

NORTHERN TERRITORY OF AUSTRALIA

RACING AND WAGERING REGULATIONS 2024

As in force at 1 July 2024

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NORTHERN TERRITORY OF AUSTRALIA

As in force at 1 July 2024

RACING AND WAGERING REGULATIONS 2024

Regulations under the *Racing and Wagering Act 2024*

Part 1 Preliminary matters

1 Title

These Regulations may be cited as the *Racing and Wagering Regulations 2024*.

2 Commencement

These Regulations commence on the commencement of section 303 of the Act.

3 Definitions

In these Regulations:

infringement amount, see regulation 27(2).

infringement notice, see regulation 28.

infringement notice offence, see regulation 27(1).

Part 2 Administrative matters

4 Fit and proper person

For section 7(1)(g) of the Act, the laws specified in Schedule 1 are prescribed.

5 Delegation by Commission

(1) For section 17(1) of the Act, the following powers and functions are prescribed:

(a) receiving documents on behalf of the Commission;

- (b) granting a permit for a person to act as an agent for an on-course bookmaker licensee under section 134(2) of the Act;
- (c) issuing and renewing a key person licence under section 140 of the Act;
- (d) varying and revoking conditions imposed on a key person licence under section 154 of the Act;
- (e) varying minor administrative conditions on a wagering licence imposed by the Commission under section 154 of the Act;
- (f) resolving complaints other than complaints that involve:
 - (i) wagers with a staked amount exceeding \$10 000; or
 - (ii) problem gambling.

Part 3 Appeals and reviews

6 Fines with no right of appeal

- (1) For section 85(a) of the Act, the prescribed amount is \$500.
- (2) For section 86(3)(a) of the Act, the prescribed amount is \$5 000.
- (3) For section 86(3)(e) of the Act, the prescribed amount is \$1 000.

7 Fee to lodge appeal

For section 87(1) of the Act, the prescribed fee is 200 revenue units.

8 NTCAT review of complaint in relation to wager

- (1) For section 239(1)(g)(i) of the Act, the prescribed amount is \$500.
- (2) For section 239(1)(g)(ii) of the Act, the prescribed amount is \$20 000.

Part 4 Financial matters

9 Application fees for licences

For sections 55(2)(b), 131(2)(b) and 135(1) of the Act, the prescribed fee for an application for each of the following licences is:

- (a) for a racecourse licence – 10 000 revenue units;
- (b) for a betting exchange licence – 20 000 revenue units;
- (c) for an on-course bookmaker licence – 600 revenue units;
- (d) for a sports bookmaker licence – 20 000 revenue units;
- (e) for a key person licence – 150 revenue units.

10 Renewal fees

For section 133(2)(b) of the Act, the prescribed fee for an application to renew the following licences is:

- (a) for a racecourse licence – 300 revenue units;
- (b) for a betting exchange licence – 20 000 revenue units;
- (c) for an on-course bookmaker licence – 600 revenue units;
- (d) for a sports bookmaker licence – 20 000 revenue units;
- (e) for a key person licence – 150 revenue units.

11 Application fee for agent permit

For section 134(1)(b) of the Act, the prescribed fee is zero revenue units for an application for a permit to act as an agent for an on-course bookmaker licensee.

12 Limit on security

For section 136(2) of the Act, the prescribed maximum amount of security is \$250 000.

13 Annual fees

For section 142(1) of the Act, the prescribed annual fee for the following licences is:

- (a) for a racecourse licence – zero revenue units;

- (b) for a betting exchange licence – 20 000 revenue units;
- (c) for an on-course bookmaker licence – zero revenue units;
- (d) for a sports bookmaker licence – 20 000 revenue units;
- (e) for a key person licence – zero revenue units.

Note for paragraphs (a), (c) and (e)

For these 3 licences, the application and renewal fees are sufficient payment for the term of the licence without the addition of an annual fee.

14 Levies

- (1) For section 148(1) of the Act, the prescribed amount of the levy payable by a betting exchange licensee for a month is calculated using the following formula:

$$L = (AC \times R) - D$$

where:

AC is the total amount of commissions retained or received by the licensee during the month, not including commissions on free or bonus wagers.

D is the amount of any deduction allowed under subregulation (3).

L is the amount of the levy.

R is 0.05%.

- (2) For section 148(2) of the Act, the prescribed amount of the levy payable by a sports bookmaker licensee for a month is calculated using the following formula:

$$L = [(AL - AW) \times R] - D$$

where:

AL is the total amount of wagers made by the licensee with persons during the month, not including free or bonus wagers.

AW is the total amount paid by the licensee to persons for wagers during the month.

D is the amount of any deduction allowed under subregulation (3).

L is the amount of the levy.

R is 0.05%.

