposes of the business carried on pursuant to the licence if the person:
- (a) is disqualified under this Part from holding a licence or is disqualified under a corresponding law from holding a licence under the corresponding law; or
  - (b) is disqualified under this Part or a corresponding law from being involved in any capacity in the carrying on of business as a travel agent; or
  - (c) has been refused a licence under this Part on a ground referred to in section 193(2)(e) or (f), or a licence under a corresponding law on a similar ground; or
  - (d) is a person whose adverse qualities were responsible for a body corporate being refused a licence on a ground referred to in section 193(4)(h) or (j), or a licence under a corresponding law on a similar ground.

Maximum penalty:      If the offender is a natural person –  
500 penalty units.

                                    If the offender is a body corporate –  
2 500 penalty units.

- (2) It is a defence to a prosecution for an offence against subsection (1) if it is proved that the person responsible for the prohibited employment had made reasonable inquiries regarding the person employed and had no reason to believe that the person employed was within the prohibition.

## **Division 7      Unjust conduct by travel agents**

### **215      Meaning of unjust conduct**

For the purposes of this Division, conduct of a person who carries on business as a travel agent (including an exempted person) is unjust if it is conduct:

- (a) that is dishonest or unfair; or
- (b) that consists of anything done, or omitted to be done, in breach of contract, whether or not proceedings in respect of the breach have been brought; or

- (c) that consists of a contravention of this Act or the regulations or any other enactment administered by the Minister; or
- (d) that, in the case of a licensee, consists of the failure to comply with a condition or restriction to which the licence is subject.

## **216      Undertakings regarding unjust conduct**

- (1) Where it appears to the Commissioner that a person who carries on business as a travel agent has, in the course of that business, repeatedly engaged in unjust conduct, the Commissioner may:
  - (a) request the person to execute a deed in terms approved by the Commissioner whereby the person gives undertakings as to:
    - (i) the discontinuance of the unjust conduct; and
    - (ii) the person's future conduct; and
    - (iii) the action the person will take to rectify the consequences of the person's unjust conduct;
  - or any of those matters; or
  - (b) apply to the Local Court for an order under section 218(1).
- (2) Where a person executes a deed under subsection (1)(a) and observes the undertakings given in the deed:
  - (a) a notice may not be served under section 204 or any thing done under section 205; and
  - (b) the Commissioner may not apply for an order under section 218(1);in respect of any conduct to which the undertakings relate.
- (3) A person who fails to observe an undertaking given in a deed executed under subsection (1)(a) is guilty of an offence.

Maximum penalty:      If the offender is a natural person –  
500 penalty units or imprisonment for  
6 months.

   If the offender is a body corporate –  
2 500 penalty units.

- (4) A prosecution for an offence under subsection (3) shall not be instituted except by the Commissioner with the leave of the Local Court given when making an order in accordance with section 218(2).

## **217      Register of undertakings**

- (1) The Commissioner shall maintain in such form and manner as the Commissioner thinks fit a register of undertakings given pursuant to section 216(1)(a).
- (2) Where a person executes a deed containing undertakings pursuant to section 216(1)(a), the Commissioner shall:
- (a) retain the deed and enter in the register of undertakings the prescribed particulars with respect to the deed; and
  - (b) give a copy of the deed to the person who executed it.
- (3) The register of undertakings may, at any reasonable time, be inspected by any person free of charge.

## **218      Restraining orders**

- (1) Where, on the application of the Commissioner, the Local Court is satisfied that a person who carries on business as a travel agent has in the course of that business repeatedly engaged in unjust conduct, the Court may order the person to refrain from engaging in unjust conduct in the course of carrying on that business and the person shall comply with the order.

Maximum penalty:      If the offender is a natural person –  
100 penalty units or imprisonment for  
6 months.

                                    If the offender is a body corporate –  
500 penalty units.

- (2) Where, on the application of the Commissioner, the Local Court is satisfied that a person has failed to observe an undertaking given by the person in a deed executed pursuant to section 216(1)(a), the Court may make an order under subsection (1) against the person and, in the case of an undertaking referred to in section 216(1)(a)(iii), may by such an order require the person to observe that undertaking within a time specified by the Court when making the order.

(3) Where:

- (a) the Commissioner applies under subsection (1) or (2) for an order under subsection (1) against a body corporate; and
- (b) the Local Court is satisfied that the unjust conduct or breach of undertaking to which the application relates was engaged in with the consent or connivance of a person who was at the time of the conduct or breach a director of, or otherwise concerned in the management of, the body corporate;

the Court may, in addition to any other order, make an order prohibiting that person from consenting to or conniving at engagement in unjust conduct, or in a breach of an undertaking under section 216(1)(a), by the body corporate or by any other body corporate of which the person is a director or in the management of which the person is otherwise concerned.

- (4) A person who contravenes an order under subsection (3) is guilty of an offence.

Maximum penalty:      If the offender is a natural person –  
100 penalty units or imprisonment for  
6 months.

                                 If the offender is a body corporate –  
500 penalty units.

- (5) An order under this section may be made subject to such conditions (whether as to the duration of the order or otherwise) as the Court thinks fit, including conditions as to the future conduct of a licensee and conditions specifying the action to be taken by a licensee to rectify the consequences of the licensee's unjust conduct.

**219      Variation and discharge of orders**

The Local Court may on the application of the Commissioner vary or discharge an order made under section 218.

**Division 8      The compensation scheme**

**220      Approval of the trust deed**

- (1) The Minister may for the purposes of this Part:
- (a) approve an instrument as the trust deed; and
  - (b) approve any amendment to the trust deed.



- (2) The Minister shall give notice in the *Gazette* of any approval under subsection (1), and a notice under this subsection shall state where copies of the trust deed, or the trust deed as for the time being in force, may be obtained or inspected.

**221      Obligation of licensee to participate in compensation scheme**

- (1) A licensee must be a contributor to the compensation fund.
- (2) If the compensation scheme trustees determine that a licensee is no longer eligible to be a contributor to the compensation fund, the licence is cancelled by force of this subsection, but the licence revives if the determination is reversed on appeal under section 208.

**222      Compensation scheme trustees to have certain rights by subrogation and otherwise**

- (1) Where a payment is made to a claimant under the compensation scheme by reason of an act or omission by a person carrying on business as a travel agent, the compensation scheme trustees are subrogated to the rights of the claimant in relation to that act or omission.
- (2) Where the rights conferred by subsection (1) on the compensation scheme trustees are exercisable against a body corporate, those rights are, subject to subsection (3), enforceable jointly against the body corporate and the persons who were its directors at the time of the act or omission and severally against the body corporate and each of those directors.
- (3) Where it is proved that an act or omission by a body corporate occurred without the knowledge or consent of a director of the body corporate, rights are not enforceable as provided by subsection (2) against that director in relation to that act or omission.
- (4) Where an act or omission referred to in subsection (1) was the act or omission of a person who, at the time of the act or omission, was neither a licensee nor an exempted person (in this section called ***the unlicensed person***) a person who aided, counselled or procured the carrying on by the unlicensed person of the business of a travel agent shall be deemed for the purposes of subsections (1), (2) and (3) to have, at the time of the act or omission, carried on business in partnership with the unlicensed person.

- (5) Subsection (4) has effect whether or not the person who aided, counselled or procured the carrying on of the business by the unlicensed person has been found guilty of an offence by virtue of section 12 of the Criminal Code.

**223      Name in which trustees may sue and be sued**

- (1) The compensation scheme trustees may sue and be sued under the name "The Travel Compensation Fund".
- (2) In proceedings brought by the trustees it shall be presumed, in the absence of proof to the contrary, that any provisions of the trust deed in relation to the bringing of proceedings have been satisfied.

**Division 9      Miscellaneous**

**224      Commissioner's certificate as evidence**

- (1) A certificate signed by the Commissioner or Deputy Commissioner stating that a person specified in the certificate was or was not, on a date or dates or during a period so specified, the holder of a licence so specified is, in all courts and before all persons and bodies authorised to receive evidence, evidence of the matters so stated.
- (2) For the purposes of subsection (1), a document that purports to have been signed by the Commissioner or Deputy Commissioner shall be taken to have been so signed unless the contrary is proved.

**225      Regulations for purposes of Part 11**

Regulations made for the purposes of this Part may:

- (a) provide for different categories of licences for different classes or descriptions of business specified in the regulations, and prescribe different fees in relation to different categories of licences; and
- (b) prescribe as a fee either a specified sum or a sum to be calculated in a specified manner; and
- (c) prescribe a procedure for the making of claims for compensation in relation to anything done or omitted to be done by a person exempted under section 337 from the application of section 221; and
- (d) provide for rights of appeal to the Local Court against decisions made in respect of such claims for compensation and the powers of the Local Court in relation to such appeals.

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## **Part 13                    Codes of practice**

### **238                    Preparation of draft codes of practice**

- (1) The Commissioner may with the approval of the Minister, and shall if the Minister so directs, prepare and submit to the Minister for consideration a draft code of practice for fair dealing:
  - (a) between a particular class of suppliers and consumers; or
  - (b) by a particular class of persons in relation to consumers.
- (2) For the purpose of preparing a draft code of practice, the Commissioner shall:
  - (a) arrange for consultation with, and invite submissions from, such persons and organisations as, in the opinion of the Commissioner, would have an interest in the terms of the proposed code; and
  - (b) give notice in a newspaper circulating in the Territory that a draft code is in course of preparation, and invite members of the public to make written submissions with respect to its terms.
- (3) If the Commissioner is satisfied that associated persons in a field of trade or commerce have, in consultation with organisations representing consumers and other interested persons, agreed to abide by a particular code of practice in their dealings with or in relation to consumers, the Commissioner may submit the code to the Minister for consideration, together with any recommendations by the Commissioner with respect to amendments to the code.

### **239                    Regulations may prescribe code approved by Minister**

Regulations may prescribe a code of practice which has been:

- (a) submitted to the Minister in accordance with section 238; and
- (b) approved by the Minister with or without amendments.

### **240                    Undertakings by persons contravening code**

- (1) Where it appears to the Commissioner that a person has carried on business in contravention of a code of practice applicable to the person, the Commissioner may request the person to execute within a specified time a deed in terms approved by the Commissioner under which the person gives undertakings as to:
  - (a) discontinuance of the conduct; and

- (b) future compliance with the code of practice; and
- (c) the action the person will take to rectify the consequences of the contravention;

or any of those matters.

- (2) A person who fails to observe an undertaking given in a deed executed under subsection (1) is guilty of an offence.

Maximum penalty:      If the offender is a natural person –  
500 penalty units.

                                 If the offender is a body corporate –  
2 500 penalty units.

## **241      Registers of undertakings**

- (1) The Commissioner shall maintain in such form as the Commissioner thinks fit registers of undertakings given pursuant to section 240(1).
- (2) Where a person executes a deed containing undertakings pursuant to section 240(1), the Commissioner shall:
  - (a) retain the deed and enter in a register of undertakings the prescribed particulars with respect to the deed; and
  - (b) give a copy of the deed to the person who executed it.
- (3) A register of undertakings may, at any reasonable time, be inspected by any person free of charge.

## **242      Orders by Local Court where undertaking refused or breached**

- (1) Where a person fails to comply with a request by the Commissioner for the giving of an undertaking under section 240(1), the Local Court may, on the application of the Commissioner or any other person, and on being satisfied that there were grounds for requesting the undertaking, order the first-mentioned person:
  - (a) to act in a manner that would have been required; or
  - (b) to refrain from acting in a manner that would have been prohibited;by the undertaking if it had been given.

- (2) Where, on the application of the Commissioner or any other person, the Local Court is satisfied that a person has failed to observe an undertaking given by that person under section 240(1), the Local Court may make an order under subsection (3).
- (3) The Local Court may order the person by whom the undertaking was given:
  - (a) to observe the undertaking; and
  - (b) in the case of an undertaking to rectify the consequences of a contravention of a code of practice – to observe the undertaking within a time specified in the order.
- (4) Where:
  - (a) the failure on which an application under subsection (1) or (2) is based is a failure by a body corporate; and
  - (b) the Local Court is satisfied that the failure occurred with the consent or connivance of a person who, at the time of the failure, was a director of the body corporate or a person otherwise concerned in its management;the Court may, in addition to any other order, make an order under subsection (5).
- (5) The Local Court may, in a case falling within subsection (4), make an order prohibiting the person from:
  - (a) continuing to consent to, or connive at, the failure; or
  - (b) consenting to, or conniving at, a like failure by any other body corporate of which the person is a director or in the management of which the person is concerned.
- (6) An order under this section may be made subject to such conditions (whether as to the duration of the order or otherwise) as the Court thinks fit including:
  - (a) conditions as to the future conduct of the person affected; and
  - (b) conditions specifying the action to be taken by the person to rectify the consequences of the failure the subject of the application under this section.

- (7) A person who contravenes an order under this section is guilty of an offence.

Maximum penalty:      If the offender is a natural person –  
500 penalty units.

                                 If the offender is a body corporate –  
2 500 penalty units.

## **243      Variation and discharge of orders**

The Local Court may on the application of the Commissioner vary or discharge an order made under section 242.

# **Part 14      Pawn-brokers and second-hand dealers**

## **Division 1      Preliminary**

### **244      Interpretation**

- (1) In this Part:

**approved**, in relation to a form, means approved by the Commissioner.

**business premises** means premises at which, under a licence:

- (a) business may be conducted with members of the public; and
- (b) goods may be stored.

**lawn sale** means a sale of goods from a residential dwelling, or from a parcel of land on which a residential dwelling is situated, where the property in the goods was not acquired by the seller through his or her business as a pawnbroker or a second-hand dealer.

**licence** means a pawnbroker's licence, a pawnbroker/second-hand dealer's licence or a second-hand dealer's licence.

**licencee** means a person who holds a licence.

**officer**, in relation to a body corporate, includes a director, secretary, executive officer or employee of the body.

**pawnbroker** means a person conducting the business of:

- (a) lending money on the security of pawned goods; or

- (b) receiving goods under a contract for sale where the seller has a right to buy back the goods.

***pawn ticket*** means a statement required to be given under section 280.

***redemption period*** means the period referred to in section 288(1)(a)(i) or (ii), whichever applies in the particular case.

***second-hand dealer*** means a person conducting the business of buying, selling or exchanging second-hand goods, whether the goods are bought, sold or exchanged on the person's own behalf or on behalf of another person.

***second-hand goods*** means any goods which have been worn or otherwise used but does not include goods belonging to a class of goods prescribed by the regulations as goods not to be treated as second-hand goods for the purposes of this Act.

***storage premises*** means premises at which, under a licence, goods may be stored.

- (2) In this Part a reference to goods in the possession of a person includes a reference to goods under that person's control.
- (3) In this Part, unless the contrary appears, a reference to a contract is a reference:
  - (a) in the case of a pawnbroker, to a contract for the lending of money on the security of pawned goods or for the receiving of goods under a contract of sale where the seller has a right to buy back the goods; and
  - (b) in the case of a second-hand dealer, to a contract for the purchase or exchange of second-hand goods by the second-hand dealer.
- (4) In this Part a reference to the purchase or exchange of goods in relation to a second-hand dealer includes a reference to a purchase or exchange, as the case may be, of goods by the dealer on behalf of another person.

## 245 Application

This Part does not apply to:

- (a) an auctioneer within the meaning of the *Auctioneers Act* who is acting in accordance with a licence granted to, or for the benefit of, the auctioneer under that Act; or

- (b) a dealer within the meaning of the *Firearms Act* who is acting in accordance with a licence granted in relation to that dealer under that Act; or
- (c) a holder of a licence granted under Part 10 of this Act who is acting in accordance with the licence.

**246 Deeming of loan and interest under *buy back* contracts**

In the case of a pawnbroker receiving goods under a contract for sale where the seller has a right to buy back the goods, then for the purposes of this Part:

- (a) the price at which the goods are to be sold under the contract is to be taken to be the amount lent; and
- (b) the difference between the amount lent and the price at which the goods may be bought back is to be taken to be the interest payable.

**Division 2 Licensing of pawnbrokers and second-hand dealers**

**Subdivision 1 Requirement for licences**

**247 Pawnbrokers to be licenced**

A person must not act as a pawnbroker except under and in accordance with a pawnbroker's licence or a pawnbroker/second-hand dealer's licence.

Maximum penalty: If the offender is a natural person –  
500 penalty units.

If the offender is a body corporate –  
2 500 penalty units.

**248 Second-hand dealers to be licenced**

A person must not act as a second-hand dealer except under and in accordance with a second-hand dealer's licence or a pawnbroker/second-hand dealer's licence.

Maximum penalty: If the offender is a natural person –  
500 penalty units.

If the offender is a body corporate –  
2 500 penalty units.





- (4) A notice under subsection (3) is to:
- (a) state that the application has been made; and
  - (b) set out the prescribed details with respect to the application; and
  - (c) specify a period during which members of the public may, by notice in writing lodged with the Commissioner under section 252(2A) object to the granting of a licence on any of the grounds specified in section 258.

**252        Commissioner to advise Police Commissioner etc.**

- (1) On receiving an application for a licence under section 251, the Commissioner is to:
- (a) send a copy of it to the Commissioner of Police, together with copies of any accompanying documents other than statements relating to the material and financial resources of the applicant.
- (2) The Commissioner of Police, within 28 days of receiving a copy of an application pursuant to subsection (1)(a), is to lodge with the Commissioner a notice in writing which either supports the application or objects to the grant of a licence on the grounds that the applicant may not be a fit and proper person.
- (2A) A person may, by notice in writing lodged with the Commissioner, object to the granting of a licence on any of the grounds specified in section 258.
- (3) A notice of objection under subsection (2) or (2A) is to state the reasons for the objection.
- (4) References in this section to an applicant's not being a fit and proper person are, where the applicant is:
- (a) a partnership – references to a partner in the partnership; or
  - (b) a body corporate – references to a director or other person concerned in the management of the body corporate;
- not being a fit and proper person.

## **253        Expedited applications**

(1) Where an applicant intends to conduct the business of a licensee in place of the licensee in circumstances where:

- (a) the licensee has died; or
- (b) the licensee is suffering from an illness or other disability of a nature that renders the licensee unable to conduct the business in accordance with this Part; or
- (c) the licensee decides that he or she will no longer be involved in conducting the business; or
- (d) the licence is revoked or suspended; or
- (e) the licensee is unable to conduct the business because of unforeseen circumstances;

the Commissioner may waive the requirement for the publication of a notice under section 251 if, during the period referred to in that section, the business could not otherwise be conducted at all.

(2) A licence granted to an applicant referred to in subsection (1) is to be granted on the condition that a notice in an approved form is published in a newspaper with circulation throughout the Territory 10 days after the licence is granted so as to enable persons to object to any subsequent application to renew the licence.

## **254        Documentation in support of application for licence**

An application for the grant of a licence is to be accompanied by:

- (a) where the applicant is a natural person or a partnership – evidence as to the identity of the natural person or each partner in the partnership:
  - (i) by means of his or her birth certificate; or
  - (ii) by means of his or her passport, where the passport is either current or has not been expired for more than 24 months; or
  - (iii) by means of his or her motor driver's licence; or
  - (iv) by other prescribed means; and
- (b) in the case of an application for a licence by a partnership or body corporate:
  - (i) where relevant, proof of the business name; and

- (ii) where relevant, proof of incorporation; and
- (iii) written confirmation from one of the other partners or one of the body's directors, as the case requires, that the applicant is authorised by the partnership or the body to make the application; and
- (c) other information the Commissioner requires for the proper consideration of a particular application; and
- (d) other evidence of a prescribed nature or prescribed form.

**255 Application for renewal of licence**

- (1) An application for the renewal of a licence is to be:
  - (a) made in an approved form lodged at, or sent by post to, a place approved by the Commissioner; and
  - (b) accompanied by the prescribed fee.
- (2) An application for the renewal of a licence is to be made no later than 28 days before the day on which the licence is due to expire or at a later time that the Commissioner, having regard to section 262(2), allows.

**256 Documentation in support of application for renewal of licence**

An application for the renewal of a licence is to be accompanied by evidence of the prescribed type or prescribed form.

**257 Offences in relation to licence applications**

A person must not, in relation to an application for the grant or renewal of a licence, provide information in written or oral form that the person knows to be:

- (a) false or misleading in a material particular; or
- (b) likely to deceive in a material way.

Maximum penalty: If the offender is a natural person –  
100 penalty units or imprisonment for  
6 months.

If the offender is a body corporate –  
500 penalty units.

## **258            Objections to grant or renewal of licences**

- (1) A person may object to the grant or renewal of a licence on the ground that the Commissioner should not or should no longer be satisfied in relation to any of the matters referred to in section 259, 260 or 261 that is relevant to the applicant or, where the applicant is a partnership or body corporate, to the partnership and any of the partners or to the body and any of the body's officers, as the case may be.
- (2) An objection is to be made in an approved form and is not to be considered unless it is lodged with the Commissioner:
  - (a) in the case of an application (other than an expedited application under section 253) for the grant of a licence, no later than 28 days after the publication date of the notice under section 252; or
  - (b) in the case of an application for the renewal of a licence, no later than 28 days before the day the licence is due to expire.

## **259            Grant of licences**

The Commissioner is not to grant a licence unless the Commissioner is satisfied:

- (a) that, where the applicant is a natural person or a partnership – the person or each partner in the partnership has attained the age of 18 years; and
- (b) that there is sufficient evidence as to the identity of the applicant; and
- (c) that the applicant has not been convicted of an offence prescribed for the purposes of this paragraph; and
- (d) subject to section 253, that a notice under section 252 has been published in accordance with that section; and
- (e) that the time for the lodgement of objections has passed and either:
  - (i) no objection to the application has been made; or
  - (ii) each objection has been adequately investigated and that the investigation results justify the grant of the licence; and
- (f) that the applicant is of good character and is in all respects a fit and proper person to hold a licence; and

- (g) that there will be during the licence period, adequate management, supervision and control of the business operations that are the subject of the application; and
- (h) that during the licence period the applicant will be able to comply with:
  - (i) the provisions of this Part; and
  - (ii) any condition or restriction to which the licence is likely to be subject; and
- (j) that the applicant has, or is able to obtain, the means to provide information in accordance with section 316; and
- (k) that the applicant is not:
  - (i) an insolvent under administration within the meaning of the Corporations Act 2001; or
  - (ii) subject to a type of external administration referred to in Chapter 5 of the Corporations Act 2001; and
- (m) that there is, in relation to the applicant, no charge pending for an offence involving dishonesty, fraud or stealing, an offence of a nature that renders the applicant unsuitable to hold a licence or that is prescribed for the purposes of paragraph (c); and
- (n) where the applicant has been found guilty of an offence, that:
  - (i) the circumstances of the applicant's involvement in the commission of the offence; or
  - (ii) the period of time between the finding and the application;do not render the applicant unsuitable to hold a licence; and
- (p) that the applicant has not been involved in conduct of a nature that renders the applicant unsuitable to hold a licence; and
- (q) in the case of an application for a pawnbroker's licence or a pawnbroker/second-hand dealer's licence, that there are adequate arrangements for the safekeeping of pawned goods; and
- (r) that at the time of the application:
  - (i) the applicant is not disqualified from holding the type of licence applied for; and

- (ii) a licence of the type applied for held by the applicant is not suspended; and
- (s) of such other matters as may be prescribed; and
- (t) that there is no other good reason why the licence should not be granted.

## **260        Renewal of licences**

The Commissioner is not to renew a licence unless he or she is satisfied:

- (a) in relation to the matters referred to in section 259(e) to (t) insofar as those matters apply to the renewal of a licence; and
- (b) that the licensee has not contravened:
  - (i) a provision of this Part; or
  - (ii) the licence or a condition or restriction to which the licence is subject;in circumstances rendering the renewal of the licence to be inappropriate; and
- (c) that no proceedings under section 269 are pending.

## **261        Grant and renewal of licences held by partnerships and bodies corporate**

Where an application is made for the grant or renewal of a licence by a partnership or a body corporate, the Commissioner is not to grant or renew the licence unless the Commissioner is satisfied as to each of the matters referred to in section 259 or 260, as the case may be, in relation to each of the following persons who is relevant to the application:

- (a) the partnership and the partners;
- (b) the body and the body's officers.

## **262        Refusal to grant or renew licences**

- (1) Where the Commissioner refuses to grant a licence the Commissioner, before 28 days after the decision is made, is to serve the applicant with written notice setting out the decision and the reasons for the decision.

- (2) Where the Commissioner refuses to renew a licence the Commissioner, no later than 14 days before the day on which the licence is due to expire, is to serve the applicant with written notice setting out the decision and the reasons for the decision.

**263        Form of licences**

- (1) The Commissioner is not to grant or renew a licence without specifying in the licence each business premises and storage premises to which the licence applies.
- (2) Subject to subsection (1), a licence is to be in an approved form.

**264        Conditions and restrictions**

- (1) The Commissioner may grant or renew a licence subject to conditions and restrictions set out in, or provided with, the licence.
- (2) Without limiting subsection (1), a licence may be subject to conditions in relation to ascertaining whether a person who is, or is proposed to be, employed at business premises has been convicted of an offence the nature of which may render the person unsuitable to enter into contracts at the premises or which is prescribed for the purposes of this section.
- (3) The Commissioner may:
- (a) make an existing licence subject to a new condition or restriction; or
  - (b) change or remove a condition or restriction to which an existing licence is subject;
- but where the Commissioner does so:
- (c) the Commissioner, before 14 days after the decision is made, is to serve the licensee with written notice of the decision; and
  - (d) the decision does not take effect until 21 days after the decision is made, or at a later time specified in the notice.

**265        Licence not transferable**

A licence is not transferable.

**266        Duration of licences**

A licence may be granted or renewed for the period, being not longer than 3 years from the day of grant or renewal of the licence, the Commissioner thinks fit and specifies in the licence document.



**267            Suspension, revocation of licences and disqualification**

- (1) Subject to this section, the Commissioner may:
  - (a) suspend a licence for the period he or she thinks fit; or
  - (b) revoke a licence; or
  - (c) disqualify a person from holding a licence for the period the Commissioner thinks fit or permanently.
- (2) The Commissioner may exercise a power referred to in subsection (1):
  - (a) on the ground that the Commissioner should not or should no longer be satisfied in relation to any of the matters referred to in section 259, 260 or 261 that is relevant to the licensee and, where the licence is held by a partnership or body corporate, to the partnership and any of the partners or to the body and any of the body's officers, as the case may be; or
  - (b) on the ground that a person (other than the Commissioner) referred to in paragraph (a) has contravened:
    - (i) a provision of this Part; or
    - (ii) the licence or a condition or restriction to which the licence is subject.
- (3) A suspension or revocation of, or disqualification in relation to, a licence is not effective unless the Commissioner:
  - (a) has caused written notice of the intention to suspend, revoke, or disqualify to be served on the licensee, stating the grounds on which the suspension, revocation or disqualification is intended to be made and allowing the licensee 21 days within which to respond to the notice; and
  - (b) has had due regard to any response to the notice made within that time; and
  - (c) has caused written notice of the decision to suspend, revoke or disqualify to be served on the licensee at least 14 days before the decision is to take effect, stating the grounds on which the decision has been made.

- (4) A person who has received notice under subsection (3)(c) must, within 2 business days of receiving the notice, or another period approved by the Commissioner, return the licence document to the Commissioner.

Maximum penalty: If the offender is a natural person –  
20 penalty units.

If the offender is a body corporate –  
100 penalty units.

- (5) If a licence is suspended under this section it is to be treated as being of no effect during the period of suspension.
- (6) A person who under this section is disqualified from holding a licence must not, during the period of disqualification, apply for a licence of that type.

## **268 Commissioner to keep register of licences**

- (1) The Commissioner is to cause to be kept, in a form approved by the Commissioner, a register of the following information in relation to each licence granted:
- (a) the type of licence;
  - (b) the name (including, where relevant, the business name) or names of the licensee;
  - (c) the location of business premises to which the licence applies;
  - (d) the expiry date;
  - (e) other prescribed particulars.
- (2) The Commissioner is to allow any person to inspect the register on payment of the prescribed fee, if any.

## **Subdivision 3 Court's functions in relation to licensing**

### **269 Appeal**

- (1) A person who is aggrieved by the Commissioner's decision:
- (a) to refuse to grant or renew a licence; or
  - (b) as to the period for which a licence is granted or renewed; or
  - (c) as to a condition or restriction to which a licence is to be subject; or

- (d) as to premises to which the licence is, or is not, to apply; or
- (e) as to the suspension or revocation of, or disqualification in relation to, a licence;

may appeal to the Local Court within 14 days after receiving notice of the decision.

- (2) The Commissioner is entitled to be a party to the appeal and to be represented at the hearing of the appeal.
- (3) The Local Court may determine an appeal on the following:
  - (a) material that was before the Commissioner;
  - (b) additional or fresh evidence, either oral or by affidavit, allowed by the Court.
- (4) On an appeal the Local Court may:
  - (a) confirm, vary, or reverse the decision of the Commissioner; and
  - (b) remit the matter to the Commissioner, with or without directions; and
  - (c) make any further order, including an order as to costs or that a licence be delivered up to the Court;

as the Court thinks fit.

- (5) If an appeal is instituted under this section in relation to a decision of the Commissioner, the decision continues to have effect pending the appeal unless the Local Court otherwise orders.

## **270        Returns by Local Court Registrar**

- (1) A Registrar, within the meaning of the *Local Court Act*, of the Local Court is to give to the Commissioner information from the records under the Registrar's control that the Commissioner certifies in writing is required for the purposes of this Part.
- (2) On the determination of an appeal under this Subdivision, the Registrar of the Local Court is to send to the Commissioner a copy of an order in relation to the determination and a licence that has been delivered up to the Court.

**271            Effect of charges pending on Court hearings**

Where:

- (a) an appeal has been made to the Local Court under this Subdivision; and
- (b) a charge for an offence referred to in section 259(m) is pending in relation to a person who is a subject of the appeal;

the Court may adjourn the hearing of the matter until the charge has been determined.

**Subdivision 4    Powers of Court generally in relation to licences**

**272            Court may suspend, revoke licence or disqualify person upon conviction**

- (1) Where a person is convicted by a court of an offence against this Part, the Court may, in addition to any penalty imposed or order made in respect of the conviction:

- (a) order, in relation to a licence applicable to that person:
  - (i) that the licence be suspended for the period the Court thinks fit; or
  - (ii) that the licence be revoked; or
  - (iii) that a person be disqualified from holding a licence for the period the Court thinks fit or permanently;

and that the licence be delivered up to the Court or the Commissioner; or

- (b) impose conditions or restrictions in relation to the licence as it thinks fit for the period of time set out in the order.
- (2) When making any order under this section the Court may, if it thinks fit, defer the operation of the order pending an appeal.
  - (3) If a licence is suspended under this section it is to be treated as being of no effect during the period of suspension.
  - (4) A person who under this Subdivision is disqualified from holding:
    - (a) a pawnbroker's licence must not, during the period of disqualification, apply for a pawnbroker's licence or a pawnbroker/second-hand dealer's licence; or

- (b) a second-hand dealer's licence must not, during the period of disqualification, apply for a second-hand dealer's licence or a pawnbroker/second-hand dealer's licence; or
- (c) a pawnbroker/second-hand dealer's licence must not, during the period of disqualification, apply for any licence;

or be the subject of an application for such a licence during the period of disqualification.