- (3) For subregulations (1) and (2), the Director may allow the following licensees a deduction equal to the amount of any donation or sponsorship given in a year by the licensee to a community organisation in the Territory up to a maximum of \$250 000:
- (a) a betting exchange licensee;
 - (b) a sports bookmaker licensee.
- (4) The deduction referred to in subregulation (3) may be pro-rated as a monthly deduction.

15 Monthly returns

For section 188(2) of the Act, the following information is prescribed:

- (a) the daily amounts of wagers made and commissions retained or received by the wagering licensee during the previous month;
- (b) the accounting records relating to the previous month required to be kept by the wagering licensee under section 186.

Part 5 Licensing and operations

16 Term of licences

- (1) For section 141(a) of the Act, the prescribed period for the term of the following licences is:
- (a) for a racecourse licence – 5 years;
 - (b) for a betting exchange licence – 20 years;
 - (c) for an on-course bookmaker licence – 5 years;
 - (d) for a sports bookmaker licence – 20 years;
 - (e) for a key person licence – 5 years.
- (2) To avoid doubt, subregulation (1) applies to the renewal of a licence referred to in section 307(1) of the Act.

17 Limits on payment for approval to use sports information

For section 172(2)(a) of the Act, the fee required by a control body for approval to use the sports information must be within the following limits:

- (a) if the applicant for the approval is a betting exchange operator, or a person carrying on a business under a law of another jurisdiction that substantially corresponds to the business of a betting exchange operator – the fee must be not less than 15% and not more than 50% of the amount of commission retained or received by the betting exchange operator from wagers on the sports information;
- (b) if the applicant for the approval is any other wagering licensee – the fee must be not less than 1.5% and not more than 5% of the total amount of wagers received by the wagering licensee on the sports information.

18 Some discretionary conditions on licences

To avoid doubt for section 154 of the Act, conditions may be imposed on a licence, other than a key person licence, by the Commission for the following purposes:

- (a) regulating the activities that may be carried on under the licence;
- (b) providing for the sufficiency of the financial resources of the licensee or other persons involved or likely to be involved in the licensed activities, including the sufficiency of reserves with respect to potential liabilities.

19 Notice before varying or revoking licence conditions

- (1) Before varying or revoking a condition imposed under section 154 of the Act, the Commission must give written notice to the licensee of the proposed variation or revocation.
- (2) The licensee may make written submissions on the proposed variation or revocation, which must be lodged within 28 days after receiving the notice.
- (3) Any written submissions from the licensee under subregulation (2) must be considered by the Commission before making the variation or revocation.
- (4) As soon as practicable after making a variation or revocation under subregulation (1), the Commission must give the licensee written notice of its decision.

- (5) The variation or revocation takes effect on the later of the following:
- (a) the date on which the licensee receives the notice;
 - (b) the date stated in the notice.

20 Reportable events

- (1) For section 162(1) of the Act, the following events are prescribed:
- (a) the licensee becoming bankrupt;
 - (b) the licensee applying to take the benefit of a law for the relief of bankrupt or insolvent debtors;
 - (c) the licensee compounding with creditors or making an assignment of the licensee's revenue for their benefit;
 - (d) the licensee ceasing operations under the licence or the winding up of the licensee's business;
 - (e) the licensee giving notice to the Australian Stock Exchange or the Australian Securities and Investment Commission;
 - (f) the commencement of a legal proceeding by or against the licensee in relation to business operated under the licence with a claim exceeding \$200 000;
 - (g) any investigation of the licensee, or the business operated under the licence, commenced by a professional, statutory or other regulatory entity in Australia or another country;
 - (h) any material breach or failure of a wagering control system, including:
 - (i) any unauthorised access to or unauthorised disclosure or loss of personal information held by the licensee that a reasonable person would conclude is likely to result in serious harm to any of the customers to whom the information relates; and
 - (ii) any inability of customers to access their accounts for more than 24 consecutive hours.

Note for subregulation 20(1)(h)(i)

This includes unauthorised access by or disclosure to an employee of the wagering licensee.

- (2) For section 163(7) of the Act, the report must be made in the approved form to the Director as soon as practicable after the breach or failure occurs.

(3) In this regulation:

personal information, in relation to a person, means the person's:

- (a) name; and
- (b) date of birth; and
- (c) email address; and
- (d) postal address.

21 Application for approval of wagering control system

- (1) For section 163(3) of the Act, an application for approval of a wagering control system must be lodged with the Director.
- (2) The application must describe and explain the proposed wagering control system.
- (3) The Director may approve a proposed wagering control system if the Director is satisfied that:
 - (a) the system meets the requirements of section 163(2) of the Act; and
 - (b) the system is otherwise suitable to control wagering.
- (4) If the Director refuses to approve a wagering control system, the Director must, within 28 days of the refusal, give the wagering licensee guidance on submitting for approval a system that could be approved.