### **273      Returns by Court**

Where a Court has made an order under section 272 in relation to the suspension or revocation of, or disqualification in relation to, a licence, the Court is to ensure that:

- (a) notice of the findings, penalty imposed and orders made in relation to the matter; and
- (b) where relevant, any licence that has been delivered up to the Court;

is sent to the Commissioner.

## **Subdivision 5      Other requirements in relation to licences**

### **274      Sign to be displayed**

A licensee must cause to be kept displayed in a position that is clearly visible from the outside of the business premises to which the licence applies:

- (a) the licensee's name; and
- (b) the words "Licenced Pawnbroker", "Licenced Pawnbroker and Second-hand Dealer" or "Licenced Second-hand Dealer", as the case may be;

in legible lettering at least 50mm high.

Maximum penalty:      If the offender is a natural person –  
20 penalty units.

   If the offender is a body corporate –  
100 penalty units.

**275 Certain employee records to be kept and provided to police**

- (1) Where a person is employed at business premises and the person's functions include entering into contracts at the premises, the licensee must ensure that the following are kept in relation to the person:
- (a) a record of the person's full name, current residential address and date of birth;
  - (b) a photograph of the person, certified by the licensee to be a true photograph of the employee;
  - (c) all records provided by the person by way of:
    - (i) the person's application for employment at the premises; and
    - (ii) the licensee's compliance with a condition of the licence.
- (2) Records referred to in subsection (1) are to be kept for 12 months after the day the employee ceases to be employed at the premises.
- (3) An authorised officer may require a licensee to produce for inspection a record kept under this section and the licensee must not refuse or fail to comply with the request.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

- (4) A person employed at business premises whose functions include entering into contracts at the premises must, at all times while engaged on the business of the licensee, display such identification, if any, as is prescribed.
- (5) A person employed at business premises must not enter into a contract for the purchase of goods from, or the exchange of goods with, another person unless the employee's employer has permitted the employee to enter into contracts for the purchase or exchange of goods.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

- (6) Where an employee is found guilty of an offence against subsection (5), his or her employer is guilty of an offence.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

## **Division 3 Contracts with pawnbrokers and second-hand dealers**

### **Subdivision 1 Duties of pawnbrokers and second-hand dealers**

#### **276 Persons under 18 or affected by alcohol or drugs**

A pawnbroker or a second-hand dealer must not enter into a contract with a person who is:

- (a) under 18 years of age; or
- (b) apparently affected by alcohol or a drug.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

#### **277 Identification of persons**

- (1) Subject to subsection (2), a pawnbroker or a second-hand dealer must not enter into a contract with a person unless the pawnbroker or second-hand dealer:

- (a) has ascertained the person's full name and current residential address; and
- (b) has verified the person's identity by way of:
  - (i) the person's passport, where the passport is either current or has not been expired for more than 24 months; or
  - (ii) the person's current motor driver's licence, but only if it bears a photograph of the person; or

(iii) prescribed means.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

- (2) Subsection (1)(b) does not apply to a contract entered into with a person conducting a pawn sale.

## **278 Offences in relation to false information**

A person must not provide to a pawnbroker or second-hand dealer information in relation to the person's name, address or age, in written or oral form, that the person knows to be:

- (a) false or misleading in a material particular; or
- (b) likely to deceive in a material way.

Maximum penalty: If the offender is a natural person –  
100 penalty units or imprisonment for  
6 months.

If the offender is a body corporate –  
500 penalty units.

## **279 Records to be made by pawnbrokers**

A pawnbroker must ensure that the following details are recorded in relation to each contract as soon as the information becomes available to the pawnbroker:

- (a) a distinguishing number for the contract;
- (b) the full name and current residential address of the party pawning the goods;
- (c) where verification of identity is required under section 277, the form of identification used to verify the identity of the party pawning the goods and the number (if any) of the identification document;
- (d) the date and time of the contract;
- (e) a description of each of the goods to be pawned including, where applicable to the goods, the type, size, colour, brand, serial number and any distinguishing feature;
- (f) the amount lent in respect of each of the pawned goods;



- (g) the interest to be paid on the amount lent expressed:
  - (i) as a percentage rate; and
  - (ii) as an amount in dollar terms to be paid for each week or month, as the case may be, of the loan;
- (h) the types of charges that are, or may become, payable, including those that may become payable in the event of the sale of the goods, and the amount (if known) of the charges;
- (j) the redemption period if it is longer than one month;
- (k) the name of the person accepting the goods in pawn as, or on behalf of, the pawnbroker;
- (m) the amount of any repayment made towards satisfaction of the loan;
- (n) the premises where the goods will be located during the redemption period, and if the goods are moved, the name and address of the location of the goods;
- (p) if the redemption period is extended, the new redemption period and the date of the agreement to extend the period;
- (q) if goods are redeemed, the date of redemption;
- (r) if unredeemed goods are sold:
  - (i) the date of sale; and
  - (ii) the details referred to in section 296(1);
- (s) other prescribed matters.

Maximum penalty:        If the offender is a natural person –  
   100 penalty units.

   If the offender is a body corporate –  
   500 penalty units.

## **280        Pawn tickets**

- (1) Before lending any money under a contract, a pawnbroker must ensure that a written statement under this section and a copy of the statement are signed by, or on behalf of, the pawnbroker and by the other party to the contract and that the statement is given to that other party, without charge.

Maximum penalty:        If the offender is a natural person –  
   100 penalty units.

   If the offender is a body corporate –  
   500 penalty units.

- (2) The statement is to:
- (a) set out the details recorded under section 279(a) to (s) inclusive; and
  - (b) inform the other party:
    - (i) of the pawnbroker's obligation under this Part to keep the pawned goods for at least one month or such longer period as the parties may agree; and
    - (ii) that the goods can be redeemed at any time before the sale of the goods; and
    - (iii) of the party's right to any surplus on the sale of the goods after deduction of interest and charges.
- (3) The pawnbroker must ensure that the statement and the copy each bear the original signature of the persons referred to in subsection 1).

Maximum penalty:        If the offender is a natural person –  
   20 penalty units.

   If the offender is a body corporate –  
   100 penalty units.

## **281        Records to be made by second-hand dealers**

- (1) A second-hand dealer must ensure that the following details are recorded in relation to each contract as soon as the information becomes available to the dealer:
- (a) a distinguishing number for the contract;

- (b) the full name and current residential address of the party offering the goods;
- (c) where verification of identity is required under section 277, the form of identification used to verify the identity of the party offering the goods and the number (if any) of the identification document;
- (d) the date and time of the contract;
- (e) a description of each of the goods accepted by the dealer including where applicable to the goods, the type, size, colour, brand, serial number and any distinguishing feature;
- (f) the consideration provided by the dealer for the accepted goods;
- (g) the name of the person accepting the goods as, or on behalf of, the dealer;
- (h) the premises where the goods will be kept during the period referred to in section 299, and if the goods are moved, the name and address of the location of the goods;
- (j) if the goods are disposed of by the dealer, the date of disposal;
- (k) such other matters as may be prescribed.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

- (2) A second-hand dealer must ensure that the details of matters prescribed for the purposes of subsection (1)(k) are kept in the prescribed form.

## **282 Records to be provided by second-hand dealers**

- (1) Before accepting goods under a contract a second-hand dealer must ensure that:
  - (a) the records specified in or prescribed under section 281 that are prescribed for the purposes of this section are provided to the other party to the contract; and

- (b) a receipt for the goods and a copy of the receipt are signed by, or on behalf of, the dealer and the other party to the contract, and the receipt is given to the other party.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

- (2) The second-hand dealer must ensure that the receipt and the copy each bear the original signature of the persons referred to in subsection (1).

Maximum penalty: If the offender is a natural person –  
20 penalty units.

If the offender is a body corporate –  
100 penalty units.

## **283 Keeping of records**

- (1) A pawnbroker must ensure that all records required to be made under section 279, a copy of all the pawnbroker's pawn tickets and all affidavits provided to the pawnbroker under section 286 are kept:

- (a) for at least one year from the time when the goods are redeemed or sold; and

- (b) in the prescribed manner or form.

- (2) A second-hand dealer must ensure that all records required to be made under section 281 and a copy of all receipts given under section 282 are kept:

- (a) for at least one year from the time when the goods are disposed of by the dealer; and

- (b) in the prescribed manner or form.

- (3) A pawnbroker or second-hand dealer must ensure that:

- (a) the records required to be kept under section 275, insofar as they relate to a person who is currently employed by the pawnbroker or second-hand dealer; and

- (b) all other records required to be kept under this Part that relate to contracts entered into within the previous 12 months; and

- (c) all other records kept in the course of carrying on business as a pawnbroker or second-hand dealer within the previous 12 months;

are kept at the business premises nominated in the licence for that purpose.

- (4) A pawnbroker or second-hand dealer must ensure that:

- (a) the records required to be kept under section 275, insofar as they relate to a person who was, but no longer is, employed by the pawnbroker or second-hand dealer; and
- (b) all other records required to be kept under this Part that relate to contracts entered into other than within the previous 12 months; and
- (c) all other records kept in the course of carrying on business as a pawnbroker or second-hand dealer, other than such records kept within the previous 12 months;

are kept at a place nominated in the licence for that purpose.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

- (5) The holder of a pawnbroker/second-hand dealer's licence must keep those records he or she is required under this Part to keep in relation to his or her business as a pawnbroker separate from those records he or she is required under this Part to keep in relation to his or her business as a second-hand dealer.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

- (6) An authorised officer may require a licensee to produce for inspection a record required to be kept under this Part and the licensee must not refuse or fail to comply with the request:

- (a) in the case of records required to be kept at the business premises – immediately; or

- (b) in any other case – within the period, being not less than one business day after the day on which the requirement is made, that the authorised officer specifies.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

## **284 Tampering with records**

Where a pawnbroker or second-hand dealer keeps a record for the purposes of this Part, a person must not alter the record in a manner that renders the record false or misleading in a material particular.

Maximum penalty: If the offender is a natural person –  
100 penalty units or imprisonment for  
6 months.

If the offender is a body corporate –  
500 penalty units.

## **285 Goods to carry contract number**

- (1) A pawnbroker must ensure that all pawned goods are marked or labelled with the distinguishing number of the contract under which the goods were pawned.
- (2) A second-hand dealer must ensure that all second-hand goods obtained by the dealer that are for sale or exchange by the dealer are marked or labelled with the distinguishing number of the contract under which the goods were obtained.

Maximum penalty: If the offender is a natural person –  
20 penalty units.

If the offender is a body corporate –  
100 penalty units.

## **286 Pawn tickets *lost or stolen***

- (1) A pawnbroker must not replace a pawn ticket alleged by a person to have been lost or stolen unless:
- (a) the person provides the pawnbroker with the person's affidavit setting out:
- (i) an accurate description of the pawned goods; and

- (ii) the circumstances of the loss or theft of the pawn ticket;  
and
  - (b) the pawnbroker ascertains the person's name and verifies the person's identity in accordance with section 277; and
  - (c) the pawnbroker is satisfied on reasonable grounds that the person's claim is lawful.
- (2) A pawnbroker must not charge a person for a replacement pawn ticket.

Maximum penalty: If the offender is a natural person –  
20 penalty units.

If the offender is a body corporate –  
100 penalty units.

## **Subdivision 2 Redemption and sale of goods**

### **287 Interpretation**

In this Subdivision ***pawn ticket*** includes a replacement pawn ticket issued under section 286.

### **288 When goods may be redeemed**

- (1) Pawned goods may be redeemed:
- (a) before the expiration of:
    - (i) one month; or
    - (ii) a period longer than one month agreed by the parties;  
from the day on which the goods were pawned; and
  - (b) where the redemption period has expired, before the sale of the goods.
- (2) A provision in, or condition of, an agreement or arrangement that purports to reduce the period referred to in subsection (1)(a)(i) has no effect.
- (3) An agreement under subsection (1)(a)(ii) may be made at any time before the goods are sold.

**289            Where pawned goods to be kept**

A pawnbroker must ensure that pawned goods are kept at business premises or storage premises to which the pawnbroker's licence applies until the redemption period expires.

Maximum penalty:        If the offender is a natural person –  
   100 penalty units.

   If the offender is a body corporate –  
   500 penalty units.

**290            When goods to be redeemed**

Subject to section 291, where pawned goods have not been sold the pawnbroker must deliver the goods to a person who:

- (a) produces to the pawnbroker the pawn ticket for the goods;  
and
- (b) requests the redemption of the goods; and
- (c) pays the pawnbroker, or tenders to the pawnbroker payment of, all money owing under the contract under which the goods were pawned.

Maximum penalty:        If the offender is a natural person –  
   100 penalty units.

   If the offender is a body corporate –  
   500 penalty units.

**291            When goods not to be redeemed**

(1) Section 290 does not apply where:

- (a) the pawnbroker has been informed by a credible person or has reason to believe that the person who produces the pawn ticket is not the owner of the goods or pawn ticket or is not acting with the owner's authority; or
- (b) the pawnbroker has been informed by the owner of the pawn ticket or some other credible person that the ticket has been lost, stolen or otherwise unlawfully taken; or
- (c) the provisions of a notice under section 317 prevent delivery of the goods; or
- (d) the goods have been seized by a police officer; or



- (e) the goods are not in the possession of the pawnbroker and the pawnbroker had previously reported to a police officer that the goods had been stolen or otherwise unlawfully obtained from the pawnbroker; or
  - (f) the goods were returned to a person under section 318.
- (2) A pawnbroker who does not deliver goods under section 290 in reliance on subsection (1)(a) or (b) must, as soon as is practicable, notify a police officer of the reasons for non-delivery and where the name and address of the person who requested the delivery are known to the pawnbroker, the name and address of that person.

Maximum penalty: If the offender is a natural person –  
20 penalty units.

If the offender is a body corporate –  
100 penalty units.

## **292 Redemption only to holder of pawn ticket**

A pawnbroker must not deliver pawned goods in purported redemption of the goods to a person other than the holder of the pawn ticket for the goods.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

## **293 Sale of unredeemed goods**

- (1) A pawnbroker must not sell pawned goods unless the redemption period has expired.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

- (2) If pawned goods have not been redeemed within the redemption period the pawnbroker must sell the goods:
  - (a) as soon as is practicable after the redemption period has expired; and

(b) so as to receive the best market price reasonably obtainable.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

(3) If any question arises as to whether a pawnbroker has complied with subsection (2), the proof of compliance is on the pawnbroker.

**294 Unredeemed goods not to be bought by or on behalf of pawnbroker**

(1) A pawnbroker, or a person acting on behalf of a pawnbroker, must not buy goods that have been pawned to and are being sold by the pawnbroker.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

(2) Where a pawnbroker's licence or a pawnbroker/second-hand dealer's licence is held by a partnership or body corporate, a person who is:

(a) one of the partners; or

(b) the body; or

(c) one of the body's officers; or

(d) acting on behalf of a person referred to in paragraph (a), (b) or (c);

must not buy goods that have been pawned to and are being sold by the pawnbroker.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

**295            Application of proceeds of sale**

- (1) Subject to subsection (2), the proceeds of sale of pawned goods are to be applied in settlement of all amounts owing to the pawnbroker under the contract under which the goods were pawned and the amount of any surplus is a debt due by the pawnbroker to the other party to the contract.
- (2) Where the amount of the surplus is less than \$50 or such other amount as may be prescribed under section 297, the amount is a debt due and payable by the pawnbroker to the other party to the contract only if the other party has, within 60 days after the sale of the goods, demanded the return of the surplus.

**296            Records to be made on sale of unredeemed goods**

- (1) A pawnbroker who sells pawned goods must, as soon as practicable after the sale, calculate:
  - (a) the charges to be paid by the other party to the contract under which the goods were pawned; and
  - (b) the surplus (if any) due to the other party;

and record those details with the details recorded under section 279 in relation to the goods.

- (2) Where pawned goods have been sold by a pawnbroker, the pawnbroker must on request allow the other party to the contract under which the goods were pawned to inspect the record relating to the sale.

Maximum penalty:        If the offender is a natural person –  
   100 penalty units.

   If the offender is a body corporate –  
   500 penalty units.

**297            Notice as to surplus**

- (1) Subject to subsection (2), a pawnbroker who sells pawned goods must, before 14 days after the sale, send by certified mail to the last known address of the other party to the contract under which the goods were pawned, a notice informing the party:
  - (a) of the amount of any surplus proceeds of sale; and

- (b) that the party is entitled to receive that amount from the pawnbroker at the pawnbroker's business premises or in another manner as agreed, within 60 days after the notice is issued.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

- (2) Subsection (1) does not apply where:

- (a) the other party in writing requested the pawnbroker not to give the party notice under that subsection; or
- (b) the surplus is less than \$50 or the prescribed amount.

## **298 Payment of surplus on demand**

A pawnbroker who sells goods pawned by a person must upon demand pay the amount of any surplus payable to the person under section 295 at the pawnbroker's business premises or in another manner as agreed.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

## **Subdivision 3 Retention of second-hand goods**

### **299 Second-hand goods to be kept unchanged at least 14 days**

A second-hand dealer must, in relation to all second-hand goods obtained in the course of the dealer's business, keep the goods for at least 14 days after the goods were obtained without altering the form of the goods, including by cleaning, repairing or repainting them.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

**300            Where second-hand goods to be kept**

Subject to section 318, a second-hand dealer must ensure, in relation to all second-hand goods obtained in the course of the dealer's business, that the goods are kept at business premises or storage premises to which the dealer's licence applies during the period referred to in section 299.

Maximum penalty:        If the offender is a natural person –  
   100 penalty units.

   If the offender is a body corporate –  
   500 penalty units.

**Subdivision 4    Other matters relating to contracts with  
                                 pawnbrokers and second-hand dealers**

**301            Pawnbroker not to charge establishment fee**

- (1) A pawnbroker must not require or receive a fee, other than by way of interest, in respect of a person's application to enter into a contract with the pawnbroker.

Maximum penalty:        If the offender is a natural person –  
   100 penalty units.

   If the offender is a body corporate –  
   500 penalty units.

- (2) A provision in, or condition of:

- (a) a contract; or  
  
(b) an agreement or arrangement in relation to entering into a contract;

for a payment other than interest in consideration for entering into a contract with a pawnbroker is of no effect.

**302            Re-pledging of goods prohibited**

A pawnbroker must not pawn any goods that are pawned to the pawnbroker.

Maximum penalty:        If the offender is a natural person –  
   100 penalty units.

   If the offender is a body corporate –  
   500 penalty units.

**303 No contracting out of liability for loss or damage**

A provision in, or condition of, an agreement or arrangement in relation to the liability of a pawnbroker for the loss of, or damage to, pawned goods has no effect if it is less favourable to the owner of the goods than the common law.

**304 Buyer may be compensated**

Where a person buys or purports to buy goods from a pawnbroker or second-hand dealer and title to the goods, as a matter of law, either:

- (a) does not pass to the buyer; or
- (b) having passed to the buyer, reverts in a person who was the owner of the goods;

the buyer may recover from the pawnbroker or dealer in a court of competent jurisdiction, the amount paid by the buyer to the pawnbroker or dealer and any other costs reasonably incurred in relation to the acquisition and loss of the goods.

**305 Certain rights and remedies saved**

Except to the extent that this Part expressly provides otherwise, nothing in this Part affects a right or remedy that a person would have had if this Part had not been enacted.

**306 Civil consequences of breach of section 247**

If a pawnbroker's licence or a pawnbroker/second-hand dealer's licence is not held by a person who acts as a pawnbroker, the other party to a contract with that person:

- (a) is not liable to repay the money lent to him or her or to pay any interest or any charge in connection with the contract; and
- (b) is entitled to recover the goods the subject of the contract; and
- (c) if he or she has paid or repaid any amount referred to in paragraph (a), may recover the amount in a court of competent jurisdiction as a debt due to him or her by the person acting as a pawnbroker.

### **307 Civil consequences of breach of section 280**

- (1) If a pawnbroker lends money under a contract but does not give to the other party to the contract a pawn ticket in accordance with section 280, the other party is not liable to pay any amount for interest or for any charge in connection with the contract.
- (2) If the other party has paid an amount referred to in subsection (1) that party may recover the amount in a court of competent jurisdiction as a debt due to him or her by the pawnbroker.

### **308 Criminal liability**

- (1) Sections 306 and 307 do not affect the criminal liability of a person for a breach of section 247 or 280 respectively.
- (2) Where a pawnbroker commits an offence against this Part in relation to a contract, the other party to the contract does not by reason only of being a party to the contract take part in committing the offence.

### **309 Breach does not otherwise vitiate contract**

Except as provided by sections 306 and 307, a contract is not illegal, void or unenforceable by reason only that a pawnbroker has contravened this Part in relation to the contract.

### **310 Relief from sections 306 and 307**

- (1) In this section ***pawnbroker*** includes a person who has acted as a pawnbroker although not under a pawnbroker's licence or a pawnbroker/second-hand dealer's licence held by the person.
- (2) A pawnbroker affected by section 306 or 307 may apply to a court of competent jurisdiction for relief from the section.
- (3) Where an application is made under subsection (2), the Court after considering the circumstances, including the conduct of the pawnbroker and the other party to the contract and any loss suffered by the other party, may refuse to make an order or may make an order as to the amount (if any) to be paid or recovered by the other party that it thinks fit.
- (4) If the other party to the contract has suffered loss as a result of a contravention of section 247 or 280 the Court is to ensure in making an order under subsection (3) that the amount that that party would have been liable to pay but for the contravention is reduced by an amount that is not less than the amount of the loss.

- (5) A contract has effect subject to an order, if any, made in relation to it under this section.
- (6) An amount payable to a person under an order of the Court under this section is recoverable in a court of competent jurisdiction as a debt.

## **Division 4          Enforcement**

### **311          Entry to and inspection of licenced premises without warrant**

- (1) A police officer may without warrant enter premises to which a licence applies and inspect goods and records kept at the premises:
  - (a) in the case of business premises, at a time when the premises are open for business; and
  - (b) in the case of storage premises, at a time when business premises to which the licence applies are open for business.
- (2) A police officer may, at a time when business premises to which a licence applies are open for business, require a person who is apparently in charge of the premises to open storage premises to which the licence also applies.
- (3) A police officer may, without warrant, during an inspection of premises for the purposes of this section:
  - (a) enter a room, a storage area or another part of the premises; and
  - (b) require a person on the premises to open or unlock a room, area or part of premises; and
  - (c) where a person on the premises refuses to, or is unable to, open or unlock a room, area or part of premises, use force reasonably necessary to gain entry to the room, area or part; and
  - (d) open and examine the contents of:
    - (i) a package; or
    - (ii) an unlocked container, cupboard, drawer, chest, trunk, box, cage or other receptacle;found on the premises; and



- (e) require a person on the premises to open or unlock a locked container, cupboard, drawer, chest, trunk, box, cage or other receptacle on the premises; and
  - (f) where a person on the premises is unwilling or unable to open or unlock an item referred to in paragraph (e), use force reasonably necessary to open or unlock the item.
- (4) A person must not refuse or fail to comply with a requirement under this section.

Maximum penalty:        If the offender is a natural person –  
   100 penalty units.

   If the offender is a body corporate –  
   500 penalty units.

### **312        Assistance in the location of goods at licenced premises**

- (1) Where a police officer has lawfully entered premises to which a licence applies, the member may require a person who at the time is apparently in charge of the premises to without delay:
  - (a) produce for inspection goods kept by the pawnbroker or second-hand dealer; and
  - (b) identify and locate goods kept by the pawnbroker or second-hand dealer that correspond to a particular record kept by the pawnbroker or dealer under this Part; and
  - (c) where the goods to be produced, located or identified have been but are no longer kept by the pawnbroker or second-hand dealer, provide:
    - (i) information as to the current location of the goods; or
    - (ii) if the current location of the goods is not known, information as to what has happened to the goods.
- (2) In this section, a reference to goods or records kept by a pawnbroker or second-hand dealer is a reference to goods or records kept at premises to which the licence held by the pawnbroker or dealer applies.

- (3) A person must not refuse or fail to comply with a requirement under this section and must not provide information that is false or misleading.

Maximum penalty:        If the offender is a natural person –  
100 penalty units or imprisonment for  
6 months.

                                    If the offender is a body corporate –  
500 penalty units.

### **313        Provision of, and assistance in relation to, records etc.**

- (1) Where a police officer has lawfully entered premises to which a licence applies, the member may require a person who at the time is apparently in charge of the premises to without delay:

(a) produce for inspection a record kept by the pawnbroker or second-hand dealer under this Part; and

(b) identify and locate records kept by the pawnbroker or second-hand dealer under this Part that correspond to particular goods kept by the pawnbroker or dealer; and

(c) produce for inspection a licence relevant to the business.

- (2) In this section, a reference to records or goods kept by a pawnbroker or second-hand dealer is a reference to records or goods kept at premises to which the licence held by the pawnbroker or dealer applies.

- (3) Where the production of a record is required under this section and the record is not in a readable format, the requirement to produce the record is to be treated as a requirement to produce:

(a) the record itself; and

(b) the contents of the record in a readable format.

- (4) A person must not refuse or fail to comply with a requirement under this section and must not provide information that is false or misleading.

Maximum penalty:        If the offender is a natural person –  
100 penalty units or imprisonment for  
6 months.

                                    If the offender is a body corporate –  
500 penalty units.

**314 Police may seize records for certain purposes**

- (1) Where a record kept under this Part is produced for inspection a police officer may seize the record for the purpose of making copies or notes of some or all of the record.
- (2) If a record is seized under this section, then as soon as practicable:
  - (a) a receipt is to be issued; and
  - (b) either the original record is to be returned or a copy of the record is to be given;to the person from whom the record was seized.

**315 Police to be informed in certain circumstances**

A pawnbroker or second-hand dealer who suspects for any reason that goods:

- (a) in the possession of the pawnbroker or dealer may have been stolen or otherwise unlawfully obtained, must without delay inform a police officer of the suspicion and provide a description of the goods; or
- (b) which the pawnbroker is offered for pawn or the dealer is offered for exchange or sale may have been stolen or otherwise unlawfully obtained, must without delay inform a police officer of the suspicion and provide a description of the goods and of the person who offered the goods.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

**316 Information about goods to be given**

A pawnbroker or second-hand dealer must:

- (a) in the prescribed manner and form (which may include an electronic form); and
- (b) at the prescribed time;

provide to prescribed persons such information or records, or such access to information or records, as is prescribed.

Maximum penalty: If the offender is a natural person –  
100 penalty units.

If the offender is a body corporate –  
500 penalty units.

### **317 Notice to stop dealing**

- (1) A police officer who has reasonable cause to suspect that goods in the possession of a pawnbroker or second-hand dealer have been stolen or otherwise unlawfully obtained may personally serve the pawnbroker or dealer with a notice under this section.
- (2) A notice under this section is to:
  - (a) specify the goods suspected of having been stolen or otherwise unlawfully obtained; and
  - (b) state that the pawnbroker or second-hand dealer is prohibited from altering the form of the goods, including by cleaning, repairing and repainting them, or disposing of the goods in any way within 21 days after service of the notice and that the goods specified in the notice are to be held separately from other goods for the period of the notice or a shorter period as agreed.
- (3) A notice under this section may be reissued once for a further period of 21 days from the day the first period ends.
- (4) A pawnbroker or second-hand dealer must not refuse or fail to comply with the provisions of a notice served on the pawnbroker or dealer under this section.

Maximum penalty: If the offender is a natural person –  
100 penalty units or imprisonment for  
6 months.

If the offender is a body corporate –  
500 penalty units.

**318 Certificate may be issued entitling person to return of goods**

- (1) Where a person alleges to the Commissioner of Police that goods that the person had possession of otherwise than as a pawnbroker or second-hand dealer:
- (a) have been stolen or otherwise unlawfully obtained from the person or have been pawned, sold or exchanged without the person's authority; and
  - (b) are in the possession of a pawnbroker or second-hand dealer;
- the Commissioner may issue to the person a certificate specifying that the goods are the property of the person specified in the certificate.
- (2) Where a certificate under subsection (1) is produced to a pawnbroker, a second-hand dealer, or an employee of a pawnbroker or second-hand dealer, in possession of the goods, the pawnbroker, second-hand dealer or employee must, within 7 days after production of the certificate:
- (a) deliver the goods to the person specified in the certificate; or
  - (b) make an application under section 322 in relation to the goods.

Maximum penalty:      If the offender is a natural person –  
100 penalty units or imprisonment for  
6 months.

                                 If the offender is a body corporate –  
500 penalty units.

**319 Seizure of goods suspected stolen**

- (1) Where a police officer has lawfully entered premises to which a licence applies and has reasonable cause to suspect that goods in the possession of a pawnbroker or second-hand dealer have been stolen or otherwise unlawfully obtained, the member may without warrant seize the goods.
- (2) Where goods are seized from a pawnbroker or second-hand dealer a police officer is to:
- (a) issue a receipt for the goods as soon as practicable; and

- (b) where the record is in a signable format and the person who at the time is apparently in charge of the premises so requests, sign the record made under section 279 or 281, as the case may be.
- (3) A pawnbroker or second-hand dealer may request that a police officer, before leaving premises at which goods have been seized under this section, sign a document accurately listing the goods seized, and the member is to comply with the request.
- (4) Where it is established to the satisfaction of a police officer that goods seized from a pawnbroker or second-hand dealer have not been stolen or otherwise unlawfully obtained, a member of the Police Force is to return the goods to the pawnbroker or second-hand dealer as soon as practicable.
- (5) Where subsection (4) does not apply in relation to goods seized from a pawnbroker or second-hand dealer, a police officer:
  - (a) is to return the goods to the owner of the goods; or
  - (b) where the goods are not returned to the owner and competing claims have been made as to rights in respect of the goods, keep the goods in safe custody until the claims have been determined;and in either case, is to notify the pawnbroker or second-hand dealer of the manner of disposal.
- (6) Nothing in subsection (5) affects a right of a pawnbroker or second-hand dealer to take proceedings to recover possession of goods seized from the pawnbroker or dealer but the proceedings are to be commenced within 6 months after the day the goods are seized.