22 Material change in wagering control system

- (1) For section 163(6) of the Act, once a wagering licensee's wagering control system is approved by the Director, the wagering licensee must not make a material change to its wagering control system unless the change is approved by the Director.
- (2) An application for a material change in a wagering control system must be lodged with the Director and must describe and explain the proposed change to the wagering control system.
- (3) The Director may approve a material change in a wagering control system if the Director satisfied that:
 - (a) the system meets the requirements of section 163(2) of the Act; and
 - (b) the system is otherwise suitable to control wagering.

- (4) If the Director refuses to approve a material change in a wagering control system, the Director must, within 28 days of the refusal, give the wagering licensee guidance on submitting for approval a change that could be approved.
- (5) A wagering control system is taken to be no longer approved if:
 - (a) a material change is made to the system without the approval of the Director under subregulation(3); or
 - (b) the licensee does not comply with a direction under regulation 23(1) to change the system.

- (4) In this regulation:

material change, in relation to a wagering control system, means a change that would reasonably be expected to affect:

- (a) compliance of the system with the requirements of section 163(2) of the Act; or
- (b) its suitability to control wagering.

23 Direction to change wagering control system

- (1) The Director may, by written notice, direct a wagering licensee to change its wagering control system within the time, and in the way, specified in the notice if the Director is satisfied the system no longer meets the requirements of section 163(2) of the Act.
- (2) Before giving a direction under subregulation (1), the Director must give the wagering licensee a written notice:
 - (a) advising the licensee of the proposed change; and
 - (b) stating the grounds for the proposed change.
- (3) The wagering licensee may make written submissions on the proposed change, which must be lodged within 28 days after receiving the notice.
- (4) After considering any written submissions made by the wagering licensee, the Director must decide whether to issue the direction under subregulation (1).

24 Revocation of approval

- (1) The Director may, by written notice, revoke the approval of a wagering control system if the Director is satisfied the system no longer meets the requirements of section 163(2) of the Act or is no longer suitable to control wagering.
- (2) Before revoking the approval of a wagering control system, the Director must give the wagering licensee a written notice:
 - (a) advising the licensee of the proposed revocation; and
 - (b) stating the grounds for the proposed revocation.
- (3) The wagering licensee may make written submissions on the proposed revocation, which must be lodged within 28 days after receiving the notice.
- (4) After considering any written submissions made by the wagering licensee, the Director must decide whether to revoke the approval of the wagering control system under subregulation (1).

25 Cancellation of approval of use of sports information

For section 174(1)(c) of the Act, the following grounds for cancellation are prescribed:

- (a) the wagering licensee becoming bankrupt;
- (b) the wagering licensee applying to take the benefit of a law for the relief of bankrupt or insolvent debtors;
- (c) the wagering licensee compounding with creditors or making an assignment of the licensee's revenue for their benefit;
- (d) the wagering licensee ceasing operations under the licence or the winding up of the licensee's business;
- (e) the cancellation of the wagering licensee's licence.

26 Wager receipts

For section 184(2) of the Act, the following information is prescribed:

- (a) the name of the sporting event, or race location and race number, on which the wager is made;
- (b) the name of the selection on which the wager is made;
- (c) the type of wager made;

- (d) the amount wagered (the stake);
- (e) the odds of the wager, if applicable;
- (f) the return amount, if applicable;
- (g) a unique identifier for the wager;
- (h) in the case of a receipt or ticket issued by an on-course bookmaker licensee or at a retail premises:
 - (i) the name of the licensee; and
 - (ii) the time of the wager; and
 - (iii) the date the wager is accepted.

Examples for paragraph (b)

The horse, team or player.

Examples for paragraph (c)

Head to head, win or win/place.

Part 6 Infringement notice offences

27 Infringement notice offence and infringement amount payable

- (1) An ***infringement notice offence*** is an offence against a provision specified in Schedule 2.
- (2) The ***infringement amount*** for an infringement notice offence is the amount equal to the monetary value of the number of penalty units specified for the offence in Schedule 2.

28 When infringement notice may be given

An inspector may give an ***infringement notice*** to a person if the inspector believes on reasonable grounds that the person committed an infringement notice offence.

29 Contents of infringement notice

- (1) The infringement notice must specify the following:
 - (a) the name and address of the person to whom it is issued, if known;
 - (b) the date the infringement notice is given to the person;

- (c) the date and time of the infringement notice offence and the place at which the infringement notice offence occurred;
 - (d) a description of the offence;
 - (e) the infringement amount payable for the offence;
 - (f) the enforcement agency to which the prescribed amount is payable.
- (2) The infringement notice must include a statement to the effect of the following:
- (a) the person may expiate the infringement notice offence and avoid any further action in relation to the offence by paying the infringement amount to the specified enforcement agency within 28 days after the notice is given;
 - (b) the person may elect to have the offence dealt with by a court by:
 - (i) completing a statement of election and giving it to the specified enforcement agency; and
 - (ii) not paying the infringement amount;
 - (c) if the *Fines and Penalties (Recovery) Act 2001* applies to the infringement notice offence – enforcement action may be taken under that Act if the person does nothing in response to the notice.
- (3) The infringement notice must include an appropriate form for making the statement of election mentioned in subregulation (2)(b)(i).