### **320 Power of pawnbrokers, dealers and others to arrest**

- (1) In this section a reference to a pawnbroker or second-hand dealer is also a reference to a person who at the relevant time is in charge of the premises to which the licence relates.
- (2) Where a person offers to pawn, sell, exchange or deliver goods to a pawnbroker or second-hand dealer and the pawnbroker or dealer has reasonable cause to suspect that an offence has been committed by the person in relation to the goods, the pawnbroker or dealer may arrest the person.

**321 Summary orders if stolen goods pawned, sold or exchanged**

- (1) A person may apply for an order under this section where goods:
  - (a) have been stolen or unlawfully obtained from him or her or have been pawned, sold or exchanged without his or her authority; and
  - (b) are in the possession of a pawnbroker or second-hand dealer.
- (2) A copy of an application for an order under subsection (1) is to be served on the pawnbroker or second-hand dealer in relation to whom the application is made.
- (3) The Local Court may make an order for:
  - (a) the delivery of the goods to the owner of the goods; and
  - (b) the payment by or to the pawnbroker or second-hand dealer of an amount of money as determined by the Court;at or by the time stated in the order.
- (4) Where a pawnbroker or second-hand dealer:
  - (a) has disposed of goods after notice that the goods were stolen or unlawfully obtained; or
  - (b) refuses or fails to deliver goods in accordance with an order of the Local Court;

the Court may order that the pawnbroker or dealer pay to the owner of the goods an amount determined by the Court as compensation for the value of the goods.

**322 Summary order where second-hand dealer or pawnbroker claims goods**

- (1) A pawnbroker or second-hand dealer may apply to the Local Court for an order under this section where:
  - (a) a certificate under section 318(2) is produced to the pawnbroker or second-hand dealer, or an employee of the pawnbroker or second-hand dealer, in possession of the goods; or
  - (b) goods in respect of which the pawnbroker or second-hand dealer has rights have been returned to a person under section 319(5)(a).

- (2) Where an application is made under subsection (1), a Justice may issue a summons for the production of the goods and the appearance before the Local Court of each person who appears to the Justice to have a claim of ownership of, or rights in respect of, the goods.
- (3) The Local Court may:
  - (a) make an order for the delivery of the goods to the party who appears to be the rightful owner of the goods; or
  - (b) where the owner cannot be ascertained, make any order with respect to the goods the Court thinks fit;

and may make an order for the payment by or to a party making a claim for the goods of an amount of money as determined by the Court at or by the time stated in the order.

### **323 Summary orders where competing claims to goods**

- (1) A police officer may apply to the Local Court for an order under this section where the member:
  - (a) has seized under this Part; or
  - (b) under any other written law has seized from:
    - (i) a pawnbroker or second-hand dealer; or
    - (ii) a person who obtained from a pawnbroker or second-hand dealer;

goods suspected to have been stolen or otherwise unlawfully obtained, and competing claims have been made as to rights in respect of the goods.
- (2) A copy of an application for an order under subsection (1) is to be served on each person who, in the opinion of the Local Court, has made a competing claim as to rights in respect of the goods.
- (3) The Local Court may:
  - (a) make an order for the delivery of the goods to the party who appears to be the rightful owner of the goods; or
  - (b) where the owner cannot be ascertained, make such order with respect to the goods as the Court thinks fit;



and may make an order for the payment by or to a party making a claim for the goods of an amount of money as determined by the Court at or by the time stated in the order.

### **324      Licencee's liability for employees, agents**

- (1) Where an employee or agent of the licensee commits an offence against this Part for which the licensee would have been liable had it been committed by the licensee, the licensee is to be treated as having committed an offence and is liable to the penalty prescribed for the offence committed by the employee or agent.
- (2) Proceedings under this section may be taken against a licensee whether or not proceedings are taken against the employee or agent and whether or not the employee or agent was convicted of the offence.
- (3) In proceedings for an offence against this section:
  - (a) it is not a defence that the licensee did not know of, or could not reasonably have been aware of or have prevented, the offence being committed by the employee or agent; and
  - (b) it is a defence, proof of which is on the licensee, that the licensee had taken reasonable steps to prevent the commission of the offence.

### **325      Liability of partners, bodies corporate and officers**

- (1) Where a licence is held by a partnership or body corporate and the licensee or an employee or agent of the partnership or body commits an offence against this Part:
  - (a) subject to subsection (2), each of the partners; or
  - (b) the body corporate;as the case may be, is to be treated as having committed an offence and is liable to the penalty prescribed for the offence committed by the licensee or employee or agent of the partnership or body.
- (2) Subsection (1) does not apply to a partner who proves that:
  - (a) the offence was committed without the partner's consent or connivance; and

- (b) the partner exercised all such due diligence to prevent the commission of the offence as ought to have been exercised, having regard to the nature of the partner's functions and to all the circumstances.
- (3) Where a body corporate is treated as having committed an offence against this Part, each of the body's officers may be treated as having committed the offence unless the officer proves that:
  - (a) the offence was committed without the officer's consent or connivance; and
  - (b) the officer exercised all such due diligence to prevent the commission of the offence as ought to have been exercised, having regard to the nature of the officer's functions and to all the circumstances.
- (4) The reference in subsection (2) to a partner does not include a reference to a partner who is also the licensee and the defence available to an officer under subsection (3) is not available to an officer who is also the licensee.

## **Division 5      Miscellaneous**

### **326      Duty to advise pawn ticket holders where pawn-broking business sold**

- (1) Where a pawnbroker sells or transfers his or her business to a person (***the purchaser***), the rights and obligations of the pawnbroker in relation to each pawn ticket issued by the pawnbroker are transferred to the purchaser.
- (2) The pawnbroker must notify each holder of a pawn ticket of the transfer to the purchaser of the rights and obligations of the pawnbroker in relation to the ticket, unless the purchaser agrees in writing to give the notice.

Maximum penalty:      If the offender is a natural person –  
20 penalty units or imprisonment for  
6 months.

                                    If the offender is a body corporate –  
100 penalty units.

- (3) Where the purchaser agrees to give notice under subsection (2), he or she must notify each holder of a pawn ticket of the transfer of the rights and obligations of the pawnbroker in relation to the ticket to the purchaser.

Maximum penalty: If the offender is a natural person –  
20 penalty units or imprisonment for  
6 months.

If the offender is a body corporate –  
100 penalty units.

### **327 Orders to enable redemption of goods where licence revoked etc.**

- (1) If a person who has pawned goods to a pawnbroker is unable to redeem the goods because the pawnbroker's licence has been subsequently suspended, revoked or not renewed, the Local Court may, on the application of any person, make the orders the Court thinks fit in relation to conducting business at the premises for the purpose of redeeming the goods.
- (2) Nothing in subsection (1) affects any power of the Commissioner or a court in relation to licences.
- (3) A person must not, without reasonable excuse, refuse or fail to comply with an order under this section.

Maximum penalty: If the offender is a natural person –  
100 penalty units or imprisonment for  
6 months.

If the offender is a body corporate –  
500 penalty units.

### **328 Secrecy**

- (1) Except as provided in this section, a person must not directly or indirectly record, use or divulge any information obtained by reason of any function that person has, or at any time had, in the administration of this Act or the *Pawnbrokers Act* repealed by the *Consumer Affairs and Fair Trading Amendment Act 1997*.

Maximum penalty: If the offender is a natural person –  
400 penalty units or imprisonment for  
2 years.

If the offender is a body corporate –  
2 000 penalty units.

- (2) Subsection (1) does not apply to the recording, use or divulging of information:
  - (a) in the course of duty; or
  - (b) under this Part or another law; or
  - (c) for the purposes of the investigation of a suspected offence or the conduct of proceedings against a person for an offence; or
  - (d) with the consent of the person to whom the information relates, or each of them if there is more than one.
- (3) Subsection (1) does not apply to the recording, use or divulging of statistical or other information that could not reasonably be expected to lead to the identification of a person to whom it relates.

### **329 Evidentiary provisions**

In all courts and before all persons and bodies authorised to receive evidence:

- (a) goods are to be treated as being in the possession of a pawnbroker or second-hand dealer if the goods are in a place that is occupied by, or under the control of, the pawnbroker or dealer; and
- (b) in the absence of evidence to the contrary:
  - (i) a certificate purporting to be issued by the Commissioner, Deputy Commissioner or Commissioner's delegate and stating that a licence is or is not held by or on behalf of a person, the conditions or restrictions to which a licence is subject, or the premises to which a licence applies, on a day or days or during a period mentioned in the certificate, is evidence of the matters so stated; and
  - (ii) proof is not required of any delegation under this Part or of the appointment, or terms of appointment, of any licensing officer under this Part; and
- (c) judicial notice must be taken, for this Part, of:
  - (i) the fact that a person is the Commissioner or Deputy Commissioner; and
  - (ii) the signature of the Commissioner, Deputy Commissioner or Commissioner's delegate on a certificate purporting to be issued under paragraph (b).

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## **Part 15                    Miscellaneous**

### **330A        Prosecutions**

- (1) Proceedings for an offence against this Act, other than the Australian Consumer Law (NT), may only be commenced:
  - (a) within 2 years after the date on which the offence is alleged to have been committed; or
  - (b) with the authorisation of the Minister, at a later time within 5 years after the date on which the offence is alleged to have been committed.
- (2) In any proceedings, an apparently genuine document purporting to be an authorisation under subsection (1) is to be accepted, in the absence of proof to the contrary, as proof of the authorisation.

### **330           Offences by bodies corporate**

- (1) Subject to subsection (2), where a body corporate is found guilty of an offence under this Act, each person who is a director of the body corporate or otherwise concerned in its management shall be deemed to have committed that offence, and is liable to be proceeded against and punished accordingly.
- (2) In proceedings brought against a person by virtue of subsection (1), it is a defence for that person to prove that he or she could not by the exercise of reasonable diligence have prevented the commission of the offence by the body corporate.

### **331           Liability for act or default of officer, employee or agent**

For this Act, other than Part 5 and the Australian Consumer Law (NT), an act or default of an officer, employee or agent of a person carrying on a business will be taken to be an act or default of that person unless it is proved that the officer, employee or agent acted outside the scope of his or her actual, usual and ostensible authority.

### **332           Infringement notices**

- (1) Regulations made under this Act may:
  - (a) prescribe the payment of a prescribed amount in lieu of a penalty which may otherwise be imposed for an offence against this Act or Regulations made under this Act; and

- (b) prescribe the service of notices on persons alleged to have infringed this Act or Regulations made in relation to this Act and particulars to be included in such notices.
- (2) The Commissioner is an enforcement agency for the purposes of the *Fines and Penalties (Recovery) Act*.

### **333 Allegation that person was a consumer**

If it is alleged in any proceedings under this Act, or in any other proceedings in respect of a matter arising under this Act, that a person was a consumer in relation to particular goods or services, it shall be presumed until the contrary is established that that person was a consumer in relation to those goods or services.

### **334 Service of documents**

- (1) Where by this Act a notice or other document is required to be given to or served on a person, it may, subject to this Act, be given or served:
  - (a) to or on an individual – as mentioned in subsection (2); or
  - (b) to or on a body corporate – as mentioned in subsection (3).
- (2) In the case of an individual, the notice or other document may be given or served:
  - (a) by delivering it to the individual personally; or
  - (b) by leaving it at the individual's place of residence last known to the person who issued it with a person who apparently resides there and who has, or apparently has, attained the age of 16 years; or
  - (c) without limiting the other provisions of this subsection, where the individual is the holder of a licence under Part 10 or 11, by leaving it at a place at which the holder is authorised to carry on the business to which the licence relates with a person who is apparently employed at that place and who has, or apparently has, attained the age of 16 years; or
  - (d) by sending it by prepaid post addressed to the individual at the place of residence referred to in paragraph (b) or, where the individual is the holder of a licence under Part 10 or 11, a place referred to in paragraph (c).

- (3) In the case of a body corporate, the notice or other document may be given or served:
- (a) by delivering it to a person who is, or apparently is, concerned in the management of the body corporate; or
  - (b) by leaving it at the registered office of the body corporate with a person who is apparently employed there and who has, or apparently has, attained the age of 16 years; or
  - (c) without limiting the other provisions of this subsection, where the body corporate is the holder of a licence under Part 10 or 11, by leaving it at a place at which the holder is authorised to carry on the business to which the licence relates with a person who is apparently employed at that place and who has, or apparently has, attained the age of 16 years; or
  - (d) by sending it by prepaid post addressed to the body corporate at its registered office or, where the body corporate is the holder of a licence under Part 10 or 11, a place referred to in paragraph (c).
- (4) Nothing in this section affects:
- (a) the operation of any other law of the Territory that authorises the service of a document in any other way; or
  - (b) the power of a court to authorise service of a document in any other way.

### **335      Secrecy**

- (1) Subject to subsection (2), a person shall not divulge or communicate information which the person acquires by reason of being employed or engaged or otherwise concerned in or in connection with the administration or enforcement of this Act except:
- (a) with the consent of the person from whom the information was obtained; or
  - (b) in connection with the administration or enforcement of this Act; or
  - (c) to the Commissioner of Police; or

(d) for the purposes of legal proceedings.

Maximum penalty: If the offender is a natural person –  
400 penalty units or imprisonment for  
2 years.

If the offender is a body corporate –  
2 000 penalty units.

- (2) Notwithstanding subsection (1), the Commissioner or a person authorised by the Commissioner may communicate to the appropriate Minister or official of the Crown in right of the Commonwealth or of the Territory or of any State or other Territory of the Commonwealth information which the Commissioner considers should be communicated for the purpose of the administration or enforcement of any law of the Commonwealth, of the Territory, or of any State or other Territory of the Commonwealth.

### **336 Protection from liability**

- (1) A person is not civilly or criminally liable for an act done or omitted to be done by the person in good faith in the exercise of a power or performance of a function as any of the following:
- (a) the Commissioner;
  - (b) the Deputy Commissioner;
  - (c) a member of a committee appointed under this Act;
  - (d) a person otherwise employed or engaged in, or in connection with, the administration or enforcement of this Act.
- (2) Subsection (1) does not affect any liability the Territory would, apart from that subsection, have for the act or omission.
- (3) This section has effect subject to Part VIIA of the *Police Administration Act* to the extent it relates to the civil liability of a person who is or has been a police officer.
- (4) In this section:

**exercise**, of a power, includes the purported exercise of the power.

**performance**, of a function, includes the purported performance of the function.



**337 Power to grant exemptions**

- (1) Regulations may provide that a statutory body specified in the regulations is not required to hold a licence under Part 11.
- (2) The Minister may, by *Gazette* notice, exempt (conditionally or unconditionally) from the application of a specified provision of this Act:
  - (a) a specified person or persons of a specified class or description; or
  - (b) specified goods or goods of a specified class or description; or
  - (c) specified transactions or transactions of a specified class or description.
- (3) The Minister may, by instrument in writing, delegate to a named person or the holder from time to time of a specified designation, office or position, his or her power of exemption under subsection (2).

**338 Regulations**

- (1) The Administrator may make regulations under this Act.
- (3) A regulation may provide for an offence punishable by a penalty not exceeding 100 penalty units for a natural person or 500 penalty units for a body corporate.

**338A Authorisation for purposes of *Competition and Consumer Act 2010* (Cth)**

- (1) Anything done in accordance with the code of practice prescribed for the purposes of this Act in the *Consumer Affairs and Fair Trading (Tow Truck Operators Code of Practice) Regulations* is authorised for the purposes of section 51 of the *Competition and Consumer Act 2010* (Cth).
- (2) Things authorised to be done under subsection (1) are authorised only to the extent that they would otherwise contravene Part IV of the *Competition and Consumer Act 2010* (Cth).

**Part 16 Repeals and transitional matters****339 Repeals**

The enactments specified in Schedule 2 are repealed.

**340      Savings and transitional provisions**

Schedule 3 has effect.

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**Schedule 2      Repeals**

section 339

1.      Consumer Protection  
*Consumer Protection Ordinance 1978*      No. 41, 1978  
*Consumer Protection Amendment Act 1983*      No. 17, 1983
2.      Door-to-Door Sales  
*Door to Door Sales Ordinance 1967*      No. 47, 1967  
*Door to Door Sales Ordinance 1973*      No. 14, 1973  
*Door to Door Sales Ordinance (No. 2) 1973*      No. 62, 1973
3.      False Advertising  
*False Advertising Ordinance 1970*      No. 58, 1970
4.      Motor Vehicle Dealers  
*Motor Vehicle Dealers Act 1979*      No. 85, 1979  
*Motor Vehicle Dealers Regulations*  
(comprising Regulations No. 22, 1980 and  
No. 4, 1981)
5.      Unordered Goods and Services  
*Unordered Goods and Services Ordinance 1972*      No. 74, 1972
6.      Trading Stamps  
*Trading Stamp Act, 1904* of the State of South Australia (No. 859  
of 1904) so far as that Act applies to the Territory as a law of the  
Territory

## Schedule 3 Savings and transitional provisions

section 340

### Part 1 Transitional matters for period before *Consumer Affairs and Fair Trading Amendment (National Uniform Legislation) Act 2010*

#### 1. Application of *Interpretation Act*

The provisions of this Part do not prejudice the operation in relation to the repeals effected by this Act of section 12 of the *Interpretation Act* so far as that section is consistent with those provisions.

#### 3. Door to Door Sales

Notwithstanding the repeal of the enactments specified in paragraph 2 of Schedule 2, the *Door to Door Sales Ordinance 1967* as amended shall, on and after the day on which the repeal takes effect, continue to have effect in relation to agreements to which it applied immediately before that day.

#### 4. Motor Vehicle Dealers

- (1) In this paragraph, ***appointed day*** means the day on which the repeal of the enactments specified in paragraph 4 of Schedule 2 takes effect.
- (2) If it appears to the Commissioner to be desirable to do so having regard to the length of time between:
  - (a) the end of the period covered by the report on the operation of the *Motor Vehicle Dealers Act 1979* last submitted to the Minister pursuant to section 45 of that Act; and
  - (b) the appointed day;

the Commissioner shall, as soon as practicable after the appointed day, submit to the Minister a report on the operation of the Act from the end of that period to the appointed day; and section 12(4) of this Act shall apply to the report as it applies to a report under that section.

- (3) Licences of individual dealers in force under the *Motor Vehicle Dealers Act 1979* immediately before the appointed day shall be treated as from that day as having been granted under Part X of this Act (and shall be so treated, and of full force and effect under Part 10, notwithstanding that they do not comply as to form or content with the requirements of that Part); and where, immediately

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before the appointed day, a single licence under the Act of 1979 is held by 2 or more dealers carrying on business as such in partnership, each of those dealers shall be treated as from that day as holding a licence granted under Part 10 in the terms of that licence.

- (4) The Commissioner may issue to a dealer referred to in subparagraph (3) a licence in a form issued under Part 10 endorsed with the terms to which the licence, by virtue of that subparagraph, is subject.
- (5) For the purposes of section 129(1), a place at which a licenced dealer carries on business as such immediately before the appointed day shall be treated as from that day as specified in the dealer's licence as it has effect by virtue of subparagraph (3).
- (6) In relation to a licence to which subparagraph (3) applies, section 153 shall have effect as if it required the dealer to cause the licence to be exhibited at all times in a conspicuous position at the place where the dealer carries on business as such or, if the dealer carries on business as such at 2 or more places, at whichever of those is the dealer's principal place of business.
- (7) Where subparagraph (3) has effect, section 174 shall have effect as if the reference in subsection (1) to each place of business specified in a dealer's licence were a reference to each place of business at which the dealer carries on business as such.
- (8) The Register of Motor Vehicle Dealers kept immediately before the appointed day pursuant to section 6 of the *Motor Vehicle Dealers Act 1979* shall be treated as from that day as the Register of Motor Vehicle Dealers kept pursuant to section 155 of this Act.
- (9) As from the appointed day, all authorities given for the purposes of section 21 of the *Motor Vehicle Dealers Act 1979* shall cease to have effect.

## **5. Unordered Goods and Services**

Notwithstanding its repeal, the *Unordered Goods and Services Ordinance 1972* as amended shall, on and after the day on which the repeal takes effect, continue to have effect in relation to events and matters to which it applied immediately before that day.

## **6. Regulations**

- (1) Regulations may make further provision of a savings or transitional nature consequential on the repeal of any of the enactments specified in Schedule 2.

- (2) A provision referred to in subparagraph (1) shall, if the regulations so provide, have effect despite any other paragraph of this Schedule.

**Part 2 Transitional matters for *Consumer Affairs and Fair Trading Amendment (National Uniform Legislation) Act 2010***

**1 Definitions**

In this Part:

***amendment Act*** means the *Consumer Affairs and Fair Trading Amendment (National Uniform Legislation) Act 2010*.

***commencement*** means the commencement of section 13 of the amendment Act.

***new Act*** means the *Consumer Affairs and Fair Trading Act* as in force immediately after the commencement.

***old Act*** means the *Consumer Affairs and Fair Trading Act* as in force immediately before the commencement.

**2 *Interpretation Act* not affected**

This Part does not limit Part III of the *Interpretation Act*.

**3 Authorised officers**

A person who, immediately before the commencement, was an authorised officer for the purposes of a Part of the old Act is taken to be an authorised officer for the same Part of the new Act.

**4 Proceedings already commenced**

- (1) The old Act continues to apply to or in relation to any proceedings under or in relation to the old Act that were commenced, but not concluded, before the commencement.
- (2) However, to the extent any such proceedings are proceedings for an injunction under section 89 of the old Act, the proceedings are taken, after the commencement, to be proceedings for an injunction under section 232 of the Australian Consumer Law (NT).

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**5 Sale price of motor vehicle partly recoverable if certain offence committed**

- (1) This paragraph applies if:
  - (a) before or after the commencement, a person is found guilty of an offence consisting of a contravention of section 44 of the old Act committed by falsely stating:
    - (i) the year in which a motor vehicle was manufactured or first registered; or
    - (ii) the model designation of the vehicle; and
  - (b) a person (the **purchaser**) purchased the motor vehicle relying on the statement; and
  - (c) before the commencement, the purchaser had not made an application under section 162(1).
- (2) The purchaser may apply to the Commissioner for an order under section 162(2).
- (3) The application must be dealt with as if it were made under section 162(1).

**6 Unfair contract terms**

- (1) Part 2-3 of the Australian Consumer Law (NT) applies to a contract entered into on or after the commencement.
- (2) If an old contract is renewed on or after the commencement, Part 2-3 of the Australian Consumer Law (NT) applies to the contract on and from the day on which the renewal takes effect, in relation to conduct that occurs on or after that day.
- (3) If a term of an old contract (to which subparagraph (2) has not already applied) is varied on or after the commencement:
  - (a) Part 2-3 of the Australian Consumer Law (NT) applies to the term as varied on and from the day on which the variation takes effect, in relation to conduct that occurs on or after that day; and
  - (b) sections 23(2) and 27 of the Australian Consumer Law (NT) apply to the contract.

(4) In this paragraph:

**old contract** means a contract entered into before the commencement.

## **7 Requests for itemised bills**

Section 101 of the Australian Consumer Law (NT) does not apply in relation to a supply of services to the extent the services were supplied before the commencement.

## **8 Pecuniary penalties – having regard to previous findings**

The reference in section 224(2)(c) of the Australian Consumer Law (NT) to proceedings under Chapter 4 or Part 5-2 of the Australian Consumer Law (NT) includes a reference to proceedings, commenced before the commencement, under or in relation to:

- (a) Part 6 of the old Act; or
- (b) Part VC or VI of the *Trade Practices Act 1974* (Cth); or
- (c) equivalent provisions of a law of a State or another Territory.

## **9 Transitional regulations**

- (1) A regulation may provide for a matter of a transitional nature:
  - (a) because of the enactment of the amendment Act; or
  - (b) to otherwise allow or facilitate the transition from the operation of the old Act to the new Act.
- (2) The regulation may have retrospective operation to a day not earlier than the day of commencement.
- (3) However, to the extent to which the regulation has retrospective operation, it does not operate to the disadvantage of a person (other than the Territory or a Territory authority) by:
  - (a) decreasing the person's rights; or
  - (b) imposing liabilities on the person.
- (4) The regulation must declare it is made under this paragraph.
- (5) This paragraph, and each regulation made under it, expires 1 year after the commencement.



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## ENDNOTES

**1****KEY**

Key to abbreviations

amd = amended  
 app = appendix  
 bl = by-law  
 ch = Chapter  
 cl = clause  
 div = Division  
 exp = expires/expired  
 f = forms  
 Gaz = Gazette  
 hdg = heading  
 ins = inserted  
 lt = long title  
 nc = not commenced

od = order  
 om = omitted  
 pt = Part  
 r = regulation/rule  
 rem = remainder  
 renum = renumbered  
 rep = repealed  
 s = section  
 sch = Schedule  
 sdiv = Subdivision  
 SL = Subordinate Legislation  
 sub = substituted

**2****LIST OF LEGISLATION*****Consumer Affairs and Fair Trading Act 1990 (Act No. 49, 1990)***

Assent date	4 October 1990
Commenced	ss 1 to 96, 123, 124, 232, 239 and sch 2 paras 1, 3, 5 and 6: 1 April 1991 (Gaz S20, 28 March 1991); ss 226 to 231: 1 April 1992 (Gaz G12, 25 March 1992, p 2); ss 97 to 113: 1 July 1992 (Gaz G23, 10 June 1992, p 3); sch 2 para 2: 9 September 1992 (Gaz G36, 9 September 1992, p 3); ss 125 to 175, 177 to 184, sch 2 para 4: 14 December 1992 (Gaz G49, 9 December 1992, p 6); s 176: 26 February 1993 (Gaz S19, 26 February 1993); ss 114 to 122: 1 May 1993 (Gaz G17, 28 April 1993, p 2); ss 185 to 225: 1 July 1995 (Gaz G26, 28 June 1995, p 2)

***Public Sector Employment and Management (Consequential Amendments) Act 1993 (Act No. 28, 1993)***

Assent date	30 June 1993
Commenced	1 July 1993 (s 2, s 2 <i>Public Sector Employment and Management Act 1993</i> (Act No. 11, 1993) and Gaz S53, 29 June 1993)

***Consumer Affairs and Fair Trading Amendment Act 1993 (Act No. 43, 1993)***

Assent date	22 September 1993
Commenced	22 September 1993

***Local Government (Consequential Amendments) Act 1993 (Act No. 84, 1993)***

Assent date	31 December 1993
Commenced	1 June 1994 (s 2, s 2 <i>Local Government Act 1993</i> (Act No. 83, 1993) and Gaz S35, 20 May 1994)

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**Statute Law Revision Act 1994 (Act No. 50, 1994)**

Assent date 20 September 1994  
Commenced 20 September 1994

**Consumer Affairs and Fair Trading Amendment Act 1996 (Act No. 9, 1996)**

Assent date 20 March 1996  
Commenced s 15: 1 November 1996; rem: 17 April 1996 (Gaz G35, 28 August 1996, p 2 and Gaz G16, 17 April 1996, p 5)

**Sentencing (Consequential Amendments) Act 1996 (Act No. 17, 1996)**

Assent date 19 April 1996  
Commenced s 7: 19 April 1996; rem: 1 July 1996 (s 2, s 2 *Sentencing Act 1995* (Act No. 39, 1995) and Gaz S15, 13 June 1996)

**Consumer Affairs and Fair Trading Amendment Act 1997 (Act No. 13, 1997)**

Assent date 11 April 1997  
Commenced 1 July 1998 (Gaz G28, 1 July 1998, p 1)

**Mental Health and Related Services (Consequential Amendments) Act 1999 (Act No. 11, 1999)**

Assent date 25 March 1999  
Commenced 1 February 2000 (s 2, s 2 *Mental Health and Related Services Act 1998* (Act No. 63, 1998) and Gaz G3, 26 January 2000, p 2)

**Statute Law Revision Act 2000 (Act No. 19, 2000)**

Assent date 6 June 2000  
Commenced 12 July 2000 (Gaz G27, 12 July 2000, p 2)

**Corporations Reform (Consequential Amendments NT) Act 2001 (Act No. 17, 2001)**

Assent date 29 June 2001  
Commenced 15 July 2001 (s 2, s 2 *Corporations Act 2001* (Cth Act No. 50, 2001) and Cth Gaz S285, 13 July 2001)

**Fines and Penalties (Recovery) (Consequential Amendments) Act 2001 (Act No. 60, 2001)**

Assent date 11 December 2001  
Commenced 1 January 2002 (s 2, s 2 *Fines and Penalties (Recovery) Act 2001* (Act No. 59, 2001) and Gaz G50, 19 December 2001, p 3)

**Statute Law Revision Act 2002 (Act No. 18, 2002)**

Assent date 7 June 2002  
Commenced 7 June 2002

**Consumer Affairs and Fair Trading Amendment Act 2002 (Act No. 41, 2002)**

Assent date 13 September 2002  
Commenced ss 34 to 36, 59, 60, 83, 84: 1 July 2006 (Gaz G20, 17 May 2006, p 6); rem: 1 December 2002 (Gaz G47, 27 November 2002, p 2)

**Amending Legislation**

**Statute Law Revision Act (No. 2) 2003 (Act No. 44, 2003)**

Assent date 7 July 2003  
Commenced 7 July 2003

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**Statute Law Revision Act 2005 (Act No. 44, 2005)**

Assent date 14 December 2005  
Commenced 14 December 2005

**Statute Law Revision Act 2003 (Act No. 12, 2003)**

Assent date 18 March 2003  
Commenced 18 March 2003

**Consumer Affairs and Fair Trading Amendment Act 2003 (Act No. 2, 2003)**

Assent date 18 March 2003  
Commenced 1 May 2003 (Gaz G17, 30 April 2003, p 3)

**Statute Law Revision Act (No. 2) 2003 (Act No. 44, 2003)**

Assent date 7 July 2003  
Commenced 7 July 2003

**Consumer Affairs and Fair Trading Amendment Act 2004 (Act No. 25, 2004)**

Assent date 28 April 2004  
Commenced 28 April 2004

**Justice Portfolio (Miscellaneous Amendments) Act 2005 (Act No. 20, 2005)**

Assent date 6 May 2005  
Commenced 13 July 2005 (Gaz G28, 13 July 2005, p 3)

**Consumer Affairs and Fair Trading Amendment Act 2006 (Act No. 23, 2006)**

Assent date 18 September 2006  
Commenced s 4: 8 November 2006 (Gaz G45, 8 November 2006, p 2);  
ss 18 and 19: 1 January 2011 (s 2, s 2 *Consumer Affairs and Fair Trading Amendment (National Uniform Legislation) Act 2010* (Act No. 41, 2010) and Gaz S71, 20 December 2010);  
rem: rep (Act No. 41, 2010)