30 Effective date of payment methods

- (1) Payment of the infringement amount by electronic means takes effect when the amount is credited to the payee's bank account.
- (2) Payment of the infringement amount by cheque takes effect when the cheque is cleared on first presentation.

31 Expiation of offence

If the infringement amount for the infringement notice offence is paid in accordance with the infringement notice, the alleged offence is expiated and no further proceedings can be taken in relation to the offence.

32 Withdrawal of infringement notice

- (1) The Director may withdraw the infringement notice by written notice given to the person.
- (2) The notice must be given:
 - (a) within 28 days after the infringement notice is given to the person; and
 - (b) before payment of the infringement amount.

33 Effect of Part

- (1) This Part does not:
 - (a) prejudice or affect the start or continuation of a proceeding for an infringement offence unless the offence is expiated; or
 - (b) limit the penalty that may be imposed by a court for the offence; or
 - (c) prevent more than one infringement notice for the same infringement offence being given to a person; or
 - (d) require an infringement notice to be given for an infringement offence; or
 - (e) affect the liability of a person to be prosecuted in a court for an infringement notice offence for which an infringement notice was not given.
- (2) If more than one infringement notice for the same offence is given to a person, the person may expiate the offence by paying the infringement amount in accordance with any of the notices.

Schedule 1 Prescribed laws

regulation 4

Casino Control Act 2006 (ACT)
Gaming Machine Act 2004 (ACT)
Interactive Gambling Act 1998 (ACT)
Race and Sports Bookmaking Act 2001 (ACT)
Racing Act 1999 (ACT)
Totalisator Act 2014 (ACT)
Unlawful Gambling Act 2009 (ACT)
Betting and Racing Act 1998 (NSW)
Casino Control Act 1992 (NSW)
Community Gaming Act 2018 (NSW)
Gaming Machines Act 2001 (NSW)
Public Lotteries Act 1996 (NSW)
Breakwater Island Casino Agreement Act 1984 (Qld)
Brisbane Casino Agreement Act 1992 (Qld)
Cairns Casino Agreement Act 1993 (Qld)
Casino Control Act 1982 (Qld)
Charitable and Non-Profit Gaming Act 1999 (Qld)
Gaming Machine Act 1991 (Qld)
Interactive Gambling (Player Protection) Act 1998 (Qld)
Authorised Betting Operations Act 2000 (SA)
Gambling Administration Act 2019 (SA)
Casino Act 1997 (SA)
Gaming Control Act 1993 (Tas)

Casino (Management Agreement) Act 1993 (Vic)

Casino Control Act 1991 (Vic)

Gambling Regulation Act 2003 (Vic)

Betting Control Act 1954 (WA)

Casino (Burswood Island) Agreement Act 1985 (WA)

Casino Control Act 1984 (WA)

Racing and Wagering Western Australia Act 2003 (WA)

Racing Bets Levy Act 2009 (WA)

any other law of a State, another Territory or the Commonwealth consisting of an indictable offence

Schedule 2 Infringement notice offences and infringement amounts

regulation 27

| Provision of Act | Infringement amount |
|-----------------------------|----------------------------|
| section 35(1) | 5 penalty units |
| section 38(1) | 5 penalty units |
| section 70(1) | 3 penalty units |
| section 81(1) | 3 penalty units |
| section 120(2) | 10 penalty units |
| section 155(2) | 100 penalty units |
| section 164(5) | 100 penalty units |
| section 189(1) | 3 penalty units |
| section 192(1) | 3 penalty units |
| section 198(1) | 10 penalty units |
| section 250(1), (3) and (5) | 5 penalty units |
| section 266(1) | 3 penalty units |
| section 268(1) | 5 penalty units |
| section 269(1) | 3 penalty units |

ENDNOTES

1 KEY

Key to abbreviations

amd = amended

app = appendix

bl = by-law

ch = Chapter

cl = clause

div = Division

exp = expires/expired

f = forms

Gaz = Gazette

hdg = heading

ins = inserted

lt = long title

nc = not commenced

od = order

om = omitted

pt = Part

r = regulation/rule

rem = remainder

renum = renumbered

rep = repealed

s = section

sch = Schedule

sdiv = Subdivision

SL = Subordinate Legislation

sub = substituted

2 LIST OF LEGISLATION

Racing and Wagering Regulations 2024 (SL No. 14, 2024)

Date made 27 June 2024

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