**Amending Legislation**

**Consumer Affairs and Fair Trading Amendment (National Uniform Legislation) Act 2010 (Act No. 41, 2010)**

Assent date 8 December 2010  
Commenced 1 January 2011 (Gaz S71, 20 December 2010)

**Statute Law Revision Act 2007 (Act No. 4, 2007)**

Assent date 8 March 2007  
Commenced 8 March 2007

**Statute Law Revision Act 2008 (Act No. 6, 2008)**

Assent date 11 March 2008  
Commenced 11 March 2008

**Justice Legislation Amendment Act (No. 2) 2008 (Act No. 27, 2008)**

Assent date 17 October 2008  
Commenced pt 2, div 3: 1 January 2006; rem: 17 October 2008 (s 2)

**Justice Legislation Amendment (Penalties) Act 2010 (Act No. 12, 2010)**

Assent date 20 May 2010  
Commenced 1 July 2010 (Gaz G24, 16 June 2010, p 2)

**Consumer Credit (National Uniform Legislation) Implementation Act 2010 (Act No. 14, 2010)**

Assent date	20 May 2010
Commenced	1 April 2010 (s 2 and s 2 <i>National Consumer Credit Protection Act 2009</i> (Cth Act No. 134, 2009))

**Statute Law Revision Act 2010 (Act No. 29, 2010)**

Assent date	9 September 2010
Commenced	13 October 2010 ( <i>Gaz G41</i> , 13 October 2010, p 2)

**Consumer Affairs and Fair Trading Amendment (National Uniform Legislation) Act 2010 (Act No. 41, 2010)**

Assent date	8 December 2010
Commenced	1 January 2011 ( <i>Gaz S71</i> , 20 December 2010)

**Oaths, Affidavits and Declarations (Consequential Amendments) Act 2010 (Act No. 40, 2010)**

Assent date	18 November 2010
Commenced	1 March 2011 (s 2, s 2 <i>Oaths, Affidavits and Declarations Act 2010</i> (Act No. 39, 2010) and <i>Gaz G7</i> , 16 February 2011, p 4)

**Justice and Other Legislation Amendment Act 2011 (Act No. 25, 2011)**

Assent date	31 August 2011
Commenced	21 September 2011 ( <i>Gaz G38</i> , 21 September 2011, p 5)

**3 SAVINGS AND TRANSITIONAL PROVISIONS**

- s 16 *Consumer Affairs and Fair Trading Amendment Act 1996* (Act No. 9, 1996)
- s 126 *Consumer Affairs and Fair Trading Amendment Act 2002* (Act No. 41, 2002)
- s 4 *Consumer Affairs and Fair Trading Amendment Act 2003* (Act No. 2, 2003)

**4 LIST OF AMENDMENTS**

It	amd No. 9, 1996, s 4; No. 27, 2008, s 4 sub No. 41, 2010, s 4
pt 1 hdg	amd No. 13, 1997, s 7; No. 41, 2010, s 24
s 3	amd No. 13, 1997, s 7; No. 41, 2010, s 5
s 4	amd No. 13, 1997, s 7; No. 19, 2000, s 9; No. 17, 2001, s 21; No. 41, 2002, s 4; No. 27, 2008, s 5; No. 29, 2010, s 7; No. 41, 2010, s 24
s 5	amd No. 13, 1997, s 7; No. 41, 2010, s 24
ss 5A – 5B	ins No. 41, 2010, s 6
pt 2 hdg	amd No. 13, 1997, s 7; No. 41, 2010, s 24
s 6	amd No. 28, 1993, s 3
s 7	amd No. 41, 2010, ss 7 and 23
s 8	amd No. 17, 1996, s 6; No. 41, 2002, s 5; No. 12, 2010, s 3; No. 41, 2010, s 24; No. 40, 2010, s 118
s 8A	ins No. 23, 2006, s 4 rep No. 41, 2010, s 8
s 9	amd No. 84, 1993, s 6; No. 41, 2010, s 24
s 9A	ins No. 27, 2008, s 6
ss 10 – 11	amd No. 28, 1993, s 3; No. 41, 2010, s 24
s 12	amd No. 9, 1996, s 5; No. 13, 1997, s 4; No. 41, 2010, s 9

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pt 2	
div 2 hdg	rep No. 41, 2010, s 10
ss 13 – 17	rep No. 41, 2010, s 10
pt 3 hdg	amd No. 13, 1997, s 7
s 18	amd No. 13, 1997, s 7
	sub No. 41, 2010, s 11
s 20	amd No. 41, 2002, s 6; No. 41, 2010, s 24
s 21	amd No. 17, 1996, s 6; No. 41, 2010, s 12
s 22	amd No. 41, 2010, s 24
s 23	amd No. 41, 2002, s 7; No. 12, 2010, s 3; No. 41, 2010, s 24
s 24	amd No. 41, 2010, s 24
pt 4 hdg	amd No. 13, 1997, s 7
	sub No. 41, 2010, s 13
pt 4	
div 1 hdg	rep No. 41, 2010, s 13
ss 25 – 27	rep No. 41, 2010, s 13
pt 4	
div 2 hdg	rep No. 41, 2010, s 13
s 28	rep No. 41, 2010, s 13
s 29	amd No. 20, 2005, s 13; No. 12, 2010, s 3
	rep No. 41, 2010, s 13
pt 4	
div 3 hdg	rep No. 41, 2010, s 13
ss 30 – 35	rep No. 41, 2010, s 13
s 36	amd No. 20, 2005, s 14; No. 12, 2010, s 3
	rep No. 41, 2010, s 13
s 37	rep No. 41, 2010, s 13
pt 4	
div 4 hdg	rep No. 41, 2010, s 13
ss 38 – 39	rep No. 41, 2010, s 13
pt 4	
div 5 hdg	rep No. 41, 2010, s 13
s 40	rep No. 41, 2010, s 13
pt 4 hdg	ins No. 41, 2010, s 13
pt 4	
div 1 hdg	ins No. 41, 2010, s 13
s 25	ins No. 41, 2010, s 13
pt 4	
div 2 hdg	ins No. 41, 2010, s 13
ss 26 – 28	ins No. 41, 2010, s 13
s 29	ins No. 41, 2010, s 13
	amd No. 25, 2011, s 10
ss 30 – 31	ins No. 41, 2010, s 13
pt 4	
div 3 hdg	ins No. 41, 2010, s 13
ss 32 – 33	ins No. 41, 2010, s 13
pt 4	
div 4 hdg	ins No. 41, 2010, s 13
ss 34 – 38	ins No. 41, 2010, s 13
pt 4	
div 5 hdg	ins No. 41, 2010, s 13
ss 39 – 40	ins No. 41, 2010, s 13
pt 5 hdg	amd No. 13, 1997, s 7
	sub No. 41, 2010, s 13
ss 41 – 47	ins No. 41, 2010, s 13
s 48	previous s 68A ins No. 2, 2003, s 3
	renum No. 41, 2010, s 14
pt 5	
div 1 hdg	rep No. 41, 2010, s 13

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ss 41 – 55	rep No. 41, 2010, s 13
s 56	rep No. 20, 2005, s 15
s 57	rep No. 41, 2010, s 13
s 58	amd No. 41, 2002, s 8; No. 12, 2010, s 3 rep No. 41, 2010, s 13
s 59	rep No. 41, 2010, s 13
s 60	amd No. 18, 2002, s 6; No. 12, 2003, s 18 rep No. 41, 2010, s 13
pt 5	
div 1A hdg	ins No. 20, 2005, s 16
ss 60A – 60D	ins No. 20, 2005, s 16 rep No. 41, 2010, s 13
pt 5	
div 2 hdg	rep No. 41, 2010, s 13
ss 61 – 68	rep No. 41, 2010, s 13
s 68A	ins No. 2, 2003, s 3 renum No. 41, 2010, s 14
ss 69 – 71	rep No. 41, 2010, s 13
pt 5	
div 3 hdg	rep No. 41, 2010, s 13
ss 72 – 82	rep No. 41, 2010, s 13
pt 5	
div 4 hdg	om No. 29, 2010, s 7
ss 83 – 86	rep No. 14, 2010, s 4
pt 6 hdg	amd No. 13, 1997, s 7; No. 20, 2005, s 17 rep No. 41, 2010, s 15
s 87	amd No. 13, 1997, s 7; No. 20, 2005, s 23 rep No. 41, 2010, s 15
s 88	amd No. 17, 1996, s 6; No. 13, 1997, s 7; No. 41, 2002, s 9; No. 20, 2005, s 18; No. 12, 2010, s 3 rep No. 41, 2010, s 15
s 89	amd No. 13, 1997, s 7; No. 41, 2002, s 10; No. 20, 2005, s 23 rep No. 41, 2010, s 15
s 90	amd No. 13, 1997, s 7; No. 41, 2002, s 11; No. 20, 2005, s 23 rep No. 41, 2010, s 15
ss 91 – 94	amd No. 13, 1997, s 7; No. 20, 2005, s 23 rep No. 41, 2010, s 15
s 95	amd No. 13, 1997, s 7; No. 41, 2002, s 12; No. 20, 2005, s 23 rep No. 41, 2010, s 15
s 96	amd No. 17, 1996, s 6; No. 13, 1997, s 7; No. 18, 2002, s 6; No. 41, 2002, s 13; No. 20, 2005, s 23 rep No. 41, 2010, s 15
pt 7 hdg	amd No. 13, 1997, s 7 rep No. 41, 2010, s 15
pt 7	
div 1 hdg	rep No. 41, 2010, s 15
ss 97 – 98	rep No. 41, 2010, s 15
pt 7	
div 2 hdg	rep No. 41, 2010, s 15
s 99	amd No. 41, 2002, s 14; No. 12, 2010, s 3 rep No. 41, 2010, s 15
s 100	rep No. 41, 2010, s 15
s 101	amd No. 41, 2002, s 15; No. 12, 2010, s 3 rep No. 41, 2010, s 15
s 102	amd No. 41, 2002, s 16; No. 12, 2010, s 3 rep No. 41, 2010, s 15
pt 7	
div 3 hdg	rep No. 41, 2010, s 15

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s 103	amd No. 41, 2002, s 17; No. 12, 2010, s 3 rep No. 41, 2010, s 15
s 104	amd No. 41, 2002, s 18; No. 12, 2010, s 3 rep No. 41, 2010, s 15
s 105	amd No. 41, 2002, s 19; No. 12, 2010, s 3 rep No. 41, 2010, s 15
s 106	amd No. 41, 2002, s 20; No. 12, 2010, s 3 rep No. 41, 2010, s 15
pt 7	
div 4 hdg	rep No. 41, 2010, s 15
ss 107 – 108	rep No. 41, 2010, s 15
s 109	amd No. 17, 1996, s 6; No. 41, 2002, s 21; No. 12, 2010, s 3 rep No. 41, 2010, s 15
ss 110 – 111	rep No. 41, 2010, s 15
s 112	amd No. 17, 1996, s 6; No. 41, 2002, s 22; No. 12, 2010, s 3 rep No. 41, 2010, s 15
pt 7	
div 5 hdg	rep No. 41, 2010, s 15
s 113	rep No. 41, 2010, s 15
pt 8 hdg	amd No. 13, 1997, s 7
s 114	amd No. 41, 2010, s 24
s 116	amd No. 41, 2002, s 23; No. 12, 2010, s 3
s 117	amd No. 41, 2002, s 24; No. 12, 2010, s 3; No. 41, 2010, s 24
s 118	amd No. 41, 2002, s 25; No. 12, 2010, s 3; No. 41, 2010, s 24
s 119	amd No. 41, 2002, s 26; No. 12, 2010, s 3
s 120	amd No. 41, 2010, s 24
s 121	amd No. 41, 2002, s 27; No. 12, 2010, s 3; No. 41, 2010, s 24
s 122	amd No. 41, 2002, s 28; No. 12, 2010, s 3; No. 41, 2010, s 23
pt 9 hdg	amd No. 13, 1997, s 7 sub No. 41, 2002, s 29
s 123	amd No. 9, 1996, s 6 sub No. 41, 2002, s 29 amd No. 41, 2010, s 24
s 124	sub No. 41, 2002, s 29 amd No. 41, 2010, s 24
s 124A	ins No. 41, 2002, s 29 amd No. 12, 2010, s 3; No. 41, 2010, s 24
s 124B	ins No. 41, 2002, s 29
pt 10 hdg	amd No. 13, 1997, s 7
s 125	amd No. 41, 2002, s 30; No. 41, 2010, s 24; No. 23, 2006, s 18
s 126	amd No. 18, 2002, s 6; No. 41, 2010, s 24
pt 10	
div 2 hdg	amd No. 41, 2010, s 24
s 128	amd No. 41, 2002, s 31; No. 12, 2010, s 3
s 129	amd No. 9, 1996, s 7; No. 41, 2002, s 32; No. 12, 2010, s 3
s 130	amd No. 41, 2002, s 33; No. 12, 2010, s 3; No. 41, 2010, s 24
s 131	amd No. 17, 1996, s 6
s 132	amd No. 41, 2002, s 34; No. 41, 2010, s 24
s 134	amd No. 41, 2002, s 35; No. 29, 2010, s 7
s 136	amd No. 17, 1996, s 6; No. 18, 2002, s 6; No. 41, 2002, s 36; No. 41, 2010, s 24
s 137	amd No. 17, 1996, s 6; No. 18, 2002, s 6; No. 41, 2010, s 24
s 139	amd No. 41, 2010, s 24
pt 10	
div 3	
subdiv B hdg	amd No. 41, 2010, s 24
s 140	amd No. 41, 2010, s 24
s 141	amd No. 41, 2002, s 37; No. 12, 2010, s 3; No. 41, 2010, s 24
s 144	amd No. 41, 2002, s 38; No. 12, 2010, s 3; No. 41, 2010, s 24

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pt 10	
div 3	
subdiv C hdg	amd No. 41, 2010, s 24
ss 145 – 147	amd No. 41, 2010, s 24
s 149	amd No. 41, 2010, s c
s 150	amd No. 41, 2002, s 39; No. 41, 2010, s 24
s 153	amd No. 41, 2002, s 40; No. 41, 2010, s 24
s 154	amd No. 41, 2010, s 24
s 157	amd No. 41, 2002, s 41; No. 41, 2010, s 24
s 158	amd No. 41, 2002, s 42; No. 41, 2010, s 24
s 159	amd No. 41, 2002, s 43; No. 41, 2010, s 24
s 160	amd No. 17, 1996, s 6; No. 41, 2002, s 44; No. 44, 2003, s 5; No. 41, 2010, s 24
s 161	amd No. 41, 2002, s 45; No. 41, 2010, s 24
s 162	amd No. 17, 1996, s 6; No. 41, 2010, s 16
s 163	sub No. 43, 1993, s 2
	amd No. 41, 2002, s 46; No. 41, 2010, s 24
s 164	amd No. 41, 2002, s 47; No. 41, 2010, s 24
s 165	amd No. 50, 1994, s 5
s 166	amd No. 41, 2002, s 48; No. 41, 2010, s 24
s 167	amd No. 41, 2002, s 49; No. 41, 2010, s 24
s 168	amd No. 41, 2010, ss 17 and 24
s 169	amd No. 41, 2010, s 24; No. 23, 2006, s 19
s 170	amd No. 41, 2010, s 24
s 172	amd No. 41, 2002, s 50; No. 41, 2010, s 24
s 174	amd No. 41, 2002, s 51; No. 41, 2010, s 24
s 175	amd No. 41, 2002, s 52; No. 41, 2010, s 24
s 176	amd No. 41, 2002, s 53; No. 41, 2010, s 24
s 177	amd No. 41, 2002, s 54; No. 20, 2005, s 19; No. 12, 2010, s 3; No. 41, 2010, s 24
s 178	amd No. 41, 2002, s 55; No. 41, 2010, s 24
s 180	amd No. 27, 2008, s 7
ss 182 – 183	amd No. 41, 2010, s 24
s 184	amd No. 50, 1994, s 5; No. 41, 2010, s 24
pt 11 hdg	amd No. 13, 1997, s 7
s 185	amd No. 9, 1996, s 8; No. 13, 1997, s 7; No. 41, 2010, s 24
s 186	amd No. 41, 2010, s 24
s 187	rep No. 9, 1996, s 9
s 188	amd No. 17, 1996, s 6; No. 41, 2002, s 56; No. 12, 2010, s 3
s 188A	ins No. 9, 1996, s 10
	amd No. 13, 1997, s 7; No. 41, 2002, s 57; No. 12, 2010, s 3; No. 41, 2010, s 24
s 189	amd No. 41, 2002, s 58
s 190	amd No. 20, 2005, s 20; No. 41, 2002, s 59; No. 12, 2010, s 3; No. 41, 2010, s 24
s 191	amd No. 41, 2002, s 60; No. 29, 2010, s 7
s 193	amd No. 17, 1996, s 6; No. 18, 2002, s 6; No. 41, 2010, s 24
s 194	amd No. 41, 2002, s 61; No. 12, 2010, s 3; No. 41, 2010, s 24
s 195	amd No. 41, 2002, s 62; No. 12, 2010, s 3; No. 41, 2010, s 24
s 197	amd No. 41, 2010, s 24
s 198	amd No. 41, 2002, s 63; No. 6, 2008, s 3; No. 12, 2010, s 3
s 201	amd No. 41, 2002, s 64; No. 12, 2010, s 3
s 202	amd No. 41, 2010, s 24
s 203	amd No. 41, 2002, s 65; No. 12, 2010, s 3; No. 41, 2010, s 24
s 204	amd No. 17, 1996, s 6; No. 41, 2010, s 24
s 205	amd No. 41, 2002, s 66; No. 12, 2010, s 3
s 206	amd No. 41, 2010, s 24
s 207	amd No. 20, 2005, s 21; No. 41, 2010, s 24
s 208	amd No. 41, 2010, s 24



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s 209	amd No. 41, 2002, s 67; No. 12, 2010, s 3
s 210	amd No. 41, 2002, s 68; No. 12, 2010, s 3
s 211	amd No. 41, 2002, s 69; No. 12, 2010, s 3
s 212	amd No. 41, 2002, s 70; No. 12, 2010, s 3; No. 41, 2010, s 24
s 213	amd No. 41, 2002, s 71; No. 12, 2010, s 3
s 214	amd No. 41, 2002, s 72; No. 12, 2010, s 3; No. 41, 2010, s 24
s 215	amd No. 41, 2010, s 24
s 216	amd No. 41, 2002, s 73; No. 12, 2010, s 3; No. 41, 2010, s 24
s 218	amd No. 41, 2002, s 74; No. 12, 2010, s 3; No. 41, 2010, s 24
s 222	amd No. 17, 1996, s 6
s 224	amd No. 27, 2008, s 8
s 225	amd No. 9, 1996, s 11; No. 13, 1997, s 7; No. 41, 2010, s 24
pt 12 hdg	ins No. 9, 1996, s 12
	amd No. 13, 1997, s 7
	rep No. 14, 2010, s 4
pt 12	
div 1 hdg	rep No. 14, 2010, s 4
s 226	
(former 225A)	ins No. 9, 1996, s 12
	rep No. 14, 2010, s 4
pt 12	
div 2 hdg	rep No. 14, 2010, s 4
s 227	
(former 225B)	ins No. 9, 1996, s 12
	amd No. 41, 2002, s 75
	rep No. 14, 2010, s 4
s 228	
(former 225C)	ins No. 9, 1996, s 12
	amd No. 13, 1997, s 7
	rep No. 14, 2010, s 4
s 229	
(former 225D)	ins No. 9, 1996, s 12
	rep No. 14, 2010, s 4
s 230	
(former 225E)	ins No. 9, 1996, s 12
	rep No. 14, 2010, s 4
s 231	
(former 225F)	ins No. 9, 1996, s 12
	amd No. 20, 2005, s 22
	rep No. 14, 2010, s 4
s 232	
(former 225G)	ins No. 9, 1996, s 12
	amd No. 41, 2002, s 76
	rep No. 14, 2010, s 4
s 233	
(former 225H)	ins No. 9, 1996, s 12
	amd No. 13, 1997, s 7
	rep No. 14, 2010, s 4
s 234	
(former 225J)	ins No. 9, 1996, s 12
	rep No. 14, 2010, s 4
s 235	
(former 225K)	ins No. 9, 1996, s 12
	rep No. 14, 2010, s 4
pt 12	
div 3 hdg	rep No. 14, 2010, s 4
s 236	
(former 225L)	ins No. 9, 1996, s 12
	rep No. 14, 2010, s 4

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pt 12	
div 4 hdg	rep No. 41, 2002, s 77
s 237	
(former 225M)	ins No. 9, 1996, s 12
	rep No. 41, 2002, s 77
pt 13 hdg	amd No. 13, 1997, s 7
s 238	
(former 226)	amd No. 13, 1997, s 7; No. 41, 2010, s 24
s 239	
(former 227)	amd No. 13, 1997, s 7; No. 25, 2004, s 3; No. 41, 2010, s 24
s 240	
(former 228)	amd No. 13, 1997, s 7; No. 41, 2002, s 78; No. 12, 2010, s 3; No. 41, 2010, s 24
s 241	
(former 229)	amd No. 13, 1997, s 7; No. 25, 2004, s 3; No. 41, 2010, s 24
s 242	
(former 230)	amd No. 13, 1997, s 7; No. 41, 2002, s 79; No. 25, 2004, s 3; No. 12, 2010, s 3; No. 41, 2010, s 24
s 243	
(former 231)	amd No. 13, 1997, s 7; No. 25, 2004, s 3; No. 41, 2010, s 24
pt 14 hdg	ins No. 13, 1997, s 5
ss 244 – 245	ins No. 13, 1997, s 5
	amd No. 41, 2010, s 24
s 246	ins No. 13, 1997, s 5
s 247	ins No. 13, 1997, s 5
	amd No. 41, 2002, s 80; No. 12, 2010, s 3
s 248	ins No. 13, 1997, s 5
	amd No. 41, 2002, s 81; No. 12, 2010, s 3
s 249	ins No. 13, 1997, s 5
	amd No. 41, 2002, s 82; No. 12, 2010, s 3; No. 41, 2010, s 24
s 250	ins No. 13, 1997, s 5
s 251	ins No. 13, 1997, s 5
	amd No. 41, 2002, s 83; No. 41, 2010, s 24
s 252	ins No. 13, 1997, s 5
	amd No. 41, 2002, s 84; No. 41, 2010, s 24
s 253	ins No. 13, 1997, s 5
	amd No. 4, 2007, s 7; No. 41, 2010, s 24
s 254	ins No. 13, 1997, s 5
	amd No. 41, 2010, s 24
ss 255 – 256	ins No. 13, 1997, s 5
s 257	ins No. 13, 1997, s 5
	amd No. 41, 2002, s 85; No. 12, 2010, s 3
s 258	ins No. 13, 1997, s 5
s 259	ins No. 13, 1997, s 5
	amd No. 17, 2001, s 21; No. 41, 2010, s 24
s 260	ins No. 13, 1997, s 5
	amd No. 41, 2010, s 2423
ss 261 – 263	ins No. 13, 1997, s 5
s 264	ins No. 13, 1997, s 5
	amd No. 41, 2010, s 24
ss 265 – 266	ins No. 13, 1997, s 5
s 267	ins No. 13, 1997, s 5
	amd No. 41, 2002, s 86; No. 12, 2010, s 3; No. 41, 2010, s 24
s 268	ins No. 13, 1997, s 5
s 269	ins No. 13, 1997, s 5
	amd No. 41, 2010, s 24
s 270	ins No. 13, 1997, s 5
ss 271– 273	ins No. 13, 1997, s 5
	amd No. 41, 2010, s 24

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s 274	ins No. 13, 1997, s 5 amd No. 41, 2002, s 87; No. 12, 2010, s 3; No. 41, 2010, s 24s
275	ins No. 13, 1997, s 5 amd No. 41, 2002, s 88; No. 12, 2010, s 3; No. 41, 2010, s 24
s 276	ins No. 13, 1997, s 5 amd No. 41, 2002, s 89; No. 12, 2010, s 3
s 277	ins No. 13, 1997, s 5 amd No. 41, 2002, s 90; No. 12, 2010, s 3; No. 41, 2010, s 24
s 278	ins No. 13, 1997, s 5 amd No. 41, 2002, s 91; No. 12, 2010, s 3
s 279	ins No. 13, 1997, s 5 amd No. 41, 2002, s 92; No. 12, 2010, s 3
s 280	ins No. 13, 1997, s 5 amd No. 41, 2002, s 93; No. 12, 2010, s 3; No. 41, 2010, s 24
s 281	ins No. 13, 1997, s 5 amd No. 41, 2002, s 94; No. 12, 2010, s 3
s 282	ins No. 13, 1997, s 5 amd No. 41, 2002, s 95; No. 12, 2010, s 3
s 283	ins No. 13, 1997, s 5 amd No. 41, 2002, s 96; No. 12, 2010, s 3; No. 41, 2010, s 24
s 284	ins No. 13, 1997, s 5 amd No. 41, 2002, s 97; No. 12, 2010, s 3
s 285	ins No. 13, 1997, s 5 amd No. 41, 2002, s 98; No. 12, 2010, s 3
s 286	ins No. 13, 1997, s 5 amd No. 41, 2002, s 99; No. 12, 2010, s 3; No. 41, 2010, s 24
s 287	ins No. 13, 1997, s 5
s 288	ins No. 13, 1997, s 5 amd No. 41, 2010, s 24
s 289	ins No. 13, 1997, s 5 amd No. 41, 2002, s 100; No. 12, 2010, s 3
s 290	ins No. 13, 1997, s 5 amd No. 41, 2002, s 101; No. 12, 2010, s 3; No. 41, 2010, s 24
s 291	ins No. 13, 1997, s 5 amd No. 41, 2002, s 102; No. 12, 2010, s 3
s 292	ins No. 13, 1997, s 5 amd No. 41, 2002, s 103; No. 12, 2010, s 3
s 293	ins No. 13, 1997, s 5 amd No. 41, 2002, s 104; No. 12, 2010, s 3
s 294	ins No. 13, 1997, s 5 amd No. 41, 2002, s 105; No. 12, 2010, s 3; No. 41, 2010, s 24
s 295	ins No. 13, 1997, s 5
s 296	ins No. 13, 1997, s 5 amd No. 41, 2002, s 106; No. 12, 2010, s 3; No. 41, 2010, s 24
s 297	ins No. 13, 1997, s 5 amd No. 41, 2002, s 107; No. 12, 2010, s 3
s 298	ins No. 13, 1997, s 5 amd No. 41, 2002, s 108; No. 12, 2010, s 3
s 299	ins No. 13, 1997, s 5 amd No. 41, 2002, s 109; No. 12, 2010, s 3
s 300	ins No. 13, 1997, s 5 amd No. 41, 2002, s 110; No. 12, 2010, s 3
s 301	ins No. 13, 1997, s 5 amd No. 41, 2002, s 111; No. 12, 2010, s 3; No. 41, 2010, s 23
s 302	ins No. 13, 1997, s 5 amd No. 41, 2002, s 112; No. 12, 2010, s 3
s 303	ins No. 13, 1997, s 5
ss 304 – 306	ins No. 13, 1997, s 5 amd No. 41, 2010, s 24

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ss 307 – 310	ins No. 13, 1997, s 5
s 311	ins No. 13, 1997, s 5 amd No. 41, 2002, s 113; No. 12, 2010, s 3; No. 41, 2010, s 24
s 312	ins No. 13, 1997, s 5 amd No. 41, 2002, s 114; No. 12, 2010, s 3; No. 41, 2010, s 24
s 313	ins No. 13, 1997, s 5 amd No. 41, 2002, s 115; No. 12, 2010, s 3; No. 41, 2010, s 24
s 314	ins No. 13, 1997, s 5 amd No. 41, 2010, s 24
s 315	ins No. 13, 1997, s 5 amd No. 41, 2002, s 116; No. 12, 2010, s 3; No. 41, 2010, s 24
s 316	ins No. 13, 1997, s 5 amd No. 41, 2002, s 117; No. 12, 2010, s 3; No. 41, 2010, s 24
s 317	ins No. 13, 1997, s 5 amd No. 41, 2002, s 118; No. 12, 2010, s 3; No. 41, 2010, s 24
s 318	ins No. 13, 1997, s 5 amd No. 41, 2002, s 119; No. 12, 2010, s 3; No. 41, 2010, s 24
ss 319 – 323	ins No. 13, 1997, s 5 amd No. 41, 2010, s 24
s 324	ins No. 13, 1997, s 5
s 325	ins No. 13, 1997, s 5 amd No. 41, 2010, s 24
s 326	ins No. 13, 1997, s 5 amd No. 41, 2002, s 120; No. 12, 2010, s 3
s 327	ins No. 13, 1997, s 5 amd No. 41, 2002, s 121; No. 12, 2010, s 3
s 328	ins No. 13, 1997, s 5 amd No. 41, 2002, s 122; No. 12, 2010, s 3; No. 41, 2010, s 24
s 329	ins No. 13, 1997, s 5 amd No. 27, 2008, s 9; No. 41, 2010, s 24
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s 330A	ins No. 41, 2002, s 123 amd No. 41, 2010, s 18
s 330 (former 232)	amd No. 13, 1997, s 7; No. 17, 1996, s 6; No. 41, 2010, s 24
s 331 (former 232A)	ins No. 9, 1996, s 13 amd No. 41, 2010, s 19
s 332	ins No. 13, 1997, s 6 amd No. 60, 2001, s 18
s 333 (former 233)	amd No. 13, 1997, s 7; No. 41, 2010, s 24s 334
s 334 (former 234)	amd No. 13, 1997, s 7; No. 41, 2010, s 24
s 335 (former 235)	amd No. 13, 1997, s 7; No. 41, 2002, s 124; No. 12, 2010, s 3; No. 41, 2010, s 24
s 336 (former 236)	amd No. 13, 1997, s 7; No. 27, 2008, s 10 sub No. 41, 2010, s 20
s 337 (former 236A)	ins No. 9, 1996, s 14 amd No. 13, 1997, s 7; No. 41, 2010, s 24
s 338 (former 237)	amd No. 13, 1997, s 7; No. 41, 2002, s 125; No. 41, 2010, s 24
s 338A	ins No. 25, 2004, s 2 amd No. 41, 2010, s 24
pt 16 hdg	amd No. 13, 1997, s 7 sub No. 41, 2010, s 21

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(former 238) amd No. 13, 1997, s 7; No. 41, 2010, s 24  
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(former 239) amd No. 13, 1997, s 7; No. 41, 2010, s 24  
sch 1 amd No. 17, 1996, s 6; No. 11, 1999, s 4  
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sch 2 amd No. 13, 1997, s 7  
sch 3 amd No. 43, 1993, s 3; No. 13, 1997, s 7; No. 41, 2010, s 